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BER Performance Analysis of OFDM, W-OFDM and F-OFDM for 5G Wireless Communications

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8 Abstract

9 Orthogonal Frequency Division Multiplexing (OFDM) is a pertinent multi-carrier modulation

 $_{10}$ $\,$ approach that is more immune to frequency selective fading. In the 5G waveform, in order to

reduce the traffic in OFDM based on technology, it is important to re-size the bandwidth.

¹² Consequently, a spectrally localized waveform technology called Filtered Orthogonal

¹³ Frequency Division Multiplexing (F-OFDM), which is primarily an approach to sub-band

¹⁴ based filtering is introduced. Windowed-OFDM (W-OFDM), which is basically a classical

 $_{15}$ $\,$ OFDM scheme where each symbol is windowed and overlapped in the time domain. Each of

16 the different subbands can be processed according to the traffic scenario.

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18 Index terms— OFDM, F-OFDM, W-OFDM, relay, MIMO, BPSK, QAM, BER, ISI.

¹⁹ 1 Introduction

fter years of discussions through the industry and academia, the requirements and expectations for the 5th generation (5G) cellular networks have been made clear. Whilst the millimeter wave is expected to deliver shortrange with high-speed radio access by tens of Gbps the lower frequency bands (e.g., those are currently used by the 4G long-term evolution networks) will continue to provide ubiquitous and reliable radio access, but with an improved spectrum efficiency [1]. To this end, the air interface, mainly the underlying waveform, should be revisited. Next-generation cellular networks present the most challenging issues for researchers and engineers.

The main aim is to improve the actual LTE performance, in order to meet the growing data demand from the newly provisioned technologies and services [2]. For instance, increasing the data rate by a factor 100 with respect to LTE, while decreasing the latency from the actual 15 ms down to as low as approximately, 1 ms. Massive MIMO Enabling new technologies and services, such as Device-to-Device communications (D2D), Wireless Software Defined Networking (WSDN), Millimeter Wave communications and network Densification, are being utilized in order to reach 5G's goals ??3] [4].

In this paper, we deal with problems concerning Radio Access techniques. As stated earlier, new services in 32 5G require high data rates with large spectral efficiency. For this reason, we focus on the spectral efficiency 33 problem of a legacy the Orthogonal Frequency Division Multiplexing (OFDM) system, which has to improve its 34 35 performance to achieve the required goal. As is well known, OFDM is the most important transmission technique 36 of the recent past, largely used in LTE standards [5]. The principle of OFDM based on sub-carrier the division 37 has been well studied and performed during the years and the first advantage of this scheme is its simplicity of implementation. Moreover, OFDM allows for simple modulation and demodulation and is highly MIMO friendly. 38 On the other side, OFDM suffers from high PAPR (Peak-to-Average Power Ratio) and most of high Out-Of-Band 39 (OOB) emissions. The required Cyclic Prefix (CP) and strict bounds for synchronization are other disadvantages 40 of OFDM. Indeed, in a 5G scenario, it is desirable to use sub-bands that do not need to be perfectly synchronized 41 with each other due to the different requirements of the multitude of devices on the network. In fact, in 5G 42 we will have different kinds of devices that rarely connect to the network [5] [6]. For instance, an IoT (Internet 43

of Things) device needs to send a few control bytes on rare occasions, and several kinds of devices will have a 44 very short battery life. For these causes, it may be desirable to use a waveform with relaxed synchronization 45 requirements [7]. 46

This article attempts to summarize benefits and disadvantages of these two schemes currently being considered by 3GPP (Third Generation Partnership Project) for 5G applications, namely F-OFDM (Filtered OFDM) and 48 W-OFDM (Windowed OFDM) based one BER, PSD and signal to noise ratio using BPSK, QPSK, 16-PSK, 49 QAM, 8-QAM and 16-QAM modulation, we consider standard OFDM sub-bands, without using any strategy to 50 reduce OOB emissions [8]. In the F-OFDM schemes, we consider low-pass filters in order to attenuate the OOB

emissions and have an efficient sub-II. Ofdm (Orthogonal Frequency-Division Multiplexing) 52

OFDM means Orthogonal frequency-division multiplexing. OFDM scheme requires N number of subcarriers 53 to transmit the number of data streams. Each of these carriers is orthogonal to other and centered at multiples 54 of frequencies. These serial data streams are converted to N parallel data streams and then they are digitally 55 modulated using appropriate modulation techniques like BPSK, QAM, PSK and others [11]. The constellation 56 mapper or Lookup Table is used for the special purpose that is the modulation. For the superimposition of 57 the modulated data on the orthogonal sub-carriers, it demands N sinusoidal oscillators tuned with N orthogonal 58 59 frequencies that are parallel to each other. The output of the sinusoidal oscillators is added up together that 60 results to produce an final OFDM signal. These oscillators and the summer are replaced with an IFFT block that 61 was recommended by Weinstein and Ebert to scale down the complexity of OFDM ??11] [12]. From the IFFT output, the OFDM symbol samples are attained. The IFFT block switches the signal from frequency domain to 62 time domain. Fig. 1 above shows the OFDM Architecture. 63 The Inter-symbol-Interference (ISI) imposes a negative impact on the OFDM which is induced by the specific 64

