

Vehicle Routing Problem with Time Window Constraint using KMeans Clustering to Obtain the Closest Customer

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Abstract

In this paper, the problem statement is solving the Vehicle Routing Problem with Time Window constraint using the Ant Colony Algorithm with K-Means Clustering. In this problem, the vehicles must start at a common depot, pickup from various warehouses, deliver to the respective nodes within the time window defined by the customer and return back to the depot. The objectives defined are to reduce number of vehicles employed, the total logistics cost and to reduce carbon emissions. The mathematical model described in this paper has considered multiple pickup and multiple delivery points. The proposed solution of this paper aims to provide better and more efficient solution while minimizing areas of conflict so as to provide the best output on a large scale.

Index terms— ant colony optimization(ACO), k-means clustering, vehicle routing problem(VRP), time dependent vehicle routing problem(TDVRP).

1 I. Introduction

Transportation is one of the primary requisites of civilization and this fact continues to be true even today. In today's world of quick and safe deliveries, there has been a need for better service, reduction of vehicles used, maximizing profit, reduction in travel time variation and reduction of overall travel cost. To define these problems together, the term Vehicle Routing Problems was coined. This problem deals with the supply chain of an organization. Transportation is the backbone of the logistics of any organization and it takes up about 40 to 50 % of the total logistics cost, as stated in <https://www.cogoport.com/blogs/transportcost> (accessed on 11 October, 2021). This includes international and domestic transport, customs, all modes of transport such as air, water, land and so on. It can be inferred that transportation cost is a major and important factor in the supply chain of an organization, so its cost optimization becomes a necessity. The logistics branch of the organization must work on the management of transportation, deliver within customer provided time frames, competing with other organizations for better service and service rates effectively, handling unpredictable events and so on.

The world is witnessing the digital growth spurt and along with its influence on almost every sphere of life and nature. Integration of logistics and e-business will be a fruitful endeavor. This incorporation will lead to improvement in customer service, tracking, deliverance, time effectiveness as well as reduction in the overall cost.

Looking at the technical aspects of the Vehicle Routing Problem (VRP), there are initially p vehicles located at a depot that must deliver different amounts of supplies to q customers. Now, the VRP will aim to find the optimal route that a group of vehicles serve a group of users. This way a standard solution is obtained which contains all the routes that start and end at the depot, with the constraint that the goods are delivered within or before the time range set by the customer, capacity limit and the working time of the drivers are also considered. This paper will discuss how the Ant Colony Optimization with KMeans Clustering (ACO-KMeans) has been employed to minimize costs when delivering goods from depot to the customer within or before the time frame constraint set. The mathematical model defined in this paper will tackle and solve the problems related to distribution, e-logistics, retail networks and so on.

Dantzig and Ramser [1] were the first ones to introduce the Vehicle Routing Problem in 1959. Their solution was based on Linear programming. It was a truck dispatching problem that dealt with the delivery of gasoline at gas stations. Later, [2] Clarke and Wright came up with the savings method and it was termed as the

46 Clarke-Wright algorithm. Their practical methodology gave better results than the Ramser-Dantzig algorithm.
 47 This was because the latter algorithm simply linked the customer pairs that were close to each other, which
 48 means that only distance constraint was considered, while the former not only considered the distance constraint,
 49 but they also reduced the distance rather than linking the two customers to different routes. Fast forward to
 50 1992, Daskin and Malandraki came up with the time dependent vehicle routing problem (TDVRP) [3]. Then
 51 another solution was introduced by Ichoua et al. [4] which had a step function along with a piecewise linear
 52 function of time distribution which was fulfilling the FIFO (first in first out) principle, which was defined by T
 53 Jai Keerthy Chowhur Revanna * , Nushwan Yousif B.Al-Nakash ? , PhD Ahn et al. with this, several researches
 54 and studies popped up. Some were utilizing route construction savings method and an insertion method to solve
 55 incapacitated TDVRP(with/without time windows), some had heuristic solutions [8][9][10], some metaheuristic
 56 algorithms [5][6][7] and others hyper heuristics [11].

57 Figure ?? . given below is a generalized view of how a VRP is solved.

58 2 Figure 1: General VRP solving method

59 Many works of solving the VRP with the Time Window Constraint were inherited from the travelling salesman
 60 problem. The method used by the salesman to find the best and optimal route to deliver the goods to the
 61 respective customers from one or more depot and also take the goods from the customer back to the respective
 62 depots within the constraints set, has been extensively used in VRP, with the inclusion of extra constraints.
 63 Similar VRP variants have been mentioned below:

64 ? Vehicle Routing Problem with the Time Window Constraint [12] that has been set by customers, ? Another
 65 modified VRP with the added constraint of using limited number of vehicles of varying holding capacity has been
 66 published as Mixed Fleet Vehicle Routing (MFVRP) [13],

67 ? Another paper which has VRP with an added constraint where customers can request for delivery or pickup
 68 with the requirement that in every single delivery route, all pickups and deliveries to the customers are completed.
 69 This is known as Vehicle Routing Problem with Backhauls (VRPB) [13].

