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1 2	Multiband Antennas Design Techniques for 5G Networks: Present and Future Research Directions
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7 Abstract

With the development of wireless communication system has demanded compact wireless 8 devices that allow more space to integrate the other electronics components. Advancement in 9 technology creates challenges in implementing antenna for multiple RF band with a wide 10 range of frequencies. With the advancement of optimization technique we can improve the 11 antenna design as well as provide us the motivation of analyzing the existing studies in order 12 to categorize and synthesize them in a meaningful manner. The objective of this paper 13 contributes in two ways. First, it provides the research and development trends and novel 14 approaches in design of multiband MIMO, smart reconfigurable and defected ground structure 15 (DGS) antenna techniques for wireless system. Secondly, it highlights unique design issue 16 reported in literature. The proposed paper aim is filling the gap in the literature and 17 providing the researcher a useful reference. 18

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20 Index terms— multiband MIMO, smart reconfigurable, integrated components, printed slots, fractals.

²¹ 1 Introduction

he development of wireless communication technology such as computer, cellular technology, Person Area Network 22 for remote regeneration and observing of surroundings information has demanded for antenna suitable to operate 23 with dual or multiband characteristics in wireless communication devices. Broadband Antenna in wireless 24 25 communication area has demanded the design of antennas that must operate effectively over a wide range of 26 frequencies. Concurrently, Multiband antennas are required for mobile communication technology which operates in different frequency ranges. The design and development of antenna should be in the compact size in order to 27 offer more space to integrate other electronics components for reduction of volume of the wireless communication 28 system. The integration of broadband, Multiband antennas with frequency reconfigurability is based on P-I-N 29 diode, Material, Optical switch, Mechanical movement based. This is the most challenging scenario for deploying 30 the antenna for desired frequency. In addition, Use of defected ground structure, Use of Metamaterial with high 31 quality factor for antenna miniaturization is required [1] [2]. 32

The new frequency band are require to cover some communication system with improved data rate as they 33 need to operate on a new band multiband MIMO antennas are required. To overcome the global bandwidth 34 shortage in today's wireless cellular networks, the fifth-generation (5G) communication system is expected to 35 36 utilize millimeter-wave bands [3], which have a large amount of available spectrum. Several measurements have 37 demonstrated the promise of orders of magnitude greater bandwidths combined with further gain via beam 38 forming and spatial multiplexing from multi-element antenna arrays [4]. As a result, designing an optimal antenna for millimeter-wave beam forming could be an important step for realizing 5G wireless cellular networks. 39 Although there are different beam forming techniques [6], so far, the active-phased array [7], [8] is the most 40 popular beam forming technology. Consequently, millimeter-wave phased-array antennas have recently drawn 41 increased attention. 42

The objective of this paper is to provide an idea of current research and development trends and novel approaches in the design and analysis of MIMO multiband, reconfigurable, defected ground structure and ⁴⁵ metamaterial antennas for 5G wireless applications. The purpose of this paper is to fill the research gap in ⁴⁶ the literature and providing the researcher to analyze the existing studies and future challenges.

47 2 a) Contributions

48 The design idea and methodology of various Multiband MIMO technology, reconfigurable and DGS antenna has 49 been described operating at short range communication and multiband antenna covering different frequencies in 50 one wireless device playing an important role to target low profile, small and multiple antennas.

? Overview of the current research trends and novel approaches used in design of multiband, frequency reconfigurable and DGS antennas is provided. ? The key ideas that will help researchers in identifying the research gaps present in the literature are presented.

54 To disentangle the idea of present and future research trends toward different wireless applications this paper is

organized as follows. In section II the research domain and design approach for various wireless communications has been provided. Section III reviews the different literature based on their mechanism. Section IV highlights

the conclusion with the future research suggestions to improve the design as well as results of the antenna.

