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1	Artificial Intelligence Assisted Consumer Privacy and Electrical
2	Energy Management
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#### 7 Abstract

Smart metering infrastructure brings unique benefits for Utility Companies as well as 8 consumers, however, massive consumer data collected and transmitted by the smart meters 9 have raised consumers? privacy concerns. This paper presents a novel solution that is based 10 on Artificial Intelligence Agent that continuously computes the gap between ?Average Daily 11 Demand? and ?Instantaneous Demand? of a consumer, and allows the Battery Banks to 12 discharge just enough to fill the gaps and eliminate kinks in the energy usage graph to mask 13 the energy usage. This novel approach offers several benefits, such as, it conceals the utility 14 usage patterns and thus ensures privacy, eliminates excessive discharging and charging of 15 batteries that lifts operational constraints of the batteries, employs scheduling that renders 16 utility bill reduction as an add-on. 17

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Index terms— AI, smart metering, privacy, scheduling, virtual power bank, adjusted-average daily demand.

#### 20 1 Introduction

mart metering of electrical utilities is a promising technology. On the one hand, it enables consumers to manage 21 the consumption efficiently, and on the other, the Utility Companies to manage the production competently [1]. 22 Though the technology is beneficial for both, the consumers have a major privacy concern [2,3]. It is because the 23 24 massive data that flows from Smart Meter at consumer premises to the Utility Company [4][5][6] corresponds to 25 consumer's utility usage patterns and may reveal his privacy. For example, if the households are in the home or not, what times they are away; what appliances they use, and when, who has high-tag appliances, what times 26 27 they watch TV, and even what TV channel they watch [7]. Another type of privacy invasion can be with users of 28 Plug-in Electric Vehicles (EV) where the charging data can be used to identify travel routines [8]. The concern is even more serious for businesses, whose energy consumption patterns can disclose important business operation 29 information to the competitors [9]. Thus there is a need for a system that could mask consumption patterns, to 30 assure consumer privacy. 31

Several methods have been proposed in the literature to provide security and privacy to Smart Meter users. 32 For example, reference [2] proposes a cryptography-based Time of Use protocols for preserving privacy. Though 33 the encryption can provide data security, but not consumer privacy, as it encrypts the data, but cannot hide the 34 35 energy consumption patterns. Reference [1] proposes to add noise of special threshold to the data signal that 36 moves from the consumer end to the Utility Company. The major drawback of this system is that the amount 37 of noise and the inter symbol interference (ISI), depending upon medium, may result in a total loss of signal, i.e. 38 loss of useful data. Reference [10] uses a battery that sits in the middle of a consumer and the Utility Company. It draws energy from an Electric Utility Company at a constant rate and continuously feeds all the household 39 loads at all times. Thus the battery masks all the real-time energy usage. Though the solution is promising, 40 the drawback is that a battery always supplies the loads constantly. This requires a battery to be of quite a big 41 capacity so that it could power a whole house at all times, which may be cost-prohibitive. The solution proposed 42 in [11] is vague, as it does not show (a) how to calculate the capacity of each load for each residential consumer, 43

(b) the solution mandates customization to each residential consumer as it requires to calculate the capacity of each load at each home. Further, just scheduling, without knowing the utility company's peak rates and load

factor cannot provide cost-saving in the energy bills. Thus the claims made are unrealistic.
Though Smart metering infrastructure brings unique benefits for Utility Companies as well as consumers,
massive consumer data collected and transmitted by the smart meters have raised consumers' privacy concerns.
This paper presents a novel solution that employs Artificial Intelligence Techniques to continuously compute
the gap between "Average Daily Demand" and "Instantaneous Demand" of a consumer, and thus allows the
Battery Banks to discharge just enough to fill the gaps and eliminate kinks in the energy usage graph, and thus
to masks the energy usage. The accuracy of computing the Adjusted-average Daily Demand, holds a critical

value in this approach. The higher the accuracy of Adjusted-average Daily Demand, the lesser the need for charging/discharging of the Battery Banks. To accomplish this, an Artificial Intelligence-based agent plays a vital role.