70 This paper has five sections in total. Section 1 deals with the introduction while section 2 deals with the
 71 literature survey. Section 3 handles the mathematical model of the proposed system [ACO using KMeans
 72 Clustering Algorithm], section 4 will explain the approach to the solution, section 5 will have the results and case
 73 studies, with section 6 concluding the paper.

74 3 II. Literature Survey

75 One of the heuristic solutions mentioned was provided by Hideki Hashimoto, Mutsunori Yagiura and Toshihide
 76 Ibaraki [8]. In their paper they generalized VRPTW by making travelling costs and duration to be time-dependent
 77 functions. They used local search algorithm to find the routes of vehicles and using that, evaluated a neighborhood
 78 solution. they proposed an algorithm that could efficiently pick optimal routes using data from previous dynamic
 79 programming recursion that were used to evaluate the present solution. they even included a filtering method
 80 that determines which spaces in the neighborhood are not to be searched so as to avoid dead ends in improving
 81 the solution. they finally conclude with a local search algorithm that combines all their modifications.

82 A metaheuristic solution was proposed by YiyoKuo [6]. In the research paper, the author has considered fuel
 83 consumption and carbon emission as the constraints to the Time-Dependent Vehicle Routing Problem (TDVRP).
 84 The paper has proposed an algorithm that determines a route that consumes less fuel and has the least carbon
 85 emissions. With this algorithm the author was able to provide an overall improvement of 22.69% in minimizing
 86 transportation distances and 24.61% improvement in fuel consumption.

87 [11] has used a two-phase method that includes Genetic Algorithms along with Random Search incorporating
 88 simulated annealing concepts to solve the time dependent vehicle routing problem (TDVRP). This is a hyper
 89 heuristic solution.

90 Paper [14] has taken into consideration the problems of carbon pollution and environmental issues. Electric
 91 vehicles were considered to reduce the various problems mentioned but it brought along with it the issue of
 92 charging locations and battery capacity. To tackle these problems, a new variant in the classical VRPTW was
 93 brought about which integrated the ideas of multiple charging points that also have the facility of swapping
 94 batteries. The authors proposed a mixed integer programming model to tackle the issue using the improved ant
 95 colony optimization (ACO) algorithm with hybridised insertion heuristics and enhanced local search.

96 Another reference has been taken from [15] which is quite close in similarity with this paper's solution. The
 97 problem that the paper addressed was that deliverance of perishable goods within a given time frame was
 98 a daunting task and if unexpected events took place, the extremely important goods would not reach their
 99 destination, leading to a molehill of problems and difficulties. The authors Yao Wu, Bin Zheng and Xueliang
 100 Zhou have proposed a working model where the idea of disruption management has been employed to create
 101 a disruption recovery model with a different type split delivery that is used for inter-route recourse based on a
 102 previous TDVRPTW. It takes into account the nature of perishable goods and dynamic travel route choice in
 103 urban road networks. The, a tabu search algorithm is brought up to create a solution for the initial routing
 104 problem. This will be further extended to create the disruption recovery plan. [16] Researchers have also used
 105 a novel ant colony optimization algorithm based on improved brainstorm optimization (IBSO-ACO) to solve

VRP with soft time windows. According to this paper, the classical ant colony algorithm has been modified to efficiently solve the local optimum problem. Their research has given proof that it can achieve a lower routing cost at a high convergence rate than the classical ant colony (ACO) and the stimulated annealing ant colony algorithms.

Looking into other heuristic strategies involved, [17] has the space-filling curve with optimal partitioning as a solution while another has three-phase heuristics which has been developed by grouping a heuristicbased clustering algorithm solving VRP [18]. Summary of other important state-of-art modern heuristics is available in [19,20].

In this paper, we will be solving the Vehicle Routing Problem with Time Windows constraint using the modified Ant Colony Optimization with KMeans Clustering. Ants use pheromones to leave behind a trail for its comrades so as to use the optimal path fixed to reach the food source. There has been several researches based on this behaviour of ants, such as [21], which was the first paper to be published on this topic. Papers [22][23][24][25][26][27] have various hybrid versions of ACO in varied fields.

Using this behaviour of ants and with the help of previous research work based on a somewhat similar problem, this paper aims to solve VRPTW using the KMeans Clustering algorithm to find the most optimal path to the customer.

4 III. Mathematical Model of Proposed System

This part will use certain terms and elements from [28]. It is a case study based on VRPTW regarding fresh food distribution centres. There will be two subsets of service nodes: pickup set P_i and delivery set D_i . The values of these terms are $|P_i| = n_i$ and $|D_i| = m_i$ respectively. Now, starting depot node is set to 0 and end depot is set to $(n_i + m_i + 1)$. A node will be replicated if it needs both delivery and pickup. Each vehicle has its set capacity and operation cost. If there is an order between pickup node P_i and delivery node D_j then there will be a set S_{ij} which contains pairs of (P_i, D_j) .