58 **3 II.**

⁵⁹ 4 Antenna Design Techniques a) Multiband MIMO Antenna

Due to requirement of multiband antenna to cover number of applications for wireless system in less space the multiband MIMO Antenna Technology demand is getting increased for current 4G and future 5G. To design the antenna is not the easy task, but always special modification or shape combination should be implemented or proper optimization is required to get the multiband frequency range. 5G network will highly depend upon MIMO systems as it demands limited space as well as less cost. To meet all these requirement multiband MIMO antennas can be obtained by these methods.

i. Insertion of Parasitic elements Parasitic elements can be used to enable virtual rotation of the antenna. The 66 insertion of parasitic elements in MIMO array antenna will reduce the mutual coupling. The parasitic element is 67 placed at distance ?/64 to the active element to avoid power loss. We have possibility to keep short circuited/open 68 circuited parasitic elements shown in the figure 1. ii. Use of Slots In Multiband MIMO with the use of slot the 69 Slot is a cut in the patch antenna to improve the bandwidth. As the current flow in the circuit the patch can 70 be represent as the LC circuit. As the current flows around the slot, the length of the current path is increased. 71 The two resonant circuits couple together and form a wider bandwidth. The effect of slot is different at different 72 73 resonance frequency hence multiband frequencies will be obtained [11] shown in Figure 2 respectively. view [11]. 74 iii. Use of Fractal Fractal antenna often multiband properties when they radiate and zoom in on a fractal object it will look similar or exactly like the original shape. Such antenna could be used to improve the functionality of 75 modern wireless communication system. Fractal can be used in two ways to enhance antenna design. The first 76 method is in design of miniaturized antenna elements. The second method is to use the self similarity in the 77 geometry to blue print antennas which are multiband or resonant over several frequency bands. Small antennas 78 are of prime importance because of the available space limitation on device and the oncoming deployment 79 and multi input multi output (MIMO) system. However the classical small antenna suffers from insufficient 80 performance. Fractal geometry provides the solution by designing compact and multiband antenna in most 81 efficient and sophisticated way. There are many fractal geometries available like Sierpenski Carpet, Sierpenski 82 Gasket, Koch Fractal Loop, Hilbert Curve and Contor Set. The fractal antenna with Decagon and Koch geometry 83 illustrated in figure ?? 84

5 b) Smart Reconfigurable

Smart Reconfigurable technique improves the previous approaches by modifying dynamically its frequency and 86 radiation pattern properties in a controlled and reversible manner so that its behavior can be changed by 87 reconfiguration and it allow to operate on multiple frequency bands. Polarization reconfigurability or hybrid 88 antenna received much attention as it can fulfill demand for low profile antennas for different services in just 89 single terminal. The techniques that can be used for reconfigurability in antennas are many such as by using active 90 switches based on micro electro mechanical systems (MEMS) [23], PIN diodes [24]- [25], varactor diode, using 91 photoconductive switch, doing some change in structure and alteration in material. A reconfigurable antenna 92 can be classified into varies category based on switching network used given below in Figure ??: Saber Soltani 93 94 et al [26] an antenna design with fabricated model is shown in Figure ??(a) in which the antenna geometry 95 consist of four slot antenna and two slots of antenna are made reconfigurable by embedded MEMS switches. 96 The MEMS switches reduce antenna efficiency to around 45%. In [24] frequency reconfigurability was achieved 97 using PIN diode installed. In [28] this concept tuning of the two resonant frequencies is realized by varying the effective electrical length of the slot arms by embedding varactor diodes across the slots. Electrical Optical 98 Physical Material Change 99

ii. Optically reconfigurable Antenna An optically reconfigurable antennas uses lasers which incident on
 semiconductor materials like silicon, gallium arsenide. An optically shorted stub frequency reconfigurable antenna
 is illustrated in Figure 8. Sarang Pendharker et al. [29] achieved multifrequency switching of the patch by using

three photoconductive switches. iii. Physically Reconfigurable Antennas By physical alteration of the radiating structure of the antenna, reconfigurability can be achieved. It has some disadvantages like antenna size increases, the tuning speed is very less, and that is why it cannot be used in cognitive radio system. Y Tawk et al. [30] in this frequency reconfigurability achieved by the three antennas which reconfigures based on its operating frequency or radiation pattern based on a physical movement of some of its radiating parts. The antennas vary from a frequency reconfigurable quadrifilar helix to a frequency reconfigurable sector monopole as well as a radiation

109 pattern reconfigurable patch.

¹¹⁰ 6 iv. Smart Materials Based Reconfigurable Antenna

Materials for example liquid crystals or ferrites are used in making substrate which can change its characteristics. A Double Negative (DNG) material with negative permittivity and negative permeability is used for this reconfigurable antenna. The metasurface design is proved to be a metamaterial with negative refractive index, as both relative permittivity and relative permeability are observed to be negative in the desired frequency range

115 to achieve frequency reconfigure ability ??31].

