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# A Literature Review on Emotion Recognition using Various Methods

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#### 6 Abstract

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Emotion Recognition is an important area of work to improve the interaction between human 7 and machine. Complexity of emotion makes the acquisition task more difficult. Quondam 8 works are proposed to capture emotion through unimodal mechanism such as only facial 9 expressions or only vocal input. More recently, inception to the idea of multimodal emotion 10 recognition has increased the accuracy rate of the detection of the machine. Moreover, deep 11 learning technique with neural network extended the success ratio of machine in respect of 12 emotion recognition. Recent works with deep learning technique has been performed with 13 different kinds of input of human behavior such as audio-visual inputs, facial expressions, 14 body gestures, EEG signal and related brainwaves. Still many aspects in this area to work on 15 to improve and make a robust system will detect and classify emotions more accurately. In 16 this paper, we tried to explore the relevant significant works, their techniques, and the 17 effectiveness of the methods and the scope of the improvement of the results. 18

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Index terms—
 A Literature Review on Emotion Recognition using Various Methods Reeshad Khan ? & Omar Sharif ?

#### 22 **1** I. Introduction

ost common exposition of an idea of emotion could be found as "a natural instinctive state of mind deriving from 23 one's circumstances, mood, or relationships with others". Which misses depicting the driving force behind all 24 motivation which may positive, negative or neutral. This is very important information to understand emotion as 25 an intelligent agent. It is very complicated to detect the emotions and distinguish among them. Before a decades 26 or two emotion started to become a concern as an important addition towards the modern technology world. 27 Rises the hope of new dawn for intelligence apparatus. Imagine a world where machines do feel what humans 28 need or want. With the special kind of calculation then that machine could predict the further consequences and 29 by which mankind could avoid serious circumstances and lot more. Humans are far more strong and intelligent 30 due to the addition of the emotion but less effective than machines. But what if machines get this special 31 features of human? It will be the strongest addition to the technology ever. And to make the dreams come 32 true this is the first step; train a system to spot and recognize emotions. This is the start of an intelligent 33 system. Intelligent Systems are becoming more efficient by predicting and classifying decision in various aspects 34 of practical life. Particularly, emotion recognition through deep learning has become intriguing research area 35 for its innovative nature and practical implication. This technique mainly consists of detecting emotion through 36 various kinds of input taken from different human behavior and condition. A technology namely neural network 37 detects emotion through deep learning. For its complication mentioned earlier, an emotion recognition system 38 with stellar efficiency and accuracy is needed. 39

# 40 2 II. Recent Related Work in the Relevant Field

<sup>41</sup> Previous works are focused on eliciting results from unimodal systems. Machines used to predict emotion by <sup>42</sup> only facial expressions [1] or only vocal sounds [2]. After a while, multimodal systems that use more than one

features to predict emotion has more effective and gives more accurate results. So that, the combination of 43 features such as audio-visual expressions, EEG, body gestures have been used since. More than one intelligent 44 machine and neural networks are used to implement the emotion recognition system. Multimodal recognition 45 method has proven more effective than unimodal systems by Shiqing et al. [3]. Research has demonstrated that 46 deep neural networks can effectively generate discriminative features that approximate the complex non-linear 47 dependencies between features in the original set. These deep generative models have been applied to speech and 48 language processing, as well as emotion recognition tasks [4][5][6]. Martin et al. [7] showed that bidirectional Long 49 Short Term Memory(BLSTM) network is more effective that conventional SVM approach.; In speech processing, 50 Ngiam et al. [8] proposed and evaluated deep networks to learn audio-visual features from spoken letters. In 51 emotion recognition, Brueckner et al. [9] found that the use of a Restricted Boltzmann Machine (RBM) prior to 52 a two-layer neural network with fine-tuning could significantly improve classification accuracy in the Interspeech 53 automatic likability classification challenge [10]. The work by Stuhlsatz et al. [11] took a different approach for 54 learning acoustic features in speech emotion recognition using Generalized Discriminant Analysis (GerDA) based 55 on Deep Neural Networks (DNNs). Yelin et al. [12] showed three layered Deep Belief Networks(DBNs') give 56 better performance than two layered DBNs' by using audio-19 Year 2017 () F 57