Looking at the objective function that minimizes total travelling cost, the equation is as follows:
$$Z = \sum_{i,j} c_{ij} x_{ij} \quad (1)$$

Here, n_c refers to the number of clusters and c_{ij} refers to the centroid of clusters.

The next equation makes sure that each node is served by at least one vehicle:
$$\sum_{i,j} x_{ij} = 1 \quad (2)$$

Equation (3) showcases the constraint where the same vehicle must pick and order from node P_i and deliver it to node D_j :
$$x_{ij} - x_{ji} = 0 \quad (3)$$

A vehicle must pass starting and ending depots at least once and this is shown by equations (4) and (5):
$$\sum_{i,j} x_{ij} = 1 \quad (4)$$

$$\sum_{i,j} x_{ij} = 1 \quad (5)$$

If a vehicle reaches a node, it must leave it as well. This is shown in equation (6):
$$\sum_{i,j} x_{ij} = \sum_{i,j} x_{ji} \quad (6)$$

Equations (7) and (8) have integrated time constraints, subtour elimination and load constraints:
$$t_j - t_i + \tau_{ij} - \tau_{ji} \leq c_{ij} x_{ij} - c_{ji} x_{ji} \quad (7)$$

$$t_j - t_i + \tau_{ij} - \tau_{ji} \leq c_{ij} x_{ij} - c_{ji} x_{ji} \quad (8)$$

Now, if there is an order placed between two nodes and the pickup node must be visited before the delivery node, then equation (9) shows it:
$$t_j - t_i + \tau_{ij} - \tau_{ji} \leq c_{ij} x_{ij} - c_{ji} x_{ji} \quad (9)$$

Equation (10) shows time constraint while (11) shows capacity bound constraint:
$$t_j - t_i + \tau_{ij} - \tau_{ji} \leq c_{ij} x_{ij} - c_{ji} x_{ji} \quad (10)$$

$$\sum_{i,j} x_{ij} \leq C \quad (11)$$

Now showcasing the constraint of limiting number of vehicles used and maximum working duration in equations (12) and (13):
$$\sum_{i,j} x_{ij} \leq V \quad (12)$$

$$t_j - t_i + \tau_{ij} - \tau_{ji} \leq T \quad (13)$$

This mathematical model is a small-scale solution.

5 Approach to the Solution

In this paper, the Vehicle Routing Problem with Time Window constraint has been resolved using a modified version of the Ant Colony Optimization using KMeans Clustering. Marco Dorigo was the first person to introduce Ant Colony Optimization, in the 90s, in his Ph.D. thesis. The solution algorithm is based on the behaviour of ants, the way they live in colonies and search for food. While an ant goes around, searching for food, it leaves behind pheromones that act as a beacon. It acts as a communication mechanism and each time the ant leaves a pheromone trail, it tells the other ants about the quality and quantity of food the former ant had been carrying. This way, there are several set paths that the ants use based on the number of pheromones released in a path. The shortest and fastest route is chosen for maximum traffic.

Ant Colony Optimization (ACO) algorithm is a probabilistic technique based on the above phenomenon to find the optimal path. With the inclusion of KMeans Clustering, this modified approach has solved the constraints of the MPMDVRPTWHF, which has resulted in shorter time consumption, delivery within the time window and lower transportation costs along with the inclusion of multiple pickup and delivery nodes wherein a pickup point might or might not have multiple delivery locations. The flowchart below showcases the solution setup. In

221 the sub objectives suppressed so as to efficiently solve the main objective. Due to this there is very little scope
222 of conflict of objectives from the sub objectives and a noiseless solution id obtained.

223 Referring to [30], this paper the objectives chosen will be carbon emission reduction, total cost, time frame
224 and customer satisfaction.

225 Using several test cases of 25,50,75 and 100 customers in three different scenarios, the proposed ACO
226 algorithm with KMeans clustering provides a better solution in comparison. The results are arranged in the
227 Pareto optimal solution format. ??5) test table is used here for obtaining the most optimal path with better
228 results of the constraints set. This solution has used the Pareto optimal approach and figure 3 has shown the
229 comparison between [3] and this paper. It is clearly visible from the graph that the proposed algorithm of
230 ACO+KMeans [PS_KPSO] clustering has better output in terms of carbon emission, customer satisfaction and
231 total transportation cost. This part has used the c101(50) test table. 5 trucks have been employed with respective
232 paths (0, 43, 42, 41, 40, 44, 46, 45, 48, 50, 49, 47,0), (0, 5, 3, 7, 8, 10, 11, 9, 6, 4, 2, 1,0), (0, 20, 24, 25, 27, 29,
233 30, 28, 26, 23, 22, 21,0), (0, 32, 33, 31, 35, 37, 38, 39, 36, 34,0) and (0, 13,17,18,19,15,16,14,12, ??). The end are
234 33.43 for carbon emissions, 5942.72 cost and 100 percent customer satisfaction. Figure 5 shows the comparison
235 between [30] and this paper results while figure 6 displays the routes taken by the 5 trucks. The c101(75) dataset
236 has been used in this part. The number of vehicles used is 8 with the most optimal paths chosen respectively:
237 (0, 43, 42, 41, 40, 44, 46, 45, 48, 51, 50, 52, 49, 47, 0), (0, 5, 3, 7, 8, 10, 11, 9, 6, 4, 2, 1, 75, 0), (0, 32, 33, 31, 35,
238 37, 38, 39, 36, 34, 0), (0, 67, 65, 63, 62, 74, 72, 61, 64, 68, 66, 69, 0), (0, 20,24,25,27,29,30,28,26,23,22,21, ??), (0,
239 57, 55, 54, 53, 56, 58, 60, 59, 0), (0, 13,17,18,19,15,16,14,12, ??) and (0, 71, 70, 73, 0)

