

# An E-Passport System with Multi-Stage Authentication: A Casestudy of the Security of Sri Lanka's E-Passport

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## Abstract

E-passport or Electronic passport is one of the newly established research areas, especially since in the last few years there have been numerous reported attempts of illegal immigration across a number of country borders. Therefore, many countries are choosing to introduce electronic passports for their citizens and to automate the verification process at their border control security. The current e-passport systems are based on two technologies: RFID and Biometrics. New applications of RFID technology have been introduced in various aspects of people's lives. Even though this technology has existed for more than a decade, it still holds considerable security and privacy risks. But together with RFID and biometric technologies an e-passport verification system can reduce fraud, identity theft and will help governments worldwide to improve security at their country borders. In 2017 Sri Lankan government proposed to introduce a new epassport scheme which will contain embedded RFID tags for person identification purpose. Therefore, this paper proposes a novel multi-stage e-passport verification scheme based on watermarking, biometrics and RFID.

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*Index terms*— watermarking, e-passport, RFID, facial verification, signature verification, encryption, featurematching.

## 1 I. INTRODUCTION

-passports or electronic passports are a combination of traditional paper passports with an embedded Radio Frequency Identifier (RFID) tag. The RFID tag stores the information printed on the passport bio-data page along with additional biometric information (i.e., iris, fingerprint scans) of the holder. Being machine-readable, the concept of electronic passport improves the efficiency of the verification process at border control security. Concurrently the security of the entire passport authentication procedure is strengthened by e-passports with the duplication of bearer information printed on the bio-data page as well as the inclusion of biometric parameters. Many countries around the world have already adopted the use of electronic passports with the rest increasingly following in their footsteps.

The security of an electronic passport system can be reinforced with the incorporation of a multitude of tactics that establish the owner's identity as well as remedy some of the inherent vulnerabilities of RFID

## 2 II. LITERATURE REVIEWS

Strengthening the security of e-passport systems has always been a sought-after research topic given its vitality to a fortified national defense system. With the adaptation of RFID as the principal technology in modern e-passport implementations, refining its security has become a leading research area given the hardware-based unsophisticated nature of the technology. Consequently, many security experts and academics have proposed various approaches to address known RFID vulnerabilities in the context of epassport systems as well methods to improve the security of passports systems overall.

42 Al-Hamami & Alhafez [1] have proposed the use of Diffie-Hellman key exchange Algorithm to share a private  
43 key between the RFID tag and the NFC implemented Inspection System, while separately storing a unique  
44 watermark, inside the passport photo and the RFID tag. These stored watermarks will later be compared during  
45 the verification process to ensure that the Tag has not been cloned. Mehan et al. [2] suggested a method for  
46 authenticating electronic passports by using Elliptic Curve Cryptography (ECC) applied in the dual domain (i.e.,  
47 spatial and frequency) where the passport holder's image is split into twin segments, and the holder's passport  
48 particulars are fragmented into two parts as well.

49 Wang et al. [3] proposed a two-stage verification method, where a person is enrolled, during which the image  
50 is watermarked, and authenticated established research areas, especially since in the last few years there have  
51 been numerous reported attempts of illegal immigration across a number of country borders. Therefore, many  
52 countries are choosing to introduce electronic passports for their citizens and to automate the verification process  
53 at their border control security. The current e-passport systems are based on two technologies: RFID and  
54 Biometrics. New applications of RFID technology have been introduced in various aspects of people's lives. Even  
55 though this technology has existed for more than a decade, it still holds considerable security and privacy risks.  
56 But together with RFID and biometric technologies an e-passport verification system can reduce fraud, identity  
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59 identification purpose. Therefore, this paper proposes a novel multi-stage e-passport verification scheme based  
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61 technology itself. This paper proposes a system which utilizes a digital watermarking mechanism to establish  
62 owner's identity and to verify the integrity of the information stored in RFID tag. The system also comprised of  
63 encryption techniques to ensure the confidentiality of the information stored inside the RFID tag. The remainder  
64 of this paper will be structured as follows. Section 2 overviews the existing literature in the subject while section  
65 3 addresses the security issues the proposed system attempts to solve. Section 4 discusses the proposed solution  
66 in detail. Experimental results obtained from the software simulation of the proposed system is analyzed in the  
67 5 th section with the final section containing the concluding remarks.