The approach not only overcomes the abovenoted shortcomings but also offers several benefits, such as, it conceals utility usage-patterns that ensures privacy, does not require higher capacity batteries and eliminates excessive charging/discharging of batteries that lifts the operational constraints of the batteries, employs scheduling that renders utility bill reduction as an add-on feature. Employs existing communication technologies such as e.g. 4G/5G, and Wi-Fi. In addition to the above-noted benefits, the proposed approach is economically as well technically viable as the installation of Battery Banks in residential, commercial, and industrial markets is becoming a norm due to the huge EV market, micro-grids, and home/community energy storage systems [12].

The rest of the paper is organized as follows. Section II presents the proposed solution, Section III presents simulation results, Section IV economic viability, and Section V concludes the work.

# <sup>65</sup> 2 II. Description of Proposed Solution

66 We propose an Artificial Intelligence assisted consumer privacy and energy management system. The schematic

67 design of the proposed Artificial Intelligence Agent (AI-Agent) that is the brain of the whole architecture, is

68 shown in figure 1.

# <sup>69</sup> 3 Figure 1: Design Schematic of AI-Agent

The AI-Agent is a mini-computer, designed to achieve an explicit goal of "Consumer Privacy" and "Energy 70 Management". The brain of the AI-Agent receives critical information through the environment, machine learning 71 algorithm, and its knowledge base. It processes this information and makes intelligent decisions after any given 72 sequence of percepts, and provides output. The Structure of Intelligent Agents can be viewed as the "System 73 74 Architecture" and the "System Program". The system architecture consists of the following entities that an 75 agent executes on, and is shown in figure 2. As depicted in figure 3, the AI-Agent communicates and receives 76 information from the Utility Server the forecasted load factor, and the complex tariff information for the next 24-hour on a daily basis through a Cloud. The Cloud network consists of a set of servers available to many users 77 78 over the Internet. Utility companies are shifting to the cloud technology as it will Year 2020 ()D © 2020 Global 79 Journals

Artificial Intelligence Assisted Consumer Privacy and Electrical Energy Management save utility significant 80 hardware and software purchasing costs. Also, it would provide the utility company to leverage data sharing and 81 analysis. To address the Cybersecurity-related concerns such as unauthorized access to the cloud, the security 82 policies are in place, or the data communication may be one way only, i.e. from the Cloud to AI-Agent. Though 83 84 a two-way communication will have its numerous benefits. communicates and receives information from the 85 Weather Server, it acquires forecasted weather information, such as temperature, humidity, rain, sun, etc. for the same 24 hours for the consumer's location. AI-Agent uses the real-time prevailing weather information in making 86 smart decisions while scheduling the daily tasks, such as laundry, dishwashing, and setting the optimal values 87 of various thermostats of water heater, air conditioner, refrigerator, etc., AI-Agent communicates and receives 88 information from the Consumer's Smart and IP addressable Appliances that include home appliances such as, 89 washer/dryer, dishwasher, boiler/water heater, air conditioner, refrigerator, etc., and other devices such as home 90 security systems, and the user's calendar, etc. 91

to detect the user's presence at home and adjust thermostat levels for air conditioning, water heater, and 92 refrigerator, etc. accordingly. AI-Agent receives information from the Graphical User Interface (GUI). Graphical 93 Use Interface is integrated with AI-Agent. The consumer uses a Graphical User Interface to input his preferences. 94 95 These preferences relate to tasks' priority, convenience, comfort, and financial affordability. (e.g., the desired 96 temperature ranges of hot water, refrigerator, airconditioning, preferred time or priority for washing clothes, or 97 dishes, etc.). The consumer may enter these parameters once and save them. The user may feed the parameters 98 using the touchpad, voice recognition, or through a mobile App. Year 2020 () D Artificial Intelligence Assisted Consumer Privacy and Electrical Energy Management AI-Agent communicates and receives information from 99 the Energy-sources Tracker and Selector. It keeps a track record of the available energy sources and their status. 100 Based on the command received from the AI-Agent, it selects the right combination of sources from the pool 101 of available sources. The available sources in the pool, as shown in Figure 1, are Utility Company, Dedicated 102 Battery Banks, and EV-Battery Banks when the EV(s) are parked in the consumer's garage, etc. EV-Battery 103