240 The final results of carbon emissions, total cost and customer satisfaction are 54.96, 10639.71 and 100 percent
241 respectively. Figures 7 and 8 showcase the comparison between [30] and this paper and the route distribution of
242 the vehicles.

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244 Volume XXII Issue I Version I This section has used the c101 (100) dataset. Now looking [30], there are better
245 results in terms of carbon emission, cost and customer satisfaction (69.03, 13561.41 and 100 percent). Instead
246 of 23 vehicles, 10 vehicles have been employed and the most optimal paths are chosen: (0, 5, 3, 7, 8, 10, 11, 9,
247 6, 4, 2, 1, 75, 0), (0, 43, 42, 41, 40, 44, 46, 45, 48, 51, 50, 52, 49, 47, 0), (0, 20,24,25,27,29,30,28,26,23,22,21,
248 ??), (0, 67, 65, 63, 62, 74, 72, 61, 64, 68, 66, 69, 0), (0, 90, 87, 86, 83, 82, 84, 85, 88, 89, 91, 0), (0, 57, 55,
249 54, 53, 56, 58, 60, 59, 0), (0, 98, 96, 95, 94, 92, 93, 97, 100, 99, 0), (0, 32, 33, 31, 35, 37, 38, 39, 36, 34,
250 0), (0, 13,17,18,19,15,16,14,12 Looking at all the results above, it is easily discernible that the ACO+KMeans
251 clustering algorithm has performed way better than the improved Ant Colony algorithm and the normal Ant
252 Colony Algorithm. With lesser number of vehicles employed, lesser carbon emission levels noted and better cost
253 management, the proposed system has shown its effectiveness and viability for usage in the real-world logistics
254 problems. The proposed algorithm PS_KPSO has provided about 10.37%, 46.9%, 61.98% and 78.81% reduction
255 in total costs for 25, 50, 75 and 100 customers while there are about 46.61% , 53.27% and 61.16% reduction in
256 total carbon emissions for 50, 75 and 100 customers, when compared with [30]. Along with the aforementioned
257 improvements, there is 100% customer satisfaction in all the cases. The proposed algorithm (ACO+KMeans
258 Clustering) has outperformed the Modified Ant Colony Algorithm and the original Ant Colony algorithm. Table
259 2 compares the results of the proposed algorithm and modified ant colony algorithm.

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261 Volume XXII Issue I Version I In the Solomon-100 dataset, there are three formats of destination grouping. One
262 is a cluster format (C), one is a random format (R) and one is a randomclustered format (RC). These three
263 formats have been used for 25, 50, 75 and 100 customers. So other than C101, there are C201, R211, R201 and
264 RC201. The comparison between the proposed algorithm (ACO+KMeans algorithm) and modified Ant Colony
265 algorithm [30] have been given in Table 4. The data from Table 4 helps in evaluating the effectiveness of the
266 proposed algorithm. Even with increase in the number of customers, be it clustered, random or both, there
267 is barely any increase in the number of vehicles employed. With an average of 2.625 vehicles per case, this
268 greatly affects the total travel, storage, damage and fuel costs while reducing the carbon footprint by a great
269 extent, ultimately helping not only the economy of the organisation but also trying to improve the environmental
270 condition of the Earth. It can be assumed from the results data that there is a high probability of increase in
271 number of customers. As the number of vehicles employed is less, there is scope of increasing customer reach and
272 maybe there is a chance of increasing the speed of delivery. With the new electronic vehicle usage, there will be
273 even more cuts in the carbon footprint value and better customer coverage.