68 when the watermark is extracted and verified. Their proposed system was based on multi-modal biometrics  
69 where both facial and palm samples of the user are extracted to produce the inputs.

70 The purpose of the approach suggested by Saeed et al. [4] was to increase the security of existing epassport  
71 protocols to eliminate the data leakage and tag-cloning threats associated with embedded RFID technology.  
72 They proposed the use of increased key sizes to avoid data leakage, storing the private key of the chip in an  
73 inaccessible location to prevent tagcloning. In the system suggested by Peeters et al. [5], they propose to ensure  
74 passport-bearer privacy by replacing the use of bootstrap from the low entropy value in the e-passport MRZ with  
75 a mutual authentication pattern. This method involves two authentication stages; a terminal authentication  
76 followed by an e-passport authentication. Viswanathan et al. [6] suggested a method that embeds an invisible  
77 watermark inside the passenger photograph, created using passenger's full name and passport number during  
78 the initial issuance of the passport. This method attempted at establishing a correspondence between passport's  
79 photo and its owner which could later be verified at border control.

### 80 3 III. SECURITY OF AN E-PASSPORT a) Establishing a link 81 between facial image and biodata

82 One of the main prevalent issues in the e-passport authentication process is establishing a correspondence between  
83 the holder's facial photograph and the provided information. It is a common practice among illegal immigrants,  
84 blacklisted passengers, and other criminals to forge passport documents with their images and someone else's  
85 bio-data. Accordingly, it's evident that there is a requirement for a mechanism to bind the facial photograph of  
86 a passport holder with their information and be able to verify the authenticity of it.

### 87 4 b) Facial Image & Signature Verification

88 Forgery of passports using facial images resembling the valid owner of a passport and forging their signatures  
89 aren't entirely unheard of and is a practice that is continued to be carried out even to this day. According to  
90 an official authority at Department of Emigrations and Immigrations of Si Lanka, individuals have managed to  
91 manipulate the issuance office into issuing passports that necessarily did not contain their personal information.  
92 This type of counterfeit is done by trying to impersonate the legitimate owner of the information where the  
93 impersonator either accurately resembled the appearance of the authentic owner (i.e., twin sibling, relative)  
94 or managed to manipulate the appearance (i.e., change hair, wear make-up) to resemble the original owner.  
95 Similar kinds of attempts are carried out to falsify the hand-signatures of passport holders which necessitates the  
96 requirement of a system that allows for the detection of such forgeries.

### 97 5 c) Data Skimming

98 An inherent vulnerability related to the security of RFID technology is the ability to read the material stored  
99 inside an RFID tag, by any individual in possession of an RFID reader, since there isn't any default mechanism in

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100 place to encrypt the information stored within the tag. The danger of this threat lies in the fact that even a short  
101 distance, such as 3-foot, could allow an attacker to perform a skimming attack against an e-passport. Skimming  
102 poses one of the greatest threats related to e-passports since as per the mandate of the ICAO (International  
103 Civil Aviation Organization), e-passports contain sensitive passenger information such as passenger name, date of  
104 birth and passport identification number [7]. Actual deployments will include biometric information, nationality,  
105 profession, and place of birth [7]. Hence, it's imperative to deploy a mechanism that ensures the confidentiality  
106 of the stored information within the RFID tag.

## 107 **6 d) Tag Cloning**

108 Cloning means that an adversary produces emulators of a genuine RFID transponder that behave identically and  
109 hence cannot be distinguished from the original transponder [8]. Although Baseline ICAO regulations mandate  
110 digitally signing e-passport data, which theoretically allows the RFID reader to validate that the data originated  
111 from the legitimate passportissuing authority, it still fails in binding the data to anepassport or RFID tag. Thus  
112 it provides no defense against potential cloning of e-passport tags [7]. This vulnerability requires being readily  
113 addressed to protect the integrity of any e-passport system.