Banks can be drained to meet the household energy demand, and top up again later when energy is surplus. Since EV adoption rate has increased exponentially recently, the EV-Battery Banks assumption will be a reality in the years to come [15, ??6].

AI-Agent communicates and receives information from the Task Completion Register. Task Completion
 Register monitors the appliances' task completion status, pending tasks status, and consequently makes a daily
 log. The Task Completion Register continuously acquires this information from the appliances and updates the
 AI-Agent.

Based on the parameters acquired from the Utility Server, the Weather Server, the Consumer's Smart Appliances, the Graphical User Interface, the Energysources Tracker and Selector, and the Task Completion Register, the AI-Agent computes the Adjusted-average Daily Demand (ADD). Traditionally, the Adjusted-average Daily Demand is calculated by the total energy used over a year divided by 365 days. However, our AI-Agent calculates it by totaling the energy rating and usage duration (kWh) of each consumer appliance that is scheduled by the scheduler for that day.

Scheduling is performed by the AI-Agent by comparing and contrasting the daily load factor and daily complex tariff information received from the Utility Server, consumer preferences and priorities, and prevailing weather conditions, etc. The AI-Agent schedules the daily tasks in a manner that less energy is consumed when the Utility Company has peak demand (and the tariff is high), and as maximum as possible loads/appliances (such as boiler heating, washing, drying, charging Battery Banks, etc.) are operated when the Utility Company has off-peak demand (and the tariff is low). This keeps the overall utility consumption at a low cost.

Though the AI-Agent performs careful scheduling, the things may not go as scheduled. For example, the user 123 may override his own preferences knowingly or unknowingly, and/or may turn on the lights/devices/appliances 124 unexpectedly or randomly. Thus despite careful and intelligent scheduling, the actual prevailing load conditions 125 may be different than what planned. Thus another key job of the AI-Agent is to continuously compute the 126 gap between Adjustedaverage Daily Demand and the current/prevailing actual load and directs Energy-sources 127 Tracker and Selector to select the appropriate energy source in such a way that Utility Company always continues 128 to provide Adjustedaverage Daily Demand, and any positive gap between the Current Load and the Adjusted-129 average Daily Demand is covered by charging the Battery Banks, and any negative gap between the Current 130 Load and the Adjusted-average Daily Demand is covered by discharging the Battery Banks. Since the Battery 131 Banks is used to cover up the gap only, our solution eliminates the excessive discharging and charging of batteries 132 that lifts several operational constraints off the batteries. Thus computing the Adjusted-average Daily Demand 133 accurately carries vital importance. The gap analysis is performed by the AI-Agent as discussed below in the 134 following three scenarios: Scenario 1: If the CURRENT LOAD IS LESS THAN ADJUSTED-AVERAGE DAILY 135 DEMAND, the AI-Agent selects the Utility Company from the pool of available sources to perform all scheduled 136 tasks and uses the surplus energy (i.e. Adjusted-average Daily Demand minus Current Load) to charge the 137 Battery Banks. Thus in this scenario, Utility Company acts as a "source" for feeding the appliances, as well as, 138 charging Battery Banks. As an example to illustrate this scenario, suppose the current load in a given hour is 139 3kW and the Adjusted-average Daily Demand is 4.5kW, the AI-Agent selects Utility Company to perform all 140 scheduled tasks and uses the surplus energy (i.e. 4.5 kW minus 3 kW = 1.5 kW) to charge Battery Banks. 141

