

# 1 A Survey on Bandwidth Management Techniques Via the OSI 2 Model Network and Application Layers

3 Umeh Innocent Ikechukwu<sup>1</sup>

4 <sup>1</sup> Nnamdi Azikiwe University

5 *Received: 7 December 2016 Accepted: 1 January 2017 Published: 15 January 2017*

6

---

## 7 **Abstract**

8 Nowadays, virtually all the basic aspects of human endeavor is computer and network  
9 dependent. Therefore bandwidth being one of the most valued resource and component of any  
10 network must be properly managed to yield a reliable performance. Over the years, different  
11 algorithms, models, techniques and applications have been developed for network and  
12 bandwidth management yet bandwidth problems has persistently remained on the increase.  
13 This work is an in-depth survey on the causes of bandwidth problems, the basic models and  
14 techniques for bandwidth management and is followed by an analysis which is aimed at  
15 yielding meaningful suggestions on how a better technique or method for securing an efficient  
16 and improved bandwidth management solution using the application layer of the OSI network  
17 model can be achieved.

18

---

19 **Index terms**— network, OSI models, techniques, bandwidth, bandwidth management, layer(s), internet,  
20 administrator.

21 A Survey on Bandwidth Management Techniques Via the OSI Model Network and Application Layers I.  
22 Introduction nowadays, almost every endeavor of human daily lives depend primarily on computers and related  
23 devices which in turn are based on networks. Domestic, official, social, financial, economic, religious and many  
24 other human activities have all become computer and network based. Also, these activities when carried out  
25 with the computer have been proved to be more successful and cheaper when computer networks get involved.  
26 Computer networks on its side, requires data bandwidth for its operation and functionality. Bandwidth is a very  
27 essential but expensive network resource which must be properly managed to provide the maximum required  
28 throughput expected by the network owners and the network users. The lack of or improper management of a  
29 network to conserve bandwidth results to network crisis or failure.

## 30 **1 a) Requirements for a Good Network**

31 Like every other project, a network projects must have a proper design for the network to survive expansion after  
32 deployment. According to ANAND (2005), good networks do not happen by accident rather good networks are  
33 the result of hard work by network designers and technicians, who identify network requirements and select the  
34 best solutions to meet the needs of a business. Network users generally do not think in terms of the complexity  
35 of the underlying network. They think of the network as a way to access the applications they need, when they  
36 need them. A few of the requirements to achieve a good network have been identified to include the following;  
37 i. A network should stay up all the time, even in the event of failed links, equipment failure, and overloaded  
38 conditions. ii. Every network should reliably deliver applications and provide reasonable response times from  
39 any host to any host. iii. A network should be secure. It should protect the data that is transmitted over it  
40 and data stored on the devices that connect to it. iv. A network should be easy to modify to adapt to network  
41 growth and general business changes. v. Because failures occasionally occur, troubleshooting should be easy.  
42 Finding and fixing a problem should not be too time-consuming.

43 The statements above fall in line with Sunjay Sharma (2011) recommendations for a manageable network to  
44 be achieved.

**45 2 b) Network Management**

46 Network management is the process of manipulating resources of a network such as bandwidth, storage, etc. in  
47 other to improve the performance of the network.

48 Over the years, various techniques and models of layered architecture has been employed to either, administer,  
49 manage and or secure computer networks. John S. et al. (2011), in a study on the causes of failure in internet  
50 access delivery in Nigerian university libraries, observed that planning and eventual management of the bandwidth  
51 of a computer network is always a challenging task yet, networks must be properly managed to provide efficiency,  
52 throughput and good quality of service (QoS). Layering which implies the division of one whole network process  
53 into smaller tasks where each of the small task is then assigned to a particular layer which works dedicatedly  
54 to process only that task. Layering idea has been greatly employed in managing network and its complex and  
55 important resources like bandwidth.

**56 3 d) Network Bandwidth**

57 Bandwidth is a very important network resource and plays a very key factor in networking. Bandwidth can  
58 be defined in variety of ways depending on the context. In computing, bandwidth can be defined as; the bit-  
59 rate of available or consumed information capacity in a network and expressed typically in metric multiples  
60 of bits per second (bps). Bandwidth may be characterized as network bandwidth, data bandwidth or digital  
61 bandwidth. Behrouz (2013) defined bandwidth as a range within a band of frequencies or wavelengths while  
62 Devajit et al. (2013) defined bandwidth in computer networking as, a reference to the data rate supported by a  
63 network connection or interface.