274 14 Conclusion

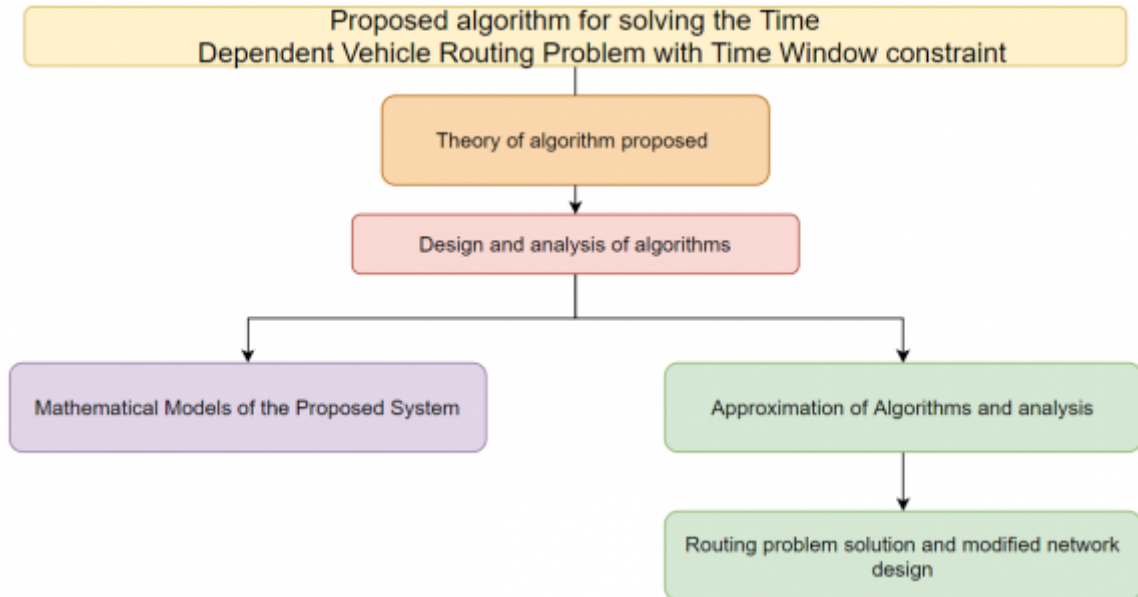
275 This paper discusses the vehicle routing problem with time window constraint (VRPTW) along with added
276 constraints of number of vehicles, logistics cost, overall carbon emission rate along with multiple pickup and
277 delivery points faced by firms EATEAM and Horizon Consulting Inc. in their logistical operations. A meta
278 heuristic Ant Colony Algorithm with KMeans Clustering was employed to solve the problem statement. Looking
279 at the literature survey in this paper, it is observable that Vehicle Routing Problem has had several approaches

14 CONCLUSION

280 with varying results, which in turn leads to the fact that VRP with added constraints is a difficult problem to
281 solve.

282 The solution provided in this paper has been compared with [30], which has a similar problem statement,
283 and the results of the proposed Ant colony Algorithm with KMeans Clustering has performed far better and has
284 provided very less scope of improvement in the discussed problem areas.

285 In future researches on similar topics, it's a hope that this paper will be a good leverage for the researchers
and this solution can be further modified for more improvements.



2

Figure 1: Figure 2 :

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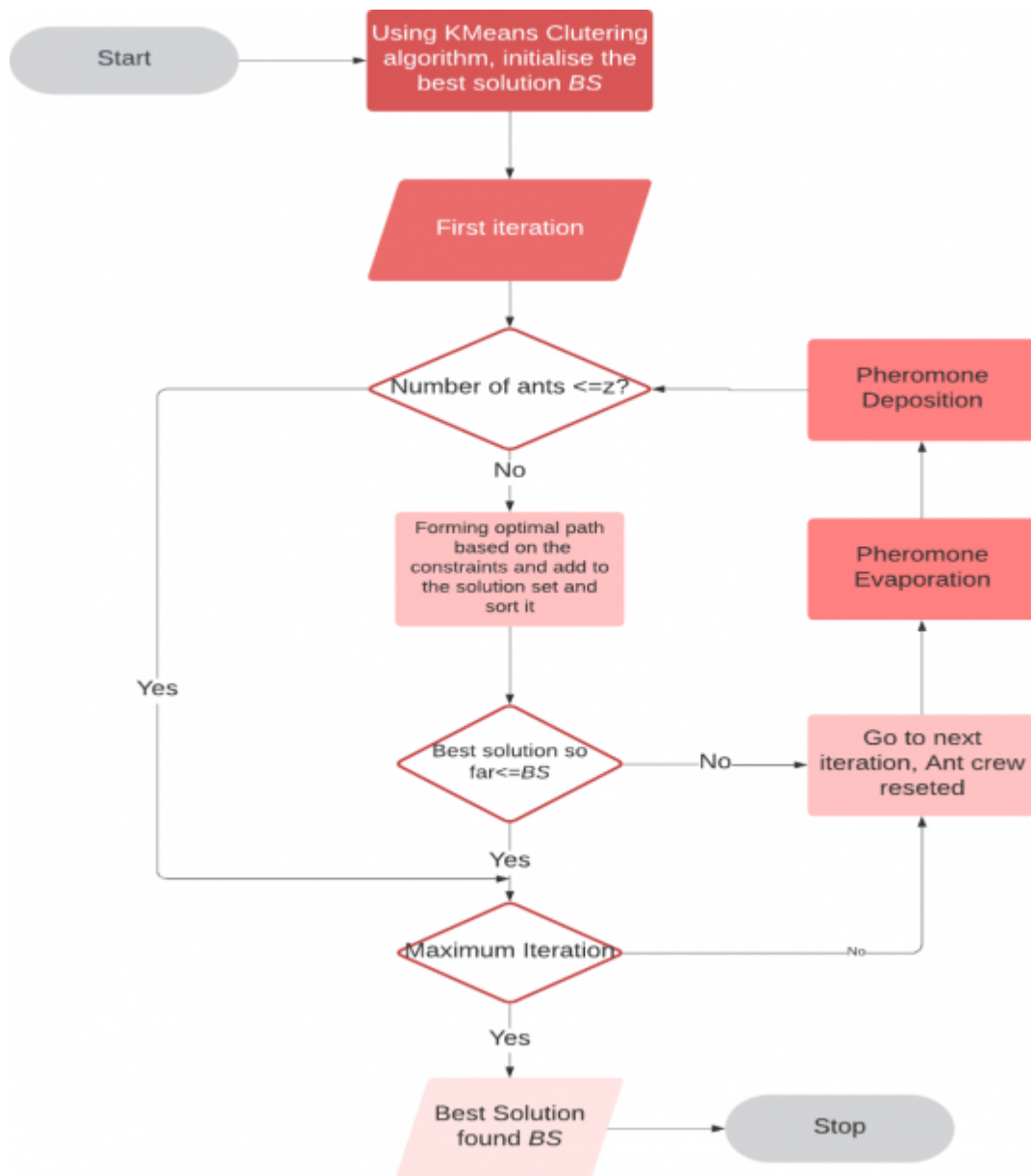