Abstract-Emotion Recognition is an important area of work to improve the interaction between human and 58 59 machine. Complexity of emotion makes the acquisition task more difficult. Quondam works are proposed to 60 capture emotion through unimodal mechanism such as only facial expressions or only vocal input. More recently, 61 inception to the idea of multimodal emotion recognition has increased the accuracy rate of the detection of the machine. Moreover, deep learning technique with neural network extended the success ratio of machine in 62 respect of emotion recognition. Recent works with deep learning technique has been performed with different 63 kinds of input of human behavior such as audio-visual inputs, facial expressions, body gestures, EEG signal and 64 related brainwaves. Still many aspects in this area to work on to improve and make a robust system will detect 65 and classify emotions more accurately. In this paper, we tried to explore the relevant significant works, their 66 techniques, and the effectiveness of the methods and the scope of the improvement of the results. 67

visual emotion recognition process. Samira et al [13] used Recurrent neural network combined with Convoluted Neural Network(CNN) in an underlying CNN-RNN architecture to predict emotion in the video. Some noble methods and techniques also enriched this particular research. They are more accurate, stable and realistic. In terms of performance, accuracy, reasonability and precision these methods are the dominating solutions. Some of them are more accurate but some are more realistic. Some take much time and require greater computation power to produce the more accurate result but some compromises accuracy over performance. The idea of being successful might differ but these solutions are the best possible till now.

Yelin Kim and Emily Mower Provos explore whether a subset of an utterance can be used for emotion inference 75 and how the subset varies by classes of emotion and modalities. They propose a windowing method that identifies 76 window configurations, window duration, and timing, for aggregating segment-level information for utterance-77 level emotion inference. The experimental results using the IEMOCAP and MSP-IMPROV datasets show that the 78 identified temporal window configurations demonstrate consistent patterns across speakers, specific to different 79 classes of emotion and modalities. They compare their proposed windowing method to a baseline method that 80 randomly selects window configurations and a traditional all-mean method that uses the full information within 81 an utterance. This method shows a significantly higher performance in emotion recognition while the method only 82 uses 40-80% of information within each utterance. The identified windows also show consistency across speakers, 83 demonstrating how multimodal cues reveal emotion over time. These patterns also align with psychological 84 findings. But after all achievement, the result is not consistent with this method [15]. 85

A. Yao, D. Cai, P. Hu, S. Wang, L. Shan, and Y. Chen used a well-designed Convolutional Neural Network 86 (CNN) architecture regarding the video based emotion recognition [14]. They proposed the method named as 87 HOLONET has three critical considerations in network design. (1) To reduce redundant filters and enhance 88 the non-saturated non-linearity in the lower convolutional layers, they used modified Concatenated Rectified 89 Linear Unit (CReLU) instead of ReLU. (2) To enjoy the accuracy gain from considerably increased network 90 depth and maintain efficiency, they combine residual structure and CReLU to construct the middle layers. (3) 91 To broaden network width and introduce multi-scale feature extraction property, the topper layers are designed 92 as a variant of the inception-residual structure. This method more realistic than other methods here. It's focused 93 on adaptability in real-time scenario than accuracy and theoretical performance. Though its accuracy is also 94 impressive but only this method is applicable only in the video based emotion recognition. Other types of data 95 rather than video, this method can't produce results [14]. 96

97 Y. Fan, X. Lu, D. Li, and Y. Liu. proposed a method for video-based emotion recognition in the wild. 98 They used CNN-LSTM and C3D networks to simultaneously model video appearances and motions [16]. They 99 found that the combination of the two kinds of networks can give impressive results, which demonstrated the 91 effectiveness of the method. In their proposed method they used LSTM (Long Short Term Memory) -a special 92 kind of RNN, C3D -A Direct Spatio-Temporal Model and Hybrid CNN-RNN and C3D Networks. This method 93 gives a great accuracy and performance is remarkable. But this method is much convoluted, time-consuming and 94 less realistic. For this reason, efficiency is not that impressive [16].

104 Zixing Zhang, Fabien Ringeval, Eduardo Coutinho, Erik Marchi and Björn Schüller proposed some im-105 provement in SSL technique to improve the low performance of a classifier that can deliver on challenging

recognition tasks reduces the trust ability of the automatically labeled data and gave solutions regarding the 106 noise accumulation problem -instances that are misclassified by the system are still used to train it in future 107 iterations [17]. they exploited the complementarity between audio-visual features to improve the performance of 108 the classifier during the supervised phase. Then, they iteratively re-evaluated the automatically labeled instances 109 to correct possibly mislabeled data and this enhances the overall confidence of the system's predictions. This 110 technique gives a best possible performance using SSL technique where labeled data is scarce and/or expensive 111 to obtain but still, there are various inherent limitations that limit its performance in practical applications. This 112 technique has been tested on a specific database with a limited type and number of data. The algorithm which 113 has been used is not capable of processing physiological data alongside other types of data [17]. 114

Wei-Long Zheng and Bao-Liang Lu proposed EEG-based effective models without labeled target data using 115 transfer learning techniques (TCA-based Subject Transfer) [18] which is very accurate in terms of positive emotion 116 recognition than other techniques used before. Their method achieved 85.01% accuracy. They used to transfer 117 learning and their method includes three pillars, TCA-based Subject Transfer, KPCA-based Subject Transfer 118 and Transductive Parameter Transfer. For data preprocessing they used raw EEG signals processed with a 119 bandpass filter between 1 Hz and 75 Hz and for feature extraction, they employed differential entropy (DE) 120 features. For evaluation, they adopted a leave-one subject-out cross-validation method. Their experimental results 121 122 demonstrated that the transductive parameter transfer approach significantly outperforms the other approaches 123 in terms of the accuracies, and a 19.58% increase in recognition accuracy has been achieved.