## 114 **7 e) The Validity of Information Stored Inside the RFID Tag**

115 It's extremely vital that the border security can verify that an e-passport contains the exact data that was  
116 written in the tag during the issuance process. They should be able to authenticate that the information stored  
117 by the legitimate passport issuing authority has not been tampered with and that they can undeniably verify  
118 the authenticity of information stored inside the embedded RFID tag ensuring guaranteed national security.

## 119 **8 IV. PROPOSED SOLUTION**

120 The proposed solution addresses all the security concerns discussed under section 3, details of which are explained  
121 in this section. This phase takes place at Department of Emigration & Immigration of Sri Lanka where the  
122 passports are issued for individuals for the first time. The stages involved in the passport issuance process are  
123 individually discussed as follows.

## 124 **9 a) Acquisition of Information**

125 During this initial stage of the system, relevant information about the passport applicant will be acquired (i.e.,  
126 applicant image, signature, full name, gender, assigned passport number, date and place of birth, profession, NIC  
127 number, nationality, type of passport, date of issue and date of expiration). The acquired data will be validated  
128 for the correct data input format (i.e., dates in DD/MM/YYYY format etc.) and the existence of mandatory  
129 fields (such as first and last names, passport number etc.). Failure of the input validation process will prompt  
130 the data entry operator to enter the data in the correct format or to complete all mandatory fields.

## 131 **10 b) Watermarking the Facial Image**

132 Watermark creation requires applicant first, second, third and family names and passport number as input  
133 parameters. A random four-digit numeric key will be generated using which each input parameter will be encoded  
134 to produce a numeric value. However, since not all applicants possess second and third names, in such cases  
135 a custom value will be assigned for those parameters. Using these encoded parameters, a numeric watermark  
136 and the location to store the generated watermark within the image will be calculated and the watermark will  
137 be embedded in the calculated location. The watermark will be embedded replacing the highest intensity of  
138 RGB channels at any calculated location. As illustrated in figure 1 The RFID tag contain all initially acquired  
139 information of the applicant. To prevent data skimming attacks the information stored in the RFID tag will be  
140 encrypted using AES. The key for the AES encryption will be randomly generated to contain 14 alphanumeric and  
141 special characters. All information initially acquired will be saved in a centralized passport holder information  
142 database. Additionally, all required watermark calculation values will be stored in the centralized watermarking  
143 information database, which will be used during the validation process to verify the recalculated watermark.

## 144 **11 d) Save Information in Corresponding Databases**

145 The key that was used for the AES encryption will also be centrally stored.

## 146 **12 2) Passport Verification b) Verification of RFID-stored In- 147 formation c) Facial Image Verification**

148 During the second stage of the verification process, the facial image section of the scanned biodata page is  
149 compared against a centrally stored facial image template. The images are matched using the feature key-points  
150 based algorithm SIFT, which would display the number of best-matched key-points between the two images. If  
151 the number of similar key-points is equal or greater than a predetermined threshold, set based on experimental

152 results, the two images will be verified as similar. Otherwise, the proposed solution will flag the bearer-image as  
 153 a mismatch.

154 **13 d) Hand-Signature Verification**

155 This stage follows a verification procedure akin to the facial image verifications procedure. The section of  
 156 scanned bio-data page where the bearer’s signature is contained is extracted as an image and compared against  
 157 the centrally stored template of the bearer signature that has been obtained during the issuance stage. The SIFT  
 158 algorithm is again utilized here to detect identical key-points between the two images. Based on experimental  
 159 results, a different threshold is set for signature verification, where the similarity of the two signatures is  
 160 authenticated if the number of matched key-points is similar or greater than the determined threshold.