# <sup>142</sup> 4 Scenario 2: If the CURRENT LOAD IS EQUAL TO <sup>143</sup> ADJUSTED-AVERAGE DAILY DEMAND, it again selects

144 Utility Company from the pool of available sources, perform all scheduled tasks, and since, there is no surplus 145 energy (i.e. Adjusted-average Daily Demand minus current load = 0), Utility energy is used to feed all the 146 appliances, and not for charging the Battery Banks. For example, if the current load in a given hour is 4.5kW 147 and the Adjusted-average Daily Demand is also 4.5kW, AI-Agent selects Utility to perform the scheduled tasks 148 only and does not charge the Battery Banks at all.

# <sup>149</sup> 5 Scenario 3: If the CURRENT LOAD IS GREATER THAN <sup>150</sup> ADJUSTED-AVERAGE DAILY DEMAND, it selects Utility

Company and Battery Banks (Dedicated ones and/or EV-Battery Banks if available) to feed the scheduled loads. Under this scenario, since the existing load is greater than the Adjusted-average Daily Demand, Utility energy is used to feed some of the appliances whereas the Battery Banks to feed the rest of the load. For example, if the current load in a given hour is 7.5kW and the Adjusted-average Daily Demand is 4.5kW, AI-Agent selects Utility to feed the appliances that add up to 4.5 kW and selects the Battery Banks to feed the remaining 3kW load.

Thus, no matter whatever the current load is, the AI-Agent intelligently selects the available energy resources in such a way that Utility Company always Year 2020 ( )D © 2020 Global Journals

Artificial Intelligence Assisted Consumer Privacy and Electrical Energy Management continues to provide Adjusted-average Daily Demand, and any gap between Adjusted-Average Daily Demand and the Current Load is covered either discharging the Battery Banks or charging the Battery Banks. This strategy eliminates the excessive discharging and charging of batteries. Also, the higher the accuracy of the Adjusted-average Daily Demand, the lesser will be the frequency and depth of charging/discharging of the Battery Banks. This concept
 is further elaborated after explaining Figures 3 and 4.

Figure 4 shows the hourly demand of a hypothetical consumer on a certain day. The AI-Agent computed the Adjusted-average Daily Demand = 4.5kW that day, which is represented by a blue/thick dotted line at 4.5kW of

166 Y-axis in the Figure ?? The graph also shows that the consumer load is (a) less than the Adjusted-average Daily

 $^{167}$   $\,$  Demand for a sum of 16 hours (i.e. from 12:00 AM to 10:30 AM, from 11:30 AM to 02:00 PM, and from 09:00  $\,$ 

168 PM to 12:00 A.M) and (b) greater than the Adjusted-average Daily Demand for the 7-hour duration (i.e. from

169 02:00 PM to 09:00 P.M.). For Scenario 3 AI-Agent selects both, the Utility Company and the Battery Banks for 170 the interval the load is greater than the Adjusted-average Daily Demand. AI-Agent selects the Utility Company

to feed appliances load equivalent to 4.5 kW (sky blue color) and Battery Banks to feed surplus load equivalent to 1.5 kW for 7hour duration i.e. from 02:00 PM to 09:00 P.M (see red color).

173 From Figure 5 graph we can infer that the Battery Banks were discharged for the 7-hour duration (red color).

Thus the Battery Banks have to provide  $1.5 \ge 7 = 10.5$  kWh/day. Considering 90% depth of discharge, the Battery Banks are recommended to have a rating of about 12kWh. The Figure also shows that the Battery Banks are charged for 7 hours at about a maximum of 2.5kW and for 8 hours at about a maximum of 1.5kW. Thus the duration and rating are quite enough to get the Battery Banks fully charged.