**64 4 e) Bandwidth Management Challenges**

65 Bandwidth is one of the most required and most expensive components of the internet today. It is a general  
66 knowledge that the higher the available bandwidth, the better the performance of their networks but this is not  
67 always true rather actually dependent on certain factors viz;

68 i. The cost of bandwidth is a major cost of network and most organization obtain as much as they can only  
69 afford rather than as they need. But most times, the users' demand on bandwidth exceeds the capacity of  
70 their link causing saturation and leading to network poor performance. ii. Most network face the challenge of  
71 bandwidth misuse and abuse causing such networks to suffer from bandwidth insufficiency or vulnerability of  
72 their networks. iii. Some networks are not managed at all. Reference Sara gywnn (2013) stated categorically  
73 that most research centers and educational institutions in Africa and the developing world are not managed at  
74 all thereby causing network failures and sometimes the extinction of such networks. iv. Avister (2009) also proved  
75 that although most people assume that internet congestions is only on the link to the internet, but congestion is  
76 mainly in the incoming direction. Therefore, the significance of bandwidth in a network cannot be overemphasized  
77 and suggest that there is the need for efficient bandwidth management systems and models in every network.

**78 5 f) Significance of Bandwidth Management**

79 In order to meet the objectives of organizations and internet users, internet usage must be managed to achieve the  
80 following; 1. To control the expensive cost of bandwidth 2. To offer good network performance and efficiency  
81 for critical and useful applications 3. To enable the use of non-critical applications when resources are available  
82 1. Limiting the non-critical traffic in such a way that it does not affect the necessary critical traffic 2. Bandwidth  
83 traffic attempts to separate the critical traffic from the non-critical in order to achieve 1 above.

**84 6 It also aims at providing sufficient resources traffic**

85 for areas deemed critical by the network owner 4. To carry non-critical traffic on the basis of resource availability.  
86 5. To limit the usage of unauthorized applications within the network. 6. To limit the size of bandwidth usage  
87 and avoid waste.

88 In order to achieve these outlined objectives of bandwidth management, the various techniques employed by  
89 different network owners, administrators and users to manage bandwidth apart from the measures considered  
90 during the planning and design of a network can be categorized into four main follows;

**91 7 a) Restriction of Internet Usage Technique**

92 This technique implies placing a restriction on those users, group of persons or applications from accessing the  
93 available bandwidth by means of software embedded in a routers and seems to be more effective in providing  
94 security. Most internet users in organizations only require to access their corporate intranet and email. Such users  
95 should be restricted from accessing the internet rather may be provided with web machine for their occasional  
96 internet need since not providing internet access to those who do not need it for corporate functions improves  
97 the network security. Also, access restriction include the prevention of unauthorized users and non-critical  
98 applications from accessing the bandwidth. This is a sure way of improving network bandwidth and security.  
99 Sometimes, users are equipped with applications that are not necessary for their corporate function and they  
100 end up wasting bandwidth and causing network traffic hogs. For example running streaming media, social media

---

101 and torrent download in a corporate environment. Such should be restricted to improve network performance by  
102 reducing unnecessary traffic in the network. Access restrictions are implemented by assigning private IP addresses  
103 (e.g. 192.168.xx.xx) to users, none use of se network address translation (NAT) and through channeling web  
104 access via a proxy server. Access restriction can be summarized as taking administrative measure to prevent  
105 unauthorized bandwidth usage.

## 106 **8 Fig. 2: Restriction of internet usage technique b) Time Shift 107 Internet Usage**

108 This bandwidth management technique uses ftp (file transfer protocol) and web mirror servers to upload files on  
109 to the server at night which can be accessed by day. It applies off-line downloading where large files downloads  
110 are queued for off-peak hours. User are requested on appeal to shift their internet usage time and this technique  
111 often yields low success.

