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¹ Critical Analysis of Solutions to Hadoop Small File Problem

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5 Abstract

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⁶ Hadoop big data platform is designed to process large volume of data. Small file problem is a
⁷ performance bottleneck in Hadoop processing. Small files lower than the block size of Hadoop
⁸ creates huge storage overhead at Namenode?s and also wastes computational resources due to
⁹ spawning of many map tasks. Various solutions like merging small files, mapping multiple
¹⁰ map threads to same java virtual machine instance etc have been proposed to solve the small
¹¹ file problems in Hadoop. This survey does a critical analysis of existing works addressing

¹² small file problems in Hadoop and its variant platforms like Spark. The aim is to understand

their effectiveness in reducing the storage/computational overhead and identify the open

14 issues for further research.

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16 Index terms—

17 **1** I. Introduction

adoop is an open source big data processing platform designed to process large volume of data. The data is kept 18 in form of files in Hadoop distributed file system (HDFS). A map job is spawned on a java virtual machine (JVM) 19 instance for each file in HDFS. The file data is copied to a memory block and the block is passed to map task. In 20 addition, a object instance is created for each file in the Namenode of Hadoop to facilitate processing. When the 21 file size is more than or equal to block size, maximum performance gain in achieved in terms of number of maps 22 spawned and the meta data storage overhead at Namenode. In case of IoT applications, the data files are small 23 (less than 2KB) and when these files are stored in HDFS for data processing, it affects the Hadoop performance 24 [1][2]. On one hand, it drastically increases the storage overhead at Namenode for object bookkeeping [3]. On 25 another hand it exhausts the computational resources by spawning multiple map tasks which only lasts for 26 smaller duration to process small files. The time spent in bootstrapping the map task becomes higher than data 27 processing time in case of small files. Various solutions have been proposed addressing the Hadoop small file 28 problem. The existing solutions can be categorized as: (i) file merging solutions, (ii) file caching solutions, (iii) 29 optimizing Hadoop cluster structure and (iv) Map task optimizations. In file merging solutions, pre-treatment 30 of small files is done to form a big file and this big file is stored in HDFS. In file caching solutions, files are sent 31 to a file queue, and when queue size crosses threshold files are sent to processing in a systematic manner. In 32 Hadoop cluster structure optimization solutions, hierarchical memory structure is created combining cache and 33 HDFS memory to reduce the overhead due to single name node. In map task optimization solution, number of 34 JVM instances spawned for map tasks are reduced and shared. 35 This work does a critical analysis on various solutions in the above four categories of file merging, file caching, 36

Hadoop cluster structure optimization and map task optimization. The effectiveness of each of the solutions in
 terms of storage and computation are analyzed and their open issues are identified. Based on the open issues, a
 prospective solution framework is designed and detailed.

40 2 II. Survey

41 Ahad et al [4] proposed a dynamic merging strategy based on the file type for Hadoop. Dynamic variable size 42 portioning is applied to blocks and the file contents are fitted to blocks using next fit allocation policy. By 43 this way large file is created and saved to HDFS. In addition, authors also secured the block using Twofish 44 cryptographic technique. The solution reduced name node memory, number of data blocks and processing time.