Though this achievement is limited to the positive emotion recognition only. This method is limited in terms of negative and neutral emotion recognition. Yet a lot improvement needed to recognize negative and neutral emotion more accurately [18].

# <sup>127</sup> **3** Proposed Method

In terms of emotion recognition, there is no indefinite way or method which is the univocal solution. A lot of 128 solution have come and many to comes in near future with significant improvement in terms of efficiency, accuracy, 129 and usability. In past and the current research shows that multimodalities dominated the area of emotion 130 recognition than unimodality. Using EEG and audio-visual signal yields the best possible results according to 131 the newest researches. We assume LSTM-RNN is the best way to handle multimodalities. So our proposal is 132 focused on emotion recognition by EEG and audio-visual signal using LSTM-RNN. This type of research has 133 been done before. But our challenge is to improve the model where it will be trained by EEG and audiovisual 134 data at the same time and will make a relation between this data wherein, if one type of data is not available in 135 a situation, the model could still produce the result; finding the relation within the data. So, the training will 136 have two part; training for the data and training to understand the relations between the data. 137

# <sup>138</sup> 4 V. Conclusions

139 In this Paper we discussed about the work done on emotion recognition and for achieving that all

# <sup>140</sup> 5 Future Work Scope

We are working towards a machine with emotions. A machine or a system, which can think like humans, can feel warmness of heart; can judge on events, prioritized between choices and with many more emotional epithets. To make the dream reality first we need the machine or system to understand human emotions, ape the emotion and master it. We just started to do that. Though there is some real example exists this days. Some features and services are getting popularity like Microsoft Cognitive Services but still there is a lot works required in the terms of efficiency, accuracy and usability. Therefore, in future Emotion Recognition is an area requires a great intentness.

#### <sup>148</sup> 6 III.

superior and novel approaches and methods. We have proposed a glimpse of a probable solution and method
towards recognition the emotion. Work so far substantiate that emotion recognition using users EEG signal and
audiovisual signal has the highest recognition rate and has highest performance.

 $<sup>^1 @</sup>$  2017 Global Journals Inc. (US)

A Literature Review of	on Emotion Recognition us	ing Various Methods		
Reference and year	Approach and Method	Performance		
		Positive (85.01%) emotion recogni-		
		tion rate		
Wei-Long Zheng and Bao-	EEG-based affective models	is higher than other approaches but neutral		
Liang Lu	without labeled target	(25.76%) and negative $(10.24%)$		
-	data	emotions		
(2016)	using transfer learning	are often confused with each other.	Year	
	techniques (TCA-based Subject Transfer)	Delivers a strong performance in the	2017	
Zixing Zhang, Fa-	,	classification of high/low emo-		
bien		tional		
Ringeval, Fabien	Semi-Supervised Learn-	arousal (UAR = $76.5\%$ ), and sig-		
Ringeval,	ing	nificantly		
Eduardo Coutinho,	(SSL) technique	outperforms traditional SSL meth-		
Erik		ods by at		
Marchi and Björn		least $5.0\%$ (absolute gain).		
Schüller				
(2016)		Achieved accuracy $59.02\%$ (with-		
		out using		
Y Fan X Lu D Li	Video-based Emotion	any additional		
and				
Y. Liu.	Recognition Using CNN-	Emotion labeled video clips in		
	RNN	training		
(2016)	and C3D Hybrid Net-	set) which is the best till now.		
	works			
A. Yao, D. Cai, P.				
Hu, S.				
Wang, L. Shan and		Achieved mean recognition rate of		
Y. Chen	HeleNet, terrenda nebuat	57.84%.		
(2016)	HoloNet: towards robust emotion recognition in	51.8470.	(	
(2010)	the wild			
			F	
Yelin Kim and	Data driven framework		-	
Emily	to			
Mower Provos	explore patterns (tim- ings and	Achieved $65.60\%$ UW accuracy, $1.90\%$		
(2016)	durations) of emotion			
× /	evidence,	0		
	specific to individual			
	emotion			
	classes	177		
		1.1./		

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IV.

Figure 1: Table 1 :

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