## 112 **9 c) Managing multiple connections**

113 This is another technique used for managing bandwidth. Obviously, managing a single network is a lot easier  
114 than managing multiple sites but many sites use multiple connections as a result of cost and reliability problems.  
115 It is ideal to share network load proportionally among multiple connections but it is easier to control outing  
116 traffic as against in coming traffic because of the difficulty of managing the dynamic assignment of IP addresses  
117 from different connections to different group of users. Border Gateway Protocol (BGP) is a standardized exterior  
118 gateway protocol designed to exchange routing and reachability of information among autonomous systems. BGP  
119 is difficult to configure for managing multiple connections and requires the co-operation of the ISPs involved as  
120 well as the services of an expert to configure it. Furthermore, multiple connections bandwidth management  
121 technique is done using NAT and use of proxies with multiple IPs apportioned to the IP.

## 122 **10 d) Network Layer Bandwidth Management**

123 The network layer of the OSI network model is responsible for address assignment and the unique addressing of  
124 hosts in a network using the IP network protocol to route messages using the best path available. Bandwidth  
125 management at the network layer operates as a real time management technique by processing data packets as  
126 they arrive. Managing bandwidth at the network layer involve network traffic also called data traffic which refers  
127 to the amount of data moving across a network at a given point of time as stated by Jianguo (2013). Network data  
128 is mostly encapsulated in network packets which provide the load in the network. Network traffic is the main  
129 component for network traffic measurement, network traffic control and simulation. The proper organization  
130 of network traffic helps in ensuring the quality of service (QoS) in a given network. The QoS techniques of  
131 Integrated Services (Intserve) and differentiated services (Diffserve) can be used to manage bandwidth since it  
132 has to do with data traffic. QoS is used to provide service to applications at the required quality by checkmating  
133 data loss, delay and jitter to manage and make efficient use of bandwidth to meet organization's needs. QoS and  
134 bandwidth management have similar objectives except that QoS is real-time and only applicable at the network  
135 layer while bandwidth management can be done using different techniques at different layers of the OSI model  
136 to achieve the required objectives. QoS uses packet classification, queuing disciplines, packet discard policies,  
137 policing and shaping as to perform bandwidth management functions. Conclusively, one can include QoS in a  
138 bandwidth management system by configuring QoS in a router to control outgoing traffic as far as the internet  
139 link is not congested. Incoming traffic is though difficult to control using this technique. Bandwidth management  
140 at the network layer is traffic control based using a router as illustrated in Fig. ??1.

## 141 **11 i. Bandwidth allotment model (BAM)**

142 Bandwidth allotment model was the first bandwidth modelling management model developed and was used in a  
143 triggered dynamic bandwidth management mechanism ??15].

144 ii. Bandwidth Constraint Models One of the goals of DiffServ or MPLS traffic engineering is to guarantee  
145 bandwidth reservations for different service classes. For these goals two functions are defined

## 146 **12 iii. Static Bandwidth Allocation**

147 This management technique allocates maximum bandwidth level to each class and uses traffic-shape or rate-limit  
148 command to control the data traffic. If a class uses less than the allocated bandwidth, it is not restricted but  
149 if the class attempts to use more than the allocated bandwidth, it is limited. If total allocations is less than or  
150 equal to the available BW then all allocations can be satisfied. Otherwise, Total allocation ids greater than the  
151 available bandwidth.

152 **13 iv. Dynamic Bandwidth Allocation**

153 In dynamic bandwidth allocation policy-map and bandwidth or priority commands are used to provide limited  
154 bandwidth only when link is congested. The classes are not subjected to limitation only when the link is not  
155 congested.

156 **14 v. Bandwidth Reservation**

157 Bandwidth reservation is another allocation method which is based on priority of a class. The remaining  
158 bandwidth can then be allocated to none priority classes. It is best used when a fixed bandwidth is required for  
159 priority or critical traffic.

160 **15 e) Limiting Non-priority Traffic**

161 This is done allocating Small bandwidth nonpriority traffic classes while the remaining available bandwidth is  
162 left for the priority traffic. The method is suitable when traffic is variable and the priority traffic does not require  
163 unnecessarily limitation.

164 i. Priority Queuing This method gives priority to the priority class and sends traffic to others only if the link  
165 is free. They remain in que until there is no traffic requirement from the priority class. control browsing speed  
166 of clients in a network using a proxy server [9]. Reference [9] further stated that in computer networks, a proxy  
167 server may be a computer system or an application which serves as an intermediary between servers sought after  
168 by clients. Proxy servers are hosts systems which relays web access requests from clients. They are used when  
169 clients do not have direct access to the web to improve security, logging, accounting and performance in networks.