161 **14 e) Recalculate and Verify Watermark**

162 This is the final stage of the verification mechanism. The central database is accessed, and the bearer’s full name  
 163 and the key used for the initial watermark calculation is extracted. The watermark is recalculated using the  
 164 retrieved information along with the bearer passport number in real-time. The recalculated watermark values  
 165 (watermark plus storage location) are compared against the centrally stored values to ensure the legitimacy of the  
 166 watermark thus establishing a correspondence between bearer information and their facial image. Furthermore,  
 167 the watermark embedded inside the facial image (which is stored inside the RFID tag) is compared against the  
 168 centrally stored watermark. This is done to ensure that the RFID tag is bound to the holder of the passport  
 169 which confirms that the tag has not been cloned.

170 **15 V. EXPERIMENTAL RESULTS**

171 The prototype was developed using Python programming language version 2.7. As the inputs for the developed  
 172 verification prototype, passport holder’s passport number and the scanned bio-data page of the passport are  
 173 acquired which proceeds the following multi-stage verification procedure.

174 **16 a) Verify RFID Tag against the Central Server**

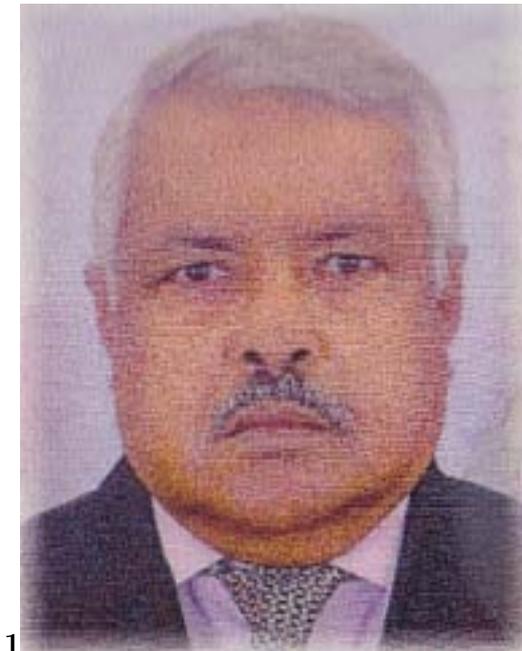
175 As shown in figure 4, during this stage the information inside the RFID tag will be displayed against the  
 176 centrally stored bio-data which is accessed using the passport number of the bearer. Under ideal circumstances,  
 177 the information centrally stored must be identical to the information extracted from the RFID tag. As the first  
 178 step of the verification process, using the passport number, the centralized passport holder information database  
 179 is accessed, and the respective database record for passport holder is displayed. Simultaneously, the password for  
 180 AES decryption of the RFID file is retrieved. The encrypted RFID file is then decrypted, and the information is  
 181 displayed alongside the retrieved database information. Human intervention is required to verify the details and  
 182 in this case the border-control official can decide whether or not the information presented in the passport and  
 183 the information retrieved are similar. During this stage, the facial image contained in the scanned bio-data page  
 184 is compared against the centrally stored image template of the passport bearer. As shown in figure 5 if the two  
 185 images share a satisfactory number of identical key-points the prototype would declare them as authenticated.  
 186 But as depicted in figure 6 if the two images do not contain a substantial number of similar key-points, i.e., the  
 187 number of matching key-points are less than the desired threshold, the prototype will display an 'Image Mismatch'  
 188 warning to the user. Correspondingly, during signature verification, the system will successfully authenticate if  
 189 the two signatures, the scanned signature, and the centrallystored signature template, share the necessary number  
 190 of similar key-points in between. But, if the system fails to detect the required number of similar key-points  
 191 between the two images, then the system will warn the user that the signatures are a mismatch. The results are  
 192 displayed in figures 7 and 8 respectively. During this final stage of verification, the watermark for the respective  
 193 passport will be recalculated and compared against the centrally stored watermark and the watermark embedded  
 194 inside the image stored in the RFID tag. If all three comparisons are identical, the system will conclude the  
 195 process. ? ? ? ? 2 ? ? ? ? 3 ? ? ? ? 4 ? ? ? ? 5 ? ? ? ? 6 ? ? ? ? 7 ? ? ? ? 8 ? ? ? ?