Figure 2: (

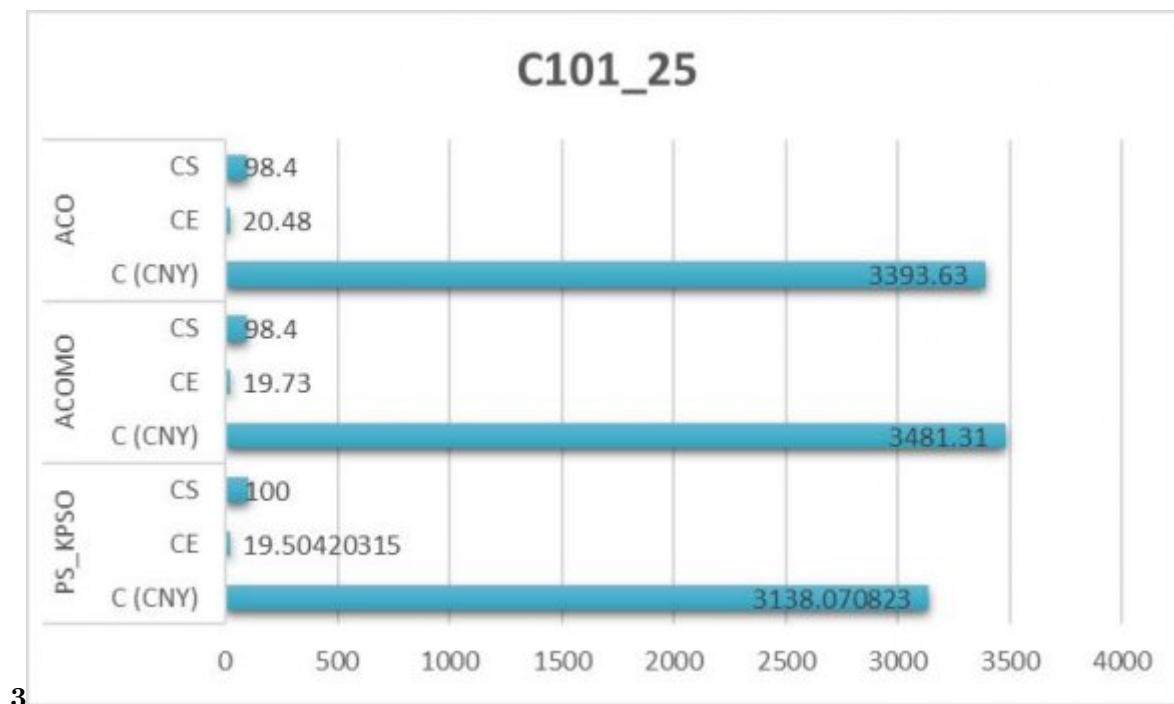


Figure 3: Figure 3 :