170 **16 Fig.2.2: Proxy Server used for bandwidth and other man-  
171 agement**

172 Caching and storing copies of recently accessed web pages for faster data access is another method of managing  
173 bandwidth. It enable pages to be delivered from the cache when requested for again. The pages are stored in  
174 browser caches and or proxy caches and manage bandwidth by;

175 ? providing shorter response time to data ? reduced bandwidth requirement from users or client ? reduced  
176 load on servers thus increasing their efficiency ? providing network access control and logging

177 ? Some examples of proxycache include; Apache proxy, MS proxy server and Squid.

178 **17 III. Application Layer Bandwidth Management**

179 Network application layer techniques for bandwidth management is another bandwidth management techniques  
180 which has been proved by recent research to be one of the most critical areas that can be used to improve  
181 bandwidth management and provide efficient network performance yet it has been observed to be the least  
182 area that has been researched in solving bandwidth or network management problems. According to Avister  
183 (2009), Youngzsoft (2015) and Ronget al. (2010), different application have been developed and distributed for  
184 managing network at the application layer while more are still undergoing development for use as network based  
185 bandwidth management applications. New network technologies are emerging and network usage is also growing  
186 very rapidly such that today, almost all organizations and individuals cannot work without the internet which  
187 is the largest network as stated by Kassimet al. (2012). Networks provide better communications, transfers of  
188 data and information, businesses through cloud computing and many more. These needs have resulted in the  
189 development of more applications to meet up with the need of these services. The application tools need to be  
190 monitored for business purposes and must prioritize the network bandwidth as it should be used since internet  
191 bandwidth usage ranks top among other network application needs.

192 Application layer bandwidth management (BWM) allows for the creation of policies which regulate bandwidth  
193 consumption by specific file types within a protocol, while allowing other file types to use unlimited bandwidth.  
194 This enables a network administrator to distinguish between desirable and undesirable traffic within the same  
195 protocol. Application layer bandwidth management is supported for all Application matches, as well as custom  
196 App Rules policies using HTTP client, HTTP Server, Custom, and FTP file transfer types.

197 **18 a) Firewalls**

198 Firewalls are usually configured to manage bandwidth at global or WAN levels. Bandwidth management  
199 modelling using the network applications layer which was based on a comparative study on five recent research  
200 on this subject, it was and discovered that each of them used a different mathematical equation to model  
201 the bandwidth management method in a network [12]. Reference [12] survey showed that apart from the use  
202 of firewall and "Big pipe" approaches to manage network and bandwidth, different recent researches adopted  
203 different model, algorithms or techniques in solving either network or bandwidth management problems at the  
204 application layer of the OSI model which have also been agreed as the best layer for bandwidth management  
205 even though bandwidth management problems still exists.

---

## 206 **19 b) Application Bandwidth Management**

207 Application bandwidth management (ABM) is the collection of a set of Quality of Service (QoS) tools used to  
208 manipulate and prioritize data traffic by application type thereby preventing bandwidth-intensive applications,  
209 such as peer-to-peer applications like BitTorrent from crowding or taken over legitimate business traffic in a  
210 network.

211 Dan Dinicolo (2013) mentioned that, advanced bandwidth management solutions are employed to maximize  
212 an organization's available bandwidth through carrying out inspections and classifying the generated traffic by  
213 common business applications based on granular policies, and ensuring that the most critical network traffic  
214 receives the highest priority across WAN links.

215 Solutions provide automatic application protocol classification and comprehensive policies and traffic controls  
216 such as rate shaping, rate limiting, selective dropping and priority marking.

## 217 **20 c) Application Protocol Classification**

218 Application protocol Classification involves Deep Packet Inspection (DPI) techniques which will enable bandwidth  
219 management devices to identify application protocols notwithstanding whether those applications use deceptive  
220 port-hopping, porttunnelling, and encryption techniques to avoid detection or not.

## 221 **21 d) Innovative User-Based QoS Policies**

222 This technique allocate bandwidth and network application access transparently to network users solely on the  
223 bases user IDs or using traditional QoS policies with respect to Layer 3-7 traffic classifications.

## 224 **22 e) Bandwidth Utilization Reports**

225 Bandwidth utilization reports are used to quickly identify top protocols, and find users that are consuming too  
226 much bandwidth with a view of managing and effectively utilizing the available bandwidth on a network.