¹¹⁶ 7 c) Defected Ground Structure

Defected Ground Structure referred to Slots or defects integrated on the ground plane of microwave planar circuits. Using DGS in antenna design leads to size reduction, gain or bandwidth enhancement. DGS opens a door to microwave researchers of a wide range of applications like miniaturization, multiband performance, bandwidth and gain enhancement, mutual coupling suppression between two elements, higher mode harmonics suppression, cross-polarization suppression, notched band creation, and circular polarization achievement. There are different configurations have been explored below:

There are different configurations have been explored below: 123 i. Multiband Circularly Polarized DGS Antenna Multiband frequency operations can be achieved by Circular polarized antennas. The multiband circular polarized antenna can integrate various frequency bands in a single 124 antenna and cover many wireless applications on single platform. The multiband circular antenna can also be 125 used with microstrip antenna in order to achieve small size, less weight and low cost. Jay et al. implemented 126 Defected ground structures (DGSs) under the feed lines for circular polarization of the patch antenna [33]. Jieh-127 Sen Kuo et al. achieved circular Polarization using a novel approach of the gain-enhanced microstrip antenna with 128 three triangular slots in the ground plane [34] shown in ii. Fractal Defected Ground Structure (FDGS) Fractal 129 DGS is used to reduce the mutual coupling between microstrip antenna elements, but it has never been used to 130 design CP microstrip antenna. FDGS will increase the Cross polarization XP level further more compared to 131 the conventional DGSs. The increased XP level has almost the same magnitude as that of the main polarization 132 level, which contributes to the design of the CP microstrip antenna. DGS provided more efficient size-reduction 133 of the microstrip structure and better bandgap characteristics than the dumbbellshaped DGS [38]. Kun Wei et 134 al. achieved miniaturization using FDGS and mutual coupling is reduced between coplanar spaced microstrip 135 antenna elements [39]. 136

Zheng-lin Wen et al. [41] the geometry of the Koch FDGS has high frequency selectivity and a sharp cutoff 137 response that can be achieved in only use of 1 or 2 unit cells. Koch FDGS with 2 unit cells has a more compact 138 size and operates over an insertion loss of less than 1 dB and the rejection is better than 40 dB. The Koch FDGS 139 geometry possesses several degrees of freedom, compared to a traditional dumbbell defected ground structure, that 140 can be exploited to achieve further size reduction, better pass band rejection, and a larger stop band extension 141 antenna is illustrated in Figure 10 (a) and (b). A design with multiple element of PIFA MIMO antenna has 142 been proposed operating at 28 GHz which is good candidature for 5G .Gain is improved due to the insertion 143 of parasitic elements. However, isolation between the antenna elements is poor, which makes the design more 144 complex and the design also affect the resonant frequency. 145

$_{\scriptscriptstyle 146}$ 8 [43] [2017]

This paper aim is to design the MIMO 8×8 microstrip antenna with 2 H-slot rectangular patches array with 5G radio access system at frequency range 14.5 to 15.25 GHz. However, dealing with MIMO, there will be great challenge that is mutual coupling here it is affecting the antenna performance, the value of mutual coupling min -21.311 and max -65.072.

3 ??13] [2013] This paper presents a multiband MIMO antenna which is operating at 0.77 GHz, 2 GHz and
 2.45 GHz frequency bands and limited to three frequencies .However the size of the antenna is 50x110x0.8 mm3
 and it is limited to LTE and WiMAX not suitable for 5G communications.

154 9 [10] [2016]

The geometry of the proposed two MIMO antenna systems, one covering 4G bands and the other covers a potential 5G band. The 4G MIMO antenna systems consists of two elements ANT-1 and ANT-2 and the 5G MIMO antenna systems uses a 1*2 antenna array for each port ANT-3 and ANT-4. However Proper selection of radii of the slots to control the stop band frequencies.

159 10 [44] [2014]

The proposed antenna is designed for multiband MIMO wireless communication using fractal Minkowski island curve and Koch curve. However, for the iterations higher than the second, the reduction of operating frequency is not achievable since the antenna design becomes quite complicated and its fabrication is difficult. There is difference in gain measured and simulated results due to different surface current. The presented antenna is Miniaturization and multiband operation is obtained by the reconfigurable UWB antenna using RF-MEMS operating frequency range 5.15 to 5.825 GHz range. The measured results are satisfactory. However E plane pattern attenuates from 130 to 180 degree because of reflection.