196 **17 VI. CONCLUSION**

197 In this paper we propose a novel multi-stage authentication scheme that incorporates verification of data stored  
 198 inside the RFID tag, watermarking, facial and signature authentication for e-passports. Information embedded  
 199 within the RFID tag is first compared against the centrally stored bio-data to determine their similarity. The  
 200 printed facial image and signature on the passport are compared against centrally stored items to validate their  
 201 authenticity. As the final stage of the verification, the watermark embedded in the image stored inside the  
 202 RFID tag will be recalculated and compared to establish owner identity as well prevent tag-cloning. All the



Figure 1:



1

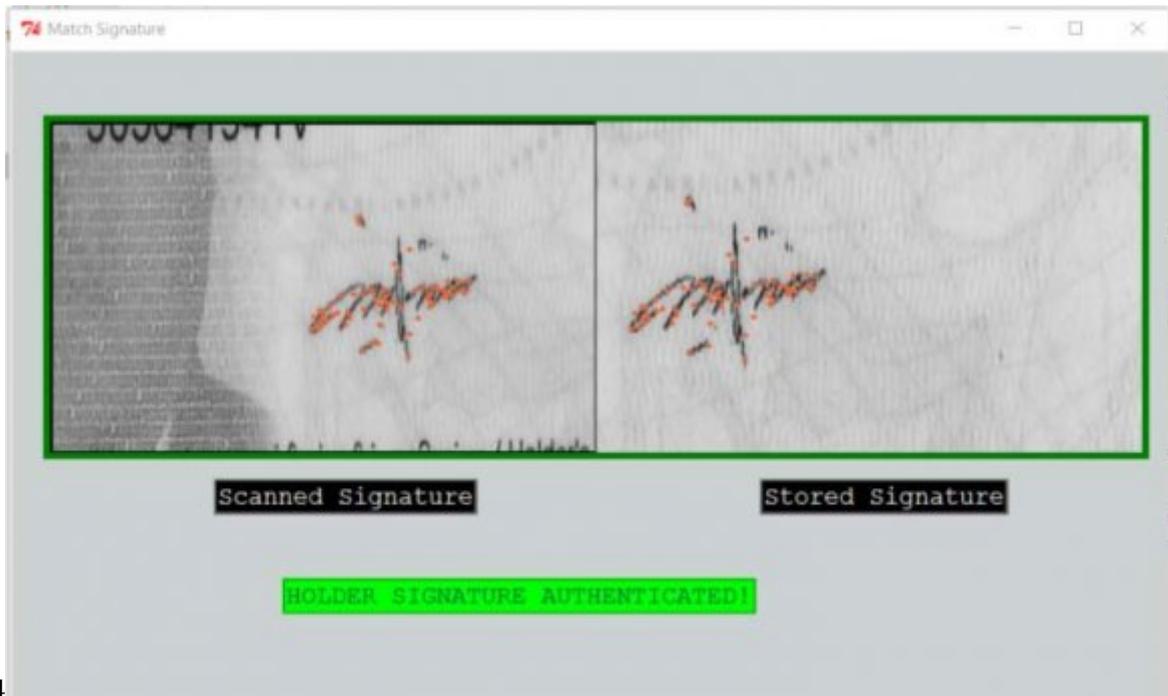
Figure 2: Figure 1 :



Figure 3: Figure 2 :



Figure 4: Figure 3 :



4

Figure 5: Figure 4 :



5

Figure 6: Figure 5 :