## 227 **23 f) Internet Access Bandwidth Management Techniques**

228 Internet Access Management is one of the resource management techniques and is often based on using the  
229 network applications layer to conserve bandwidth in a network.

## 230 **24 IV. Analysis of the Existing Bandwidth Management Applications**

232 All the existing different BWM models and techniques used for network bandwidth management which were x-  
233 rayed in section IIIproved to be efficient but each of the model, techniques of algorithm lacked in certain aspects  
234 therefore failed to provide total bandwidth management due to one or two deficiencies as follows.

## 235 **25 a) Lack of Security in Some Models**

236 One of the major challenges facing modern networks management is security. Security begin with the users in a  
237 network to other threats from outside the network especially through the internet. An unsecured or an insecure  
238 network is prone to bandwidth wastage, misuse and other network problems which can affect bandwidth most  
239 especially when the network user causing the problem remains unidentifiable.

## 240 **26 b) Non-Consideration of Human Factors on Management**

241 All the existing bandwidth management methods reviewed did not consider the human intervention / user  
242 involvement in both using and managing bandwidth as necessary parameters to be considered in order to secure  
243 an efficient bandwidth management in a network. It can be seen that biometric parameters can have serious  
244 effect on bandwidth but are not considered by the various techniques for bandwidth management reviewed.

## 245 **27 c) Specialization of Management**

246 The network layer techniques considered data traffic as the major parameter for bandwidth management while the  
247 application layer management techniques considered filter and bandwidth allotment based on classes or policies  
248 as the possible methods for bandwidth management. These alone cannot yield complete bandwidth management.

## 249 **28 d) Lack of Biometric Impact**

250 In almost every network management practice, the facial identity, department, position, job title are not deemed  
251 necessary for user account creation. Most often, only a User name, user ID and password are the only identification  
252 and authorizations considered when creating user accounts in most network. The implication is that the network  
253 admins most often do not have access or opportunity of seeing or physically identifying who is using or doing  
254 what in their networks. Therefore such practices like impersonation, stealing a user ID or masquerading a user  
255 can easily grant network access to an unauthorized user who may misuse bandwidth and malicious activities

## 30 CONCLUSION

---

256 which can eventually cause harm to the network. Also, an authorized user in a large network may tend to waste  
257 or misuse bandwidth when he or she cannot be physically identified.

### 258 29 e) Recommendations for Good Bandwidth Management 259 Model

260 Based on the analysis of this survey, the following recommendations are suggested to be included in any bandwidth  
261 management application to proffer bandwidth or network management efficiency. The development of a hybrid  
262 model or system that will encompass the features of the various application layer models applied for bandwidth  
263 management. c) The inclusion of an automatic and immediate feedback system to any bandwidth management  
264 system with the capability of using the mac address of every user who attempts to gain entrance to a network  
265 but fails after two attempts. The user will only be able to login after a biometric or physical identification is  
266 made by the admin.

267 In conclusion, of an audit trail system that is capable of always monitoring the activities of high end bandwidth  
268 users.

269 V.

## 270 30 Conclusion

271 Despite improvements in equipment performance and media capabilities, network design is becoming more and  
272 more difficult as networks are expanding on daily basis due to the digital age. The trend is toward increasingly  
273 complex environments involving multiple media, multiple protocols, and interconnection to networks outside  
274 any single organization's dominion of control. Carefully choosing the most appropriate model in designing  
275 networks can reduce the hardships associated with growth as a networking environment evolves. Choosing the  
276 appropriate network model must not be overlooked because, it is a prerequisite for a network design and the  
277 eventual management of a network and its resources. Different bandwidth management technique and models  
278 were surveyed with respect to the layers of the OSI network model. The survey showed that the application layer  
279 bandwidth management techniques was recommended by most researchers as the most effective for bandwidth  
280 management notwithstanding some minor problems which this survey observed to have resulted basically from  
281 the non-inclusion of human parameters in the various application layer models surveyed. In conclusion, to  
282 curb network and bandwidth management problems, with human being traffic generators and bandwidth users,  
283 human factors and influence on bandwidth must be considered by any model before a very efficient bandwidth  
284 management solution can be achieved. The survey recommend the development of a model or algorithm which  
285 will use the application layer to manage bandwidth while considering bandwidth allocation, sharing methods and  
using organizational policies based on human biometric and influence to manage networks. <sup>1 2 3</sup>