167 11 [46] [2017]

168 A simple optically controlled reconfigurable antenna based on slotted-waveguide antenna array and two

169 photoconductive switches operated at 28 GHz and 38 GHZ frequency band for mmwave frequencies. How ever,

here the reconfiguration is limited to only two bands and at the same time, the problem of mutual coupling would become increasingly serious when the distance among antennas dramatically reduces.

172 **12 [15] [2013]**

A smart reconfigurable antenna using PZT material to reconfigure the PIFA structure has been investigated.
 However, it is resonating at very low frequencies and not suitable for next generation wireless communication.

175 13 [12] [2016]

The reconfigurable antenna designed here, suggests a faster and low-cost inkjet printing for fabrication on a cost-effective material at high frequency.

However, the radiation characteristics, gain and efficiency is not calculated for other modes. The research on the design of multi-antennas in mobile terminals for mmwave systems is not deep enough.

180 14 c) Critical Review of Defected Ground Structure (DGS) 1 181 [47] [2016]

A multilayered circular polarized DGS antenna with a size of 346 mm 2 is proposed using asymmetrical slots and circular patch for bandwidth enhancement. However with the inclusion of DGS structure the gain of antenna

184 reduces from 3.60 to 2.80 dB at 5.6 GHz.

185 15 [16] [2016]

The proposed multiband antenna is circularly polarized using DGS and radiation pattern shown good characteristics. The maximum bandwidth of 44.89% is achieved. However, the efficiency and gain of the antenna is not obtained.

3 ??37] [2013] In this paper six pairs of slits etched in the middle of ground plane. However, optimized length
 has to be chosen as increase in slit length changes the upper and lower band. Space between the slits affects the
 performance of antenna in lower band (2.70 GHz) than in the upper band (3.95 GHz).

192 16 [17] [2015]

The antenna is designed using CPW fed dodecagram fractal using DGS. The antenna shows omni-directional radiation pattern, a good gain and high efficiency. However, after third iteration there was not much improvement observed in the antenna characteristics. The third iteration increased the design complexity, as well as the fabrication limitations restricted to third iteration.

197 17 [40] [2014]

A compact circularly polarized antenna with Koch Curve Fractal Defected Ground Structure presented for frequency range 1.492GHz and 1.518GHz .Good reduction in the size of patch 44.74% .However, here the antenna is limited to only two operating band and reduction in gain 14.66%.

201 18 [35] [2017]

The X-shaped fractal antenna is designed using defected ground structure operating for multiband operation with frequencies 1 GHz to 7 GHz. However, Poor radiation efficiencies obtained for higher band and little difference observed in simulated and measured results.

205 19 Conclusion

206 In this paper the three important tequniques to design the antenna in various applications are discussed. Also

highlights unique design issues to help the researcher to be able to understand more advance research. Thefollowing points conclude from literature.

There is a need to improve the performance of multiband MIMO system by reducing mutual coupling between closely spaces antenna elements. It is difficult to compare the isolation techniques in MIMO due to individual characteristics like operating frequency, area covered etc. Some limitation found in present research given below: ? Strong radiation pattern distortion ? Shift in resonant frequency ? Changes in the input impedance There is need to optimize the antenna parameters and design procedure using some techniques such as graph model, neural network to achieve the smart reconfigurability. By using smart material the size of the antenna can be miniaturized to achieve reconfigurability.

The Bandwidth of antenna can be enhanced by using various bandwidth enhancement techniques, like employing DGS and slot in patch. The designs can further be improved with various DGS in order to achieve good gain, efficiency, radiation pattern, current distribution and |S11| according to the application.

The drawbacks reported in the literature many of the antennas are designed for single band or dual for next generation wireless communication for 5G network. The design challenge is severe especially to covers all the

things on a single platform like multiband operation, higher frequency range, less losses, less complexity, good

gain, good efficiency, miniaturized size, circular polarized, but still the work is going on to improve the past and

²²³ present research. However, in comparison to the all antennas with structural integrity motivates the researcher to improve the current research. 1^{2}



Figure 1: Fig. 1 :



Figure 2: Fig. 2 :



Figure 3: Fig. 3 : Fig. 4 : Fig. 5 :



Figure 4: Fig. 6 : Fig. 7 :







Figure 6: Fig. 8 :



Figure 7: Fig. 9 :



Figure 8: Fig. 10 :

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