To avoid over-charging or under-charging of Battery Banks, the AI-Agent has to carefully compute the value of Adjusted-average Daily Demand every day very carefully. For a scenario, when the daily demand is low, the AI-Agent adjusts the Adjusted-average Daily Demand at a lower level (e.g. let's say 2kW, instead of 4.5kW), conversely, for a scenario when the daily demand is high, the AI-Agent adjusts the Adjustedaverage Daily Demand at a higher level (e.g. let's say 6.5kW, instead of 4.5kW). Thus AI-Agent attempts to avoid a situation where Batteries become fully charged or under-charged, and the Utility energy ends-up adding the kinks to the Adjusted-average Daily Demand, hence defeating the masking effect.

### 185 6 Simulation Results

As explained in section II, the higher the accuracy of the Adjusted-average Daily Demand, the lesser will be the frequency and need for charging/discharging the Battery Banks. Figure 6 shows that when the proposed algorithm is not applied at all, the red graph (representing consumer's current load) fluctuates a lot over a 24hour day. Thus there is neither privacy nor cost saving. Figure 8 shows the effect of AI-Agent's gap analysis and reveals that the step of gap analysis eliminates all the kinks, thus masking the consumer's usage pattern completely, as shown by the yellow line. Thus the beauty of the proposed solution is that it manages user privacy, as well as, energy.

# <sup>193</sup> 7 Validity and Economic Viability of the Proposal

Industry outlook shows that the global lithiumion battery market is expected to reach USD 93.1 billion by 2025 ??15] [12]. The driving forces behind this huge market are EVs, micro-grids grids, and home storage systems due to significant growth in the solar industry. References [15] ??16] show that EV adoption rate has become exponential recently, thus the assumption of Dedicated Battery Banks and EV-Battery Banks in every home will be a reality in the years to come, thus the proposed approach is implementable practically.

Reference [17] shows that the operation cost of a lithium-ion storage device is about \$0.10 (10 cents) per kW, 199 per cycle (calculated by dividing the upfront cost by the number of cycles these batteries can be used for). For our 200 proposed system that requires about 10 kWh battery, and needs charging/discharging once a day for an average 201 house, the operation cost comes out to be about \$365 per year. On the other hand, our proposed scheduling 202 step offers about a 25% reduction in utility bills, as evident from our work in [13]. If we assume that the average 203 residential utility bill \$125 per month or \$1500 per year. The cost reduction through scheduling will be about 204 \$375 that offset the cost of having a privacy feature. Further [17] also shows that ESS batteries set a goal of 205 \$100 per kWh capital cost for the batteries that can run for many thousands of cycles. References [18][19][20] 206 also indicate that the cost of operating such storage devices is declining rapidly. The math points to batteries 207 that eventually cost a few cents per kWh. Thus the proposed approach is viable economically as well. 208 V. 209

# 210 8 Conclusion

This paper presents a novel solution that offers several features, such as it (a) masks consumers' utility usage 211 data to conceal their utility usage patterns, thus preserves privacy, (b) offers scheduling that conserves energy, 212 thus renders cost reduction in the utility bill, and also evens out the cost of Dedicated Battery Banks (c) 213 it continuously computes gap between "Adjusted-average Daily Demand" and "Current Load" of a household 214 and allows the battery to discharge only to fill the gaps, consequently eliminates the excessive discharging and 215 charging of batteries, thus it lifts constraints on charging-discharging rates and temperature regulations, (d) is 216 user-friendly, simple to implement, and efficient. Though we considered a residential user as an example in this 217 paper, nothing prevents it to be used in industrial or commercial settings as well. Year 2020 218



Figure 1: Figure 2 :



Figure 2: Figure 3 :



Figure 3: Figure 4 :



Figure 4: For scenario 1



Figure 5: Figure 5:



Figure 6:



Figure 7: Figure 6 :



Figure 8: Figure 7 :

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Figure 9: Figure 8 :

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