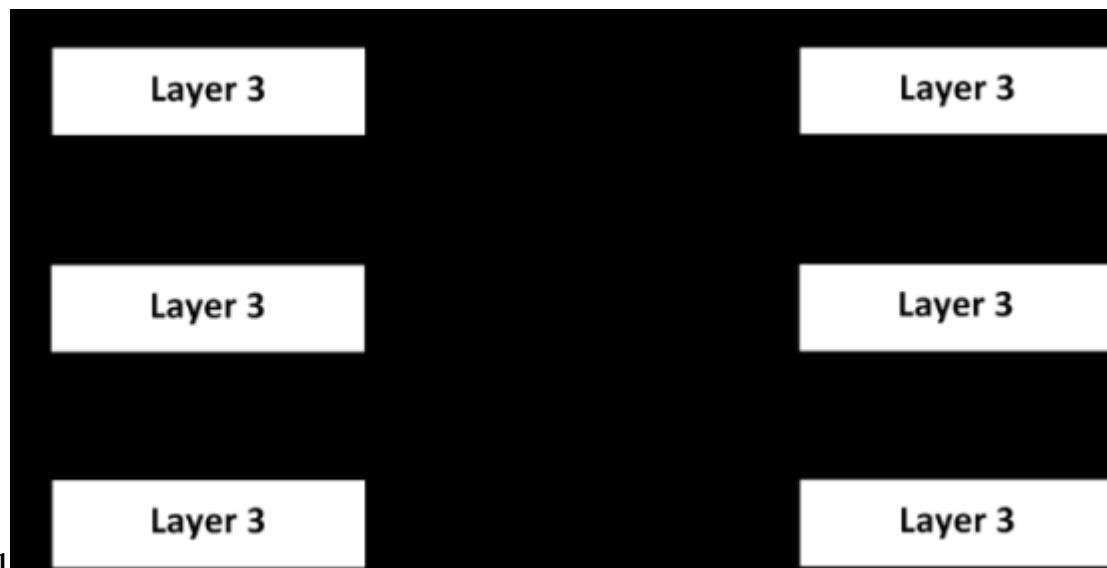


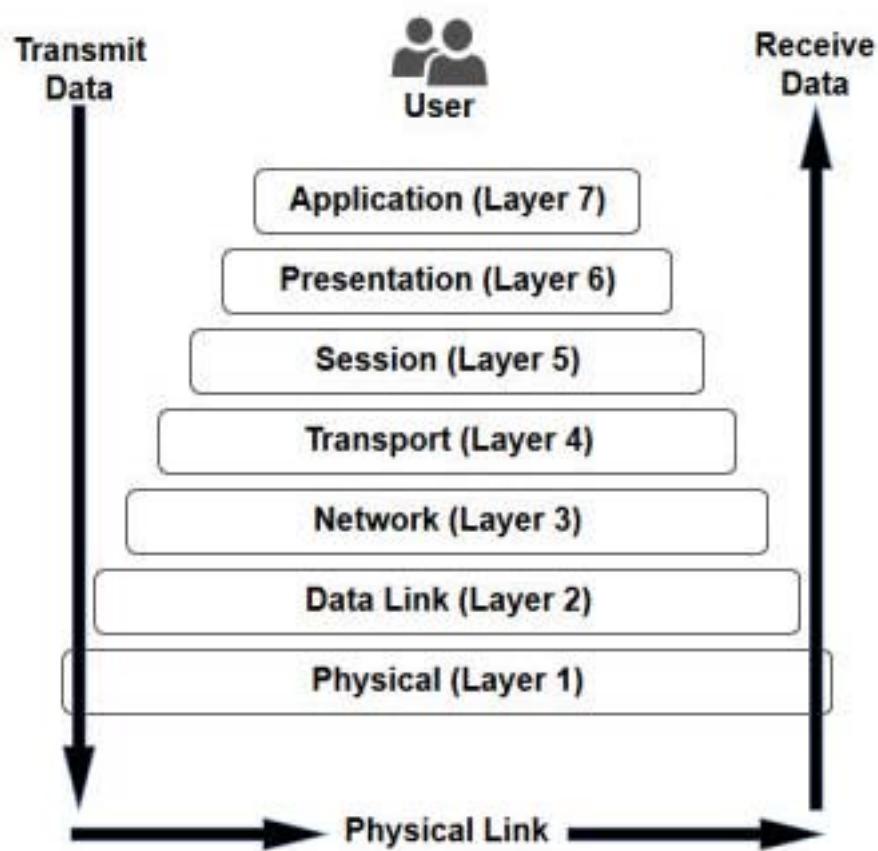
Figure 1: Fig. 1 :