delay spread. Delay spread occurs since multiple copies of the transmitted signals are received at different 65 intervals of time rather than a single time. But the ISI results when the delay spread goes beyond the symbol 66 time duration. The ISI can be eliminated by the use of the cyclic prefix [12]. The cyclic prefix is a manner of 67 adjoining some portion of the OFDM symbol at the beginning of the OFDM symbol. The Inter-carrierinterference 68 (ICI) can also be eliminated by the proper use of the cyclic prefix. The channel portion adds AWGN (Additive 69 White Gaussian noise) to the received signal. The reverse operation of transmitter section appears at the receiver 70 side. At the receiver section, the transmitted signal is converted from analog to digital and then removes the 71 cyclic prefix portion. The receiver has to perform synchronization (both channel timing and frequency), channel 72 73 estimation, demodulation, and decoding systems. The output from FFT and the input of the IFFT are same 74 range [13] [14]. Finally, the original signal can be recovered by reassembling all data streams from the individual 75 carrier.

$\mathbf{2}$ III. 76

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Windowed Ofdm (W-ofdm) In this section, we illustrate time domain windowing strategy. Since, the signal high 77 frequency components are generated by the discontinuities between adjacent OFDM symbols, softening these 78 singularities with a proper transition lowers the OOB emissions [10]. The OFDM symbols must be elongated 79 with the insertion of CP, prefix and suffix, then windowed and finally concatenated (by partially overlapping two 80 consecutive symbols) according to fig. 2. W-OFDM Architecture model is denoted by fig. 3. 81

emissions are reduced by smoothing the symbol transitions with a time domain window applied on each 82 sub-band. Other results on f-OFDM can be found in the [10], which gives a closed form for ISI (Inter-Symbol 83 Interference), ICI (Inter-Carrier Interference) and ACI (Adjacent-Channel Interference). Suggests a filter-bank 84 version of f-OFDM, while discusses PAPR reduction in F-OFDM. samples of the (i+1) ???) W-OFDM symbol. 85 The windowed symbol ?? ?? is obtained from the extended symbol x via equation (1).?? ?? = ?? δ ??" δ ??" . 86

?? ð ??"ð ??" (1) 87

Where, $?? \delta ??"\delta ??"$ represents the window of length $?? \delta ??"\delta ??"$ (??) . We use a window defined 88 via equation (2).?? δ ??" δ ??" = ? 0 (?? ?? δ ??" δ ??" ??? ??? δ ??" δ ??" /2) . 1 (?? ð??"ð??" +?? 89 ð??"ð??"ð??"ð??" ??? ????ð??"ð??" +1). 0 (?? ??ð??"ð??" ??? ????ð??"ð??" /2). ?(2) 90

Where, 0 ?? represents a column vector of L elements filled by zeros, likewise 1 ?? is the similar type of 91 vector filled by ones. The parameter ?? ??? represents the window transition length, i.e. the number of samples 92 the window spends to go from zero-to-one and from one-tozero, ?? ??d??"d??" is the transition length in the 93 ð ??"ð ??" ??? of sub-band. 94

IV. 95

3 Filtered Ofdm (f-Ofdm) 96

The transmission chain for f-OFDM is similar to that for the CP-OFDM, with an additional low-pass filter 97 introduced Clearly, the structure of the transmitter low-pass filter is numerous important for reducing OOB 98 emissions and possible interference. we want a filter perfectly flat in pass-band and zero outside this band, with 99 null transition bands ??17 [18]. This kind of filter is unrealizable but can be approximated by truncating and 100 windowing the ideal sinc (.) impulse response. This operation introduces the new element in this framework, 101 the filter transition bands. It is important to note that the transition bands are completely independent of 102 frequency guard bands. Obviously having the transition band contained in the guard band could guarantee 103