Merging was done only based on file types without considering the context and their semantic relation. Siddiqui 45 et al [5] proposed a cache based block management technique for Hadoop as a replacement for default Hadoop 46 Archives (HAR). A logical chain of small files is built and transferred to data blocks. In addition, efficient 47 read/write on blocks was facilitated using block manager. Though the solution achieved more than 92% space 48 utilization of data blocks, small files are merged only based on size, without considering the semantic relations 49 and content characteristics. Zhai et al [6] built a index based archive file to solve the small file problem in 50 Hadoop. The small files are merged to large file and metadata record is created to retrieve each file content. 51 Meta data records are arranged into buckets. An order preserving hash is created over metadata records. The 52 hash and the metadata records are in turn written to a index file. The index files helps to retrieve the file 53 contents for processing. This method is able to save atleast 11% disk space but the solution access efficiency 54 becomes lower with large number of small files. Also the indexing does not support streaming inputs. Cai et al 55 [7] proposed a file merging algorithm based on two factors of distribution of the files and the correlation of the 56 file. Correlation between files is built based on their history of access and the highly correlated files are kept in 57 the same block. Through experiments, author found that placing highly correlated files in same block improved 58 the speed up. The correlation is not based on content characteristics so over a period of time, performance 59 can reduce. Choi et al [8] integrated combinedfileinputformat and JVM reuse to solve the small file problem. 60 61 Small files are combined till block size and passed to map task. JVM instances are reused for the map task, 62 so they overhead of JVM bootstrap is minimized. Though the integration reduces the computational overhead, 63 the approach combined files in order without considering their semantics. Also the memory buildup due to JVM reuse can crash the tasks due to inefficient memory management. Peng et al [9] combined merging and caching 64 techniques to solve the small file problem. User based collaborative filtering is applied to learn the correlation 65 between the files. Files with higher correlation are merged into single large file. Remote procedure call (RPC) 66 requests to fetch the block information about the files are reduced by caching the access requests and looking into 67 cache for the blocks before placing RPC requests. By this way, authors were able to reduce the file access time 68 by 50% and increase storage utilization by 25% compared to default Hadoop. The scheme does not works well 69 for streaming data, as the correlation model proposed in this work is not adaptive to streaming data. Niazi et al 70 [10] proposed a new technique called inode stuffing to solve the small file problem. For small files, the metadata 71 and data block are combined and decoupling is maintained only for large files. The approach is not scalable as 72 it increases the metadata storage overhead at Namenodes. Jing et al [11] proposed a dynamic queue method to 73 74 solve the small file problem. The files are first classified using the period classification algorithm. The algorithm 75 calculates similarity score based on sentence similarity between two documents. The similar files are then merged to large file using multiple queues for specific file sizes. Authors also used file pre-fetching strategy to improve 76 the efficiency of file access. Analyzing similarity between pairs is a cumbersome task for large number of files. 77 Sharma et al [12] proposed a dual merge technique called Hash Based-Extended Hadoop Archive to solve the 78 small file problem in Hadoop. The small files are merged using two level compaction. This reduces the storage 79 overhead at Namenode and increase the data block space utilization at Datanodes. File access is made efficient 80 using two level hash function. The proposed solution is atleast 13% faster compared to default Hadoop. The 81 files were merged without considering the content characteristics and their semantics. Wang et al [13] combined 82 merging and caching to solve the small file problem in Hadoop. Authors proposed a equilibrium merger queue 83 algorithm to merge small files to Hadoop block size and then merged file is saved to HDFS. Indexing is built to 84 access small files. To reduce the communication overhead between the client and Namenode for small file access, 85 pre-fetched cache is used. With the cache, the number of RPC calls to name node is reduced. The memory 86 consumption at Namenode drastically reduced in the proposed solution compared to default Hadoop Archives. 87 Contents were merged without considering their content characteristics and semantic correlation. Ali et al [14] 88 proposed a enhanced best fit merging algorithm to merge small files based on type and size. The merging is done 89 till Hadoop block size is reached and merged file is saved to HDFS. Author found that merging improved Hadoop 90 storage utilization by 64% but the file access time was higher in this work. Prasanna et al [15] compressed many 91 small files into a zip file to the size of Hadoop data block and saved to disk. This increased the disk utilization 92 of data nodes and name nodes. But the computational overhead in compressing stage and decompressing during 93 processing is higher. Huang et al [16] addressed the small file problem for the case of images in Hadoop. A 94 two level model was proposed specific to medical images. The images were grouped at first level based on series 95 and next level based on examination. The grouped images are saved to data blocks in HDFS. Indexing and pre-96 fetching is done to done is reduce the access time for small image files. The pre-fetching algorithm did not have 97 higher cache hit. Renner et al [17] extended the Hadoop archive to appendable file format to solve the small file 98 problem. Small files are appended to existing archive data files whose block size is not completely used. Authors 99 used first fit algorithm to select the data blocks. In addition indexing is done to facilitate faster access. Red black 100 tree structure is used for indexing for efficient lookup. Though this scheme improved the data block utilization, 101 appending is done without considering content characteristics and semantic similarity. Liu et al [18] proposed 102 a file merging strategy based on content similarity. Files are converted to vector space features and correlation 103 between the features is measured using cosine similarity. When cosine similarity is greater than threshold, files 104 are merged. In addition authors used pre-fetching and caching to speed up the file access. Constructing a global 105 feature space for streaming data is difficult and thus this approach is not suitable for streaming data.Lyu et al 106 [19] proposed an optimized merging strategy to solve small file problem. The small files are merged based on 107