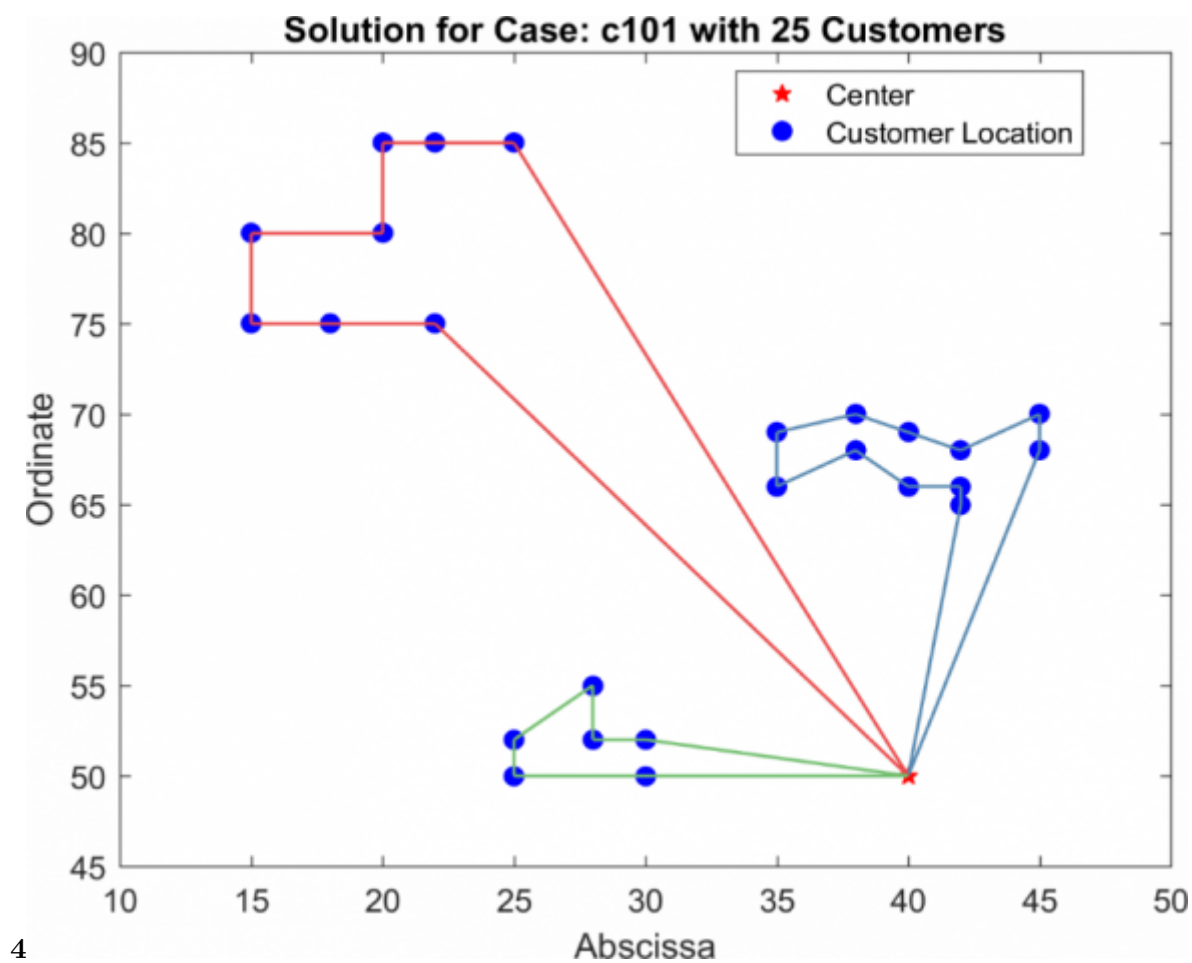
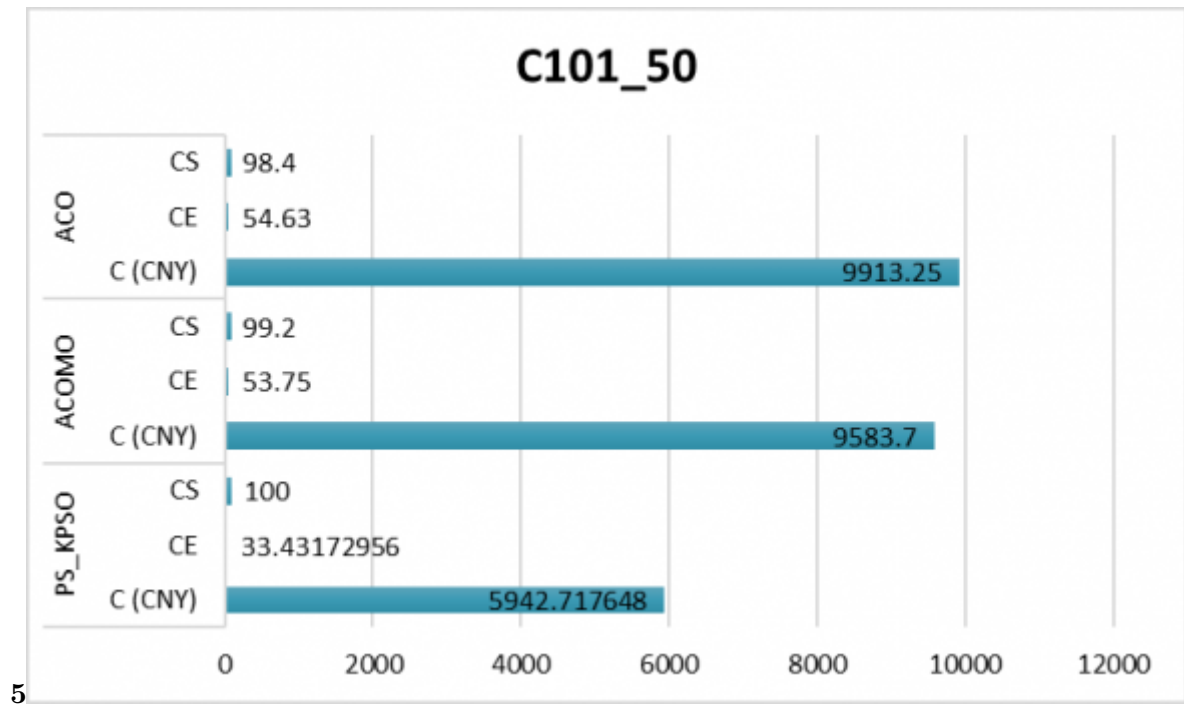
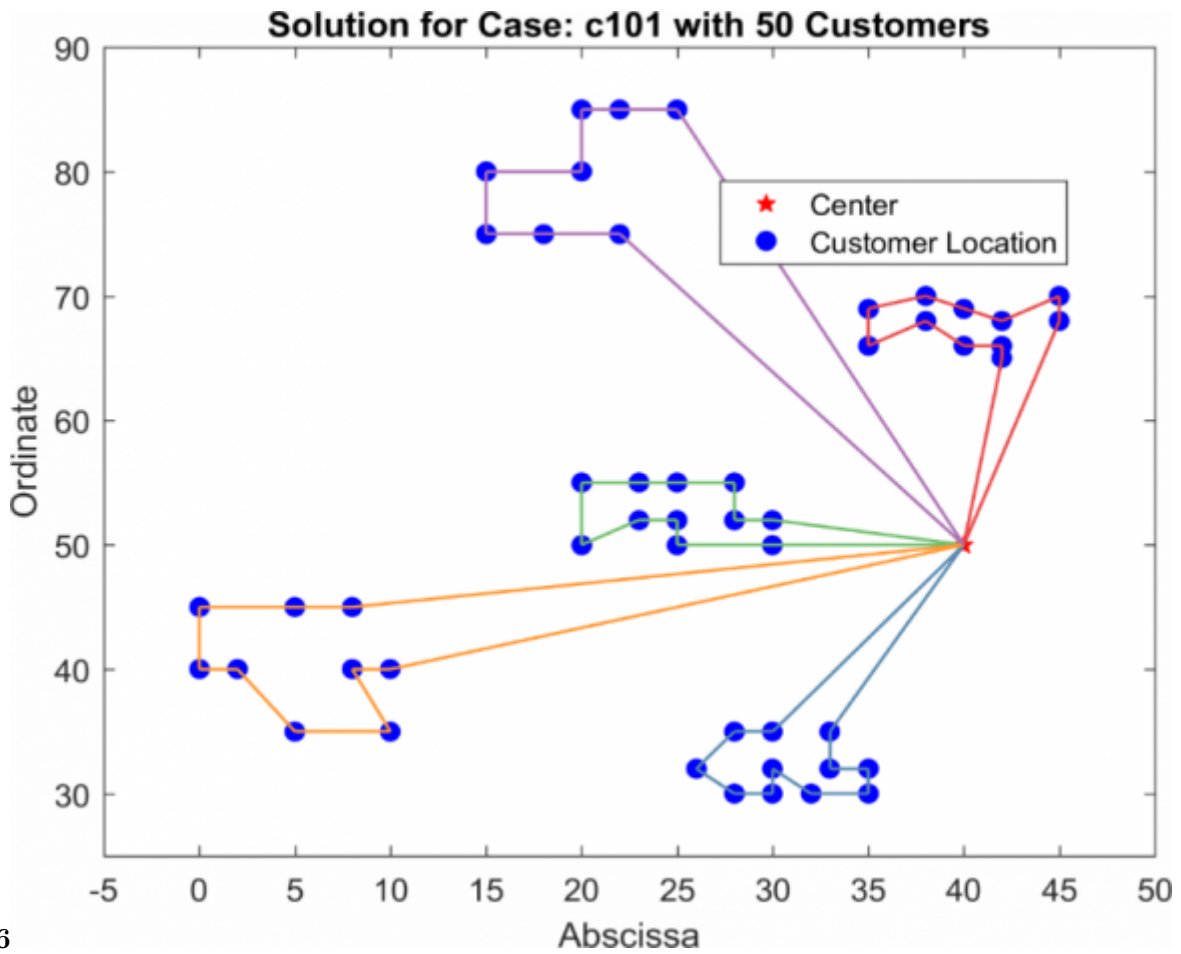


Figure 4: Figure 4 :



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Figure 5: Figure 5 :



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Figure 6: Figure 6 :