Where ?? ð ??"ð ??" represents the filter order and Î?"ð ??"ð ??" ð ??"ð ??" ð ??"ð ??" the transition band 108 in one side. p i (n) doesn't represent our final filter, it is only a truncated based sinc. The Role of transition 109 bands of the filter is given below by the fig. 5. Where, n is bounded as in equation. The filter impulse response 110 contains 2?? ð ??"ð ??" +1 samples, that causes a signal extension in the time domain by 2 ?? ð ??"ð ??" samples. 111 Fortunately, this kind of filter has the major part of its energy concentrated in the Sinc lobe, so the elongation 112 is important just for a small time period during the CP of the symbol [19]. For this reason, it is not necessary 113 to choose Li to be very small, specifically ?? ð ??"ð ??" can be larger than ?? ð ??"ð ??"ð ??"ð ??" (length of 114 the cyclic prefix). symbol, as typically done for CP-OFDM [15]. The first ?? ??ð ??"ð ??" samples are denoted 115 as "prefix", while the remaining ?? ð??"ð??"ð??"ð??" are denoted by CP. The W-OFDM symbol is then 116 further extended by copying the first ?? ??ð ??"ð ??" + 1 samples of the native OFDM symbol at the end of the 117 new W-OFDM symbol, as shown in the Figure ?? Native OFDM symbols in each sub-band may have different 118 lengths; hence the parameter ?? ??ð ??"ð ??" is used to denote the prefix or suffix parameter for the ð ??"ð ??" 119 ??? subband. At this point the W-OFDM symbol that is denoted as ?? ð ??"ð ??" contains ?? ð ??"ð ??" ?? 120 =?? ð ??"ð ??" + ?? ð ??"ð ??"ð ??"ð ??" + 2?? ??ð ??" ð ??" + 1 samples [16]. However, prefix and suffix both 121 will be smoothed with a windowing operation, and then the suffix of the ð ??"ð ??" ??? W-OFDM symbol will 122 be overlapped with the first $\ref{eq:constraint}$?? $\ref{eq:constraint}$ defined with the first $\ref{eq:constraint}$?? $\ref{eq:constraint}$ defined with the first $\ref{eq:constraint}$. 123

The first operation is to extend the OFDM symbol by copying the last ?? ð ??"ð ??"ð ??"ð ??"ð ??" 124 native OFDM symbol at the beginning of the new W-OFDM the different modulation techniques such as BPSK, 125 QPSK, 16-PSK, QAM, 8-QAM and 16-QAM. The signals are encoded via orthogonal space time block codes for 126 transmission over the Rayleigh fading channel. Five independent antennas links are formed, out of which four are 127 served as transmitting antennas and the remaining four are acting as receiving antennas. During the transmission 128 through the channel, IDWT transformation is performed after the OSTBC encoding. For W-OFDM transmission, 129 the information is first grouped and mapped according to the modulation and hen, is sent to inverse discrete 130 wavelet transform (IDWT), which converts frequency domain signal into time domain signal and also provides 131 orthogonality similarly for F-OFDM. The simulation adds white the Gaussian noise at the receiver process. Then, 132 it combines the signals from both receive antennas into a single stream for the demodulation. Afterward, DWT 133 is applied at the receiver side to reconstruct the signal in frequency domain. Total of 192 Samples per frame 134 have been taken. Bits per symbol considered for the simulation is 100. W-OFDM and F-OFDM symbol rates 135 are 10Ksps and the symbol period is 10-6s. The system is designed over four transmitting antennas and four 136 receiving antennas (4 x 4) employing an independent Rayleigh fading for transmission of data. 137

V. The MIMO incorporated OFDM, W-OFDM and F-OFDM-WOFDM systems are modeled using Orthogonal
Space Time Block Coding (OSTBC) technique, having symbol wise maximum likelihood (ML) decoding, to attain
the high diversity gains in order to obtain higher data rates. The proposed system model is demonstrated in Fig.
For simulation, the random binary signal is created and modulated by employing

142 4 System Model

¹⁴³ 5 Results and Analysis

144 6 PSD -40 dB

145 7 Conclusions

In this paper, the performance of MIMO-WOFDM system and its assessment with MIMO-OFDM, MIMO-146 WOFDM and MIMO-FOFDM systems by means of various modulations techniques is presented in this work. 147 The SNR requirements for higher order PSK schemes are more to the acceptable range of BER over the simulated 148 channel. It is also noteworthy that the higher orders of the QAM scheme have a little bit of significant influence 149 over the performance of the both simulated systems. Moreover, QAM requests lesser SNR as contrast to PSK for 150 suitable BER for both the systems. To analyze BER, PSD and signal to noise ratio with BPSK, QPSK, 16-PSK, 151 QAM, 8-QAM and 16-QAM modulation it can be concluded that among three multiplexers (OFDM, W-OFDM, 152 1 2 and F-OFDM) F-OFDM provides high performance and bandwidth efficient in the wireless system. 153

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Figure 1: Figure 1 :



Figure 2: Figure 2 :



Figure 5: Figure 5 :



Figure 6: Figure 6 :



Figure 7: Global



Figure 8: Figure 7 :



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Figure 9: Figure 8 : Figure 9 : Figure 10 : Figure 11 : Figure 12 : Figure 13 :

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Parameter	Considerations for Simulation
Modulation Scheme	BPSK,QPSK,16-PSK,QAM,8QAM, and
	16-QAM
Channel	Rayleigh Fading Channel
Multiplexing	OFDM, W-OFDM, F-OFDM
Samples per frame	192
No. of transmitting & receiving anten-	4*4
nas	
Signal to Noise Ratio	0 to 25 dB

Figure 10: Table 1 :

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Figure 11: Table 2 :

7 CONCLUSIONS

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