286

<sup>1</sup>© 2017 Global Journals Inc. (US) 1

<sup>2</sup>© 2017 Global Journals Inc. (US) ( )

<sup>3</sup>© 2017 Global Journals Inc. (US)



12

Figure 2: Fig. 1 . 2 :

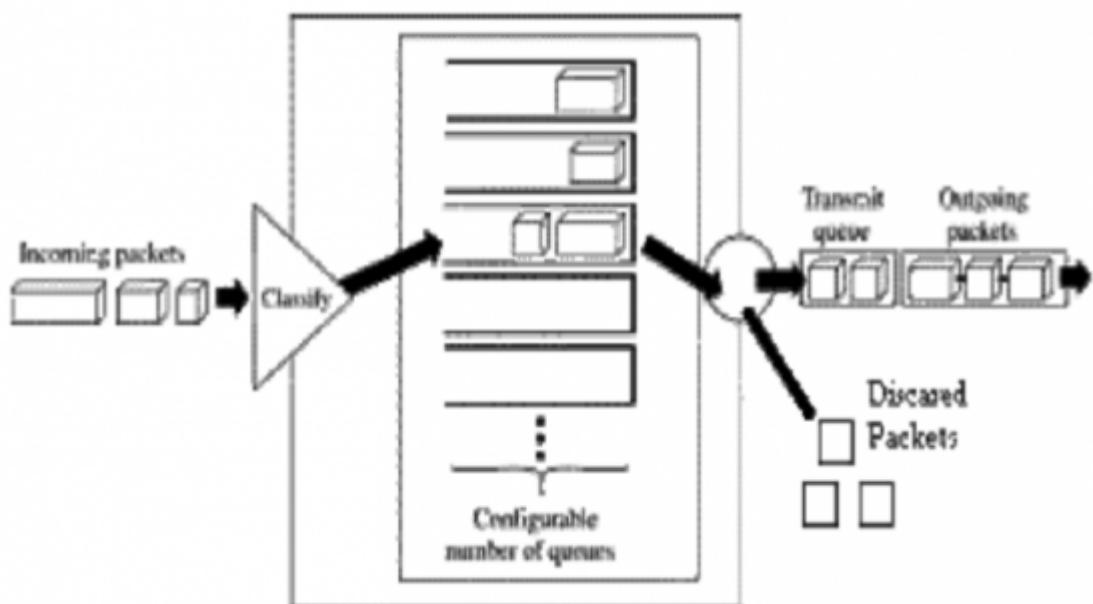
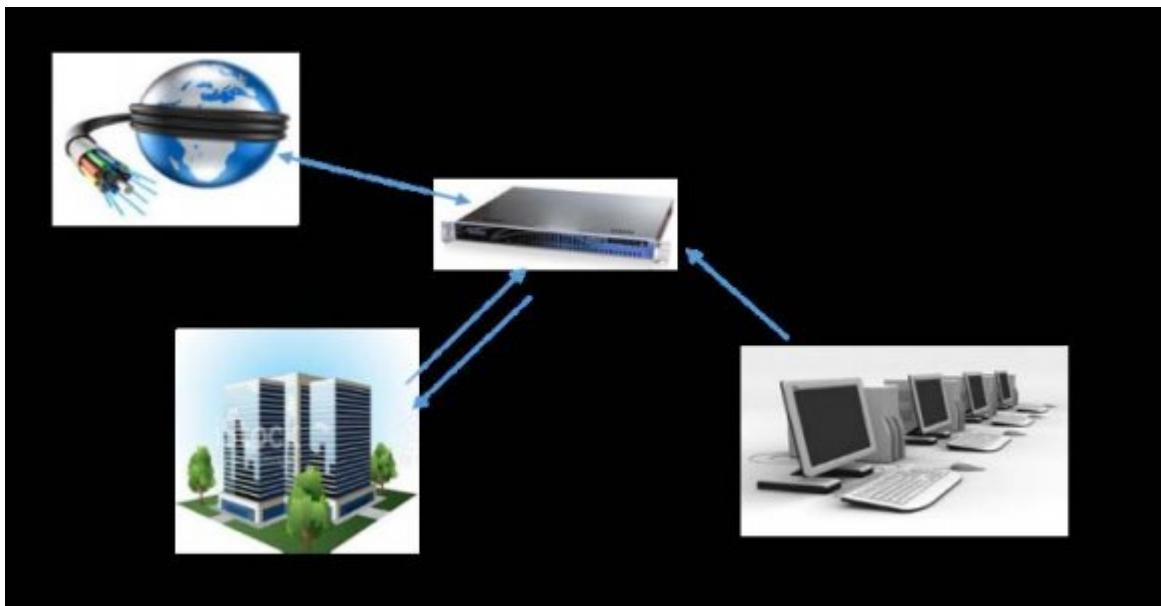