size in such that way block size is fully utilized. In addition authors used pre-fetching and caching to increase 108 the access speed. Only block size utilization was considered as the only criteria for merging without considering 109 content characteristics and semantic relations. Similar to it Mu et al [20] proposed an optimization strategy 110 to maximally fill the existing Hadoop archive by appending small files. In addition author also used secondary 111 index to speed up the execution of file access. But here too merging was done without considering content 112 characteristics and semantic relation. Wang et al [21] used probabilistic latent semantic analysis to determine the 113 user access pattern and based on it small files are merged to a large file and placed in HDFS. In addition author 114 also improved the pre-fetching hit ratio based user access transition pattern. Both the strategies improvised the 115 speed of access and data block utilization. But this scheme is not suitable for multi user environment as for each 116 user, a merging order must be kept and this increases the storage overhead. He et al [22] merging the small files 117 based on balance of data blocks. The aim was to increase the data block utilization. Merging did not consider 118 content characteristics and their semantic relation. Fu et al [23] proposed an flat storage architecture to handle 119 the small files. In this scheme, both files and meta data are collocated with meta size fixed for any number of 120 small files. This is facilitates by meta data having only pointer to related information in its index. But the scheme 121 is not suited for Hadoop as collocation causes higher access overhead for large files. Tao et al [24] merged small 122 files to large file and built a linear hash to small files to speed up access. File size was the only criteria considered 123 124 for merging. Bok et al [25] integrated file merging and caching to solve the small file problem. Author used two 125 level of cache for small files, so that access requests to - Cai et al [7] file merging algorithm based on two factors of distribution of the files and the correlation of the file The correlation is not based on content characteristics 126 Choi et al [8] integrated combined file input format and JVM reuse to solve the small file problem memory buildup 127 due to JVM reuse can crash the tasks due to inefficient memory management Peng et al [9] combined merging 128 and caching techniques to solve the small file problem 129

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The merging was based only on size without considering the content characteristics and semantic similarity Caching on global context can provide better performance for some users and can give worst performance for other users. To solve this access time discrepancy among the users, personalized caching strategy must be employed.

Steaming Support: Most of the merging schemes does not handle the steaming data effectively. Streaming data content similarity cannot be computed effectively using vector space modeling and their merging can become ineffective. Merging based on streaming arrival patterns has not been considered in earlier works.

¹⁶¹ 3 IV. Research Directions

162 Based on the open issues identified, a prospective framework for further research is presented in Figure ??.

The framework addresses three problem areas of context specific merging, personalized access and streaming support.

Context Specific Merging: It can be facilitated and made adaptive using machine learning. Based on the application contexts and inherent data characteristics the files to be merged can be found. Blocks can be categorized based on context and small files can be categorized based on context. Context based merging is the realized to merge files and blocks based on context similarity. Instead of flat context, hierarchical context can be learnt automatically from file summarization. File summarization strategies specific to file types can be proposedto identify the context to be associated with files and blocks.

Personalized Access: User can be clustered based on their content access patterns over a temporal duration and multiple caches can be maintained for each user group. Also the cache item management can be based on multi criteria optimization instead of LRU mechanisms. The items to pre-fetch can be identified based on context associated with files. By this way access speed up can be increased and optimized specific to each user group.

Streaming Support: To support streaming data, the context must be learnt dynamically in a light weight manner and association of small file to blocks must be done based on context. To learn context in a light weight manner, the streaming data characteristics and their arrival patterns must be used.

¹⁷⁸ 4 V. Conclusion

179 This survey made a critical analysis of existing solutions for small file problem in Hadoop. The solutions were

analyzed in four categories of file merging solutions, file caching solutions, optimizing Hadoop cluster structure

and Map task optimizations. Based on the survey, three open issues of context specific merging, personalized
 access and streaming support are identified. Prospective solutions to these three open issues were identified and
 a solution roadmap for further exploration in this area was documented.

Critical Analysis of Solutions to Hadoop Small File Problem Year 2023 25 Volume XXIII Issue II Version I () C Global Journal of Computer Science and Technology Figure 1: Research direction framework © 2023 Global Journals

Figure 1: Context based Block Categorization Machine learning based Context identification Small files Context based file to block association Context attested Small files Context attested Blocks User profiling Context based cache for user group 1 User access request User clustering Context based cache for user group 2 Context based cache for user group n Context based prefetching multi criteria optimization based caching

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Work	Solution for Small file Problem	Gap Merging was done only based on file types
Ahad et al [4]	dynamic merging strategy based on the file type	without considering the context and their
		semantic relation small files are merged only based on size,
Siddiqui	cache based block management	without considering the semantic rela-
et al $[5]$	technique	and content characteristics
Zhai et al [6]	a index based archive file with order preserving hash for speedup	Does not support streaming

Figure 2: Table 1 :

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Figure 3: Table 1 .

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