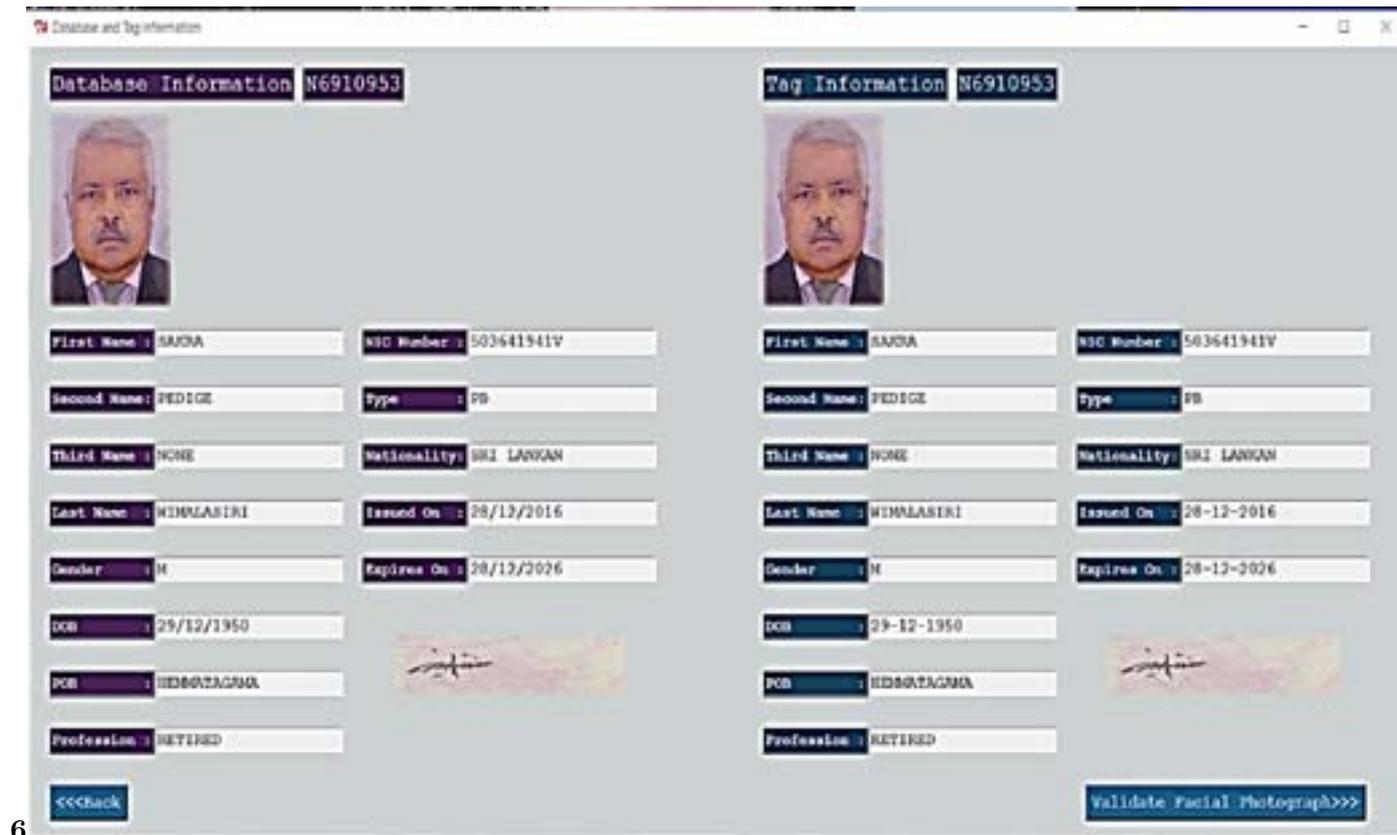


Figure 7: Figure 6 :

203 information stored inside the RFID tag is encrypted to eliminate skimming attacks. The experimental results  
 204 reflect the functionality of the proposed solution at each stage. <sup>1 2 3</sup>

<sup>1</sup>An E-Passport System with Multi-Stage Authentication: A Case study of the Security of Sri Lanka's E-Passport