Figure 3:



21

Figure 4: Fig. 2 . 1 :



Figure 5:



Figure 6:

- 
- 287 [Dinicolo (2000)] , Dan Dinicolo . 2013. 2000Trainers. August 23rd 2016.
- 288 [Reale1 et al. (2013)] , Rafael F Reale1 , Romildo M Da , S Bezerra2 , S B Joberto , Martins . November 2013.
- 289 [Sharma ()] *A course in computer networks (Principles, Technologies and Protocols)*, Sunjay Sharma . 2011. p. .
- 290 (OSI reference model and network architecture. First Edition)
- 291 [Devajit et al. ()] ‘A study of bandwidth management in computer networks’. M Devajit , A Majidul , J Utpal .
- 292 *International Journal of Innovative Technology and Exploring Engineering* :2278-3075Vol-2 issue 2. 2013. p. .
- 293 [Advances in network management International Standard BookNumber ()] ‘Advances in network management’.
- 294 978-1-4200-6455-1. *International Standard BookNumber* 2013. CRC Press. 13 p. . Tylor&Francis Group
- 295 (Jianguo Ding)
- 296 [Cao and Yeng ()] *Bandwidth Management in Computer Networks* 2010, H Cao , G Yeng . <http://netmag.edu/~poi/clepis233/ebook/index.htm> 2010.
- 297
- 298 [Behrouza and Forouzan ()] *Data communications and networking 5E, Introduction Global Edition*, Behrouza ,
- 299 Forouzan . 2013. p. .
- 300 [Kassim et al. ()] ‘International scholarly and scientific research & innovation’. M Kassim , M Ismail , K Jumari
- 301 , YusofJ . *World Academy of Science, Engineering and Technology* 2012. 6 (2) p. .
- 302 [John et al. ()] ‘Managing and Improving Upon Bandwidth Challenges in Computer Network’. S John , R E
- 303 Okonigene , V O Matthews , B Akinade , I Chukwu . *Journal of Emerging Trends in Engineering and Applied*
- 304 *Sciences* 2011. 2 (3) p. . (JETEAS))
- 305 [Sousa A. Viana (ed.) ()] *Metaheuristics: Computer decision Making Applied Optimization Series*, Sousa & A.
- 306 Viana (ed.) 2010. Norwell, MA, USA: Kluwer Academic Publishers. 68 p. .
- 307 [Rong et al. (ed.) ()] *NewBenchmark Instances for the Steiner problems in Graphs*, I Rong , M Aragao , C C
- 308 Uchoa , R F Werneck . M.G.C. Resende J.P (ed.) 2010.
- 309 [Product approach document bandwidth management ()] *Product approach document bandwidth management*,
- 310 2009. p. .
- 311 [Gwynn (2013)] ‘Promoting access and capacitybuilding for scientific information resources’. Sara Gwynn . *IAP*
- 312 *Workshop*, (Darker, Senegal) January 2013. p. .
- 313 [Youngzsoft (2015)] Youngzsoft . <http://youngzsoft.net/ccproxy/managebandwidth.htm> Retrieved from, July 2015.
- 314
- 315 [Wireless Hotspots: Current challenges and future directions, mobile networks and applications ANAND ()]
- 316 ‘Wireless Hotspots: Current challenges and future directions, mobile networks and applications’. ANAND
- 317 2005. 10 p. .