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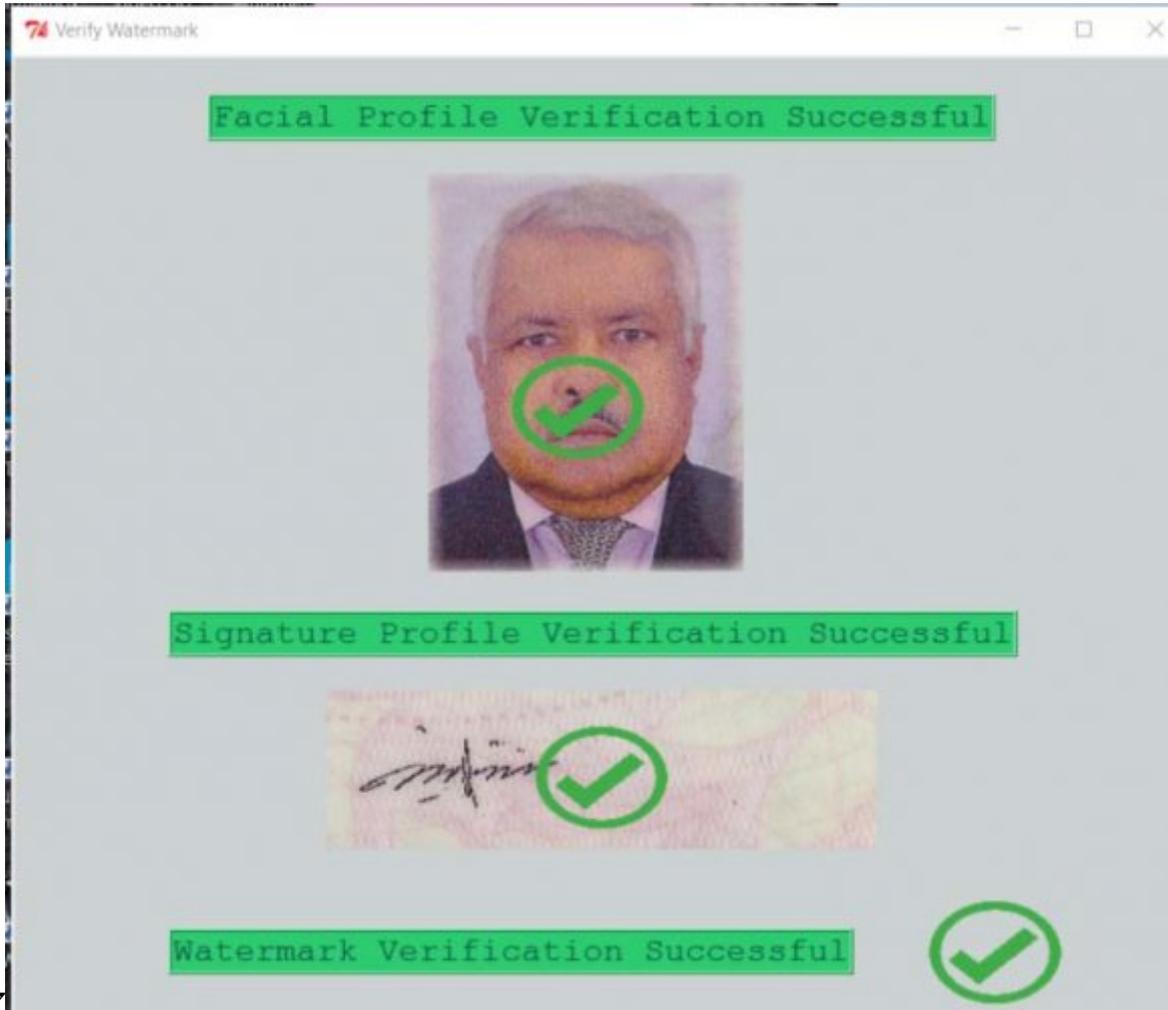


Figure 8: Figure 7 :

1

Passport RFID Verification	Information	Facial Image Verification	Signature Verification	Watermark Verification
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1

Figure 9: Table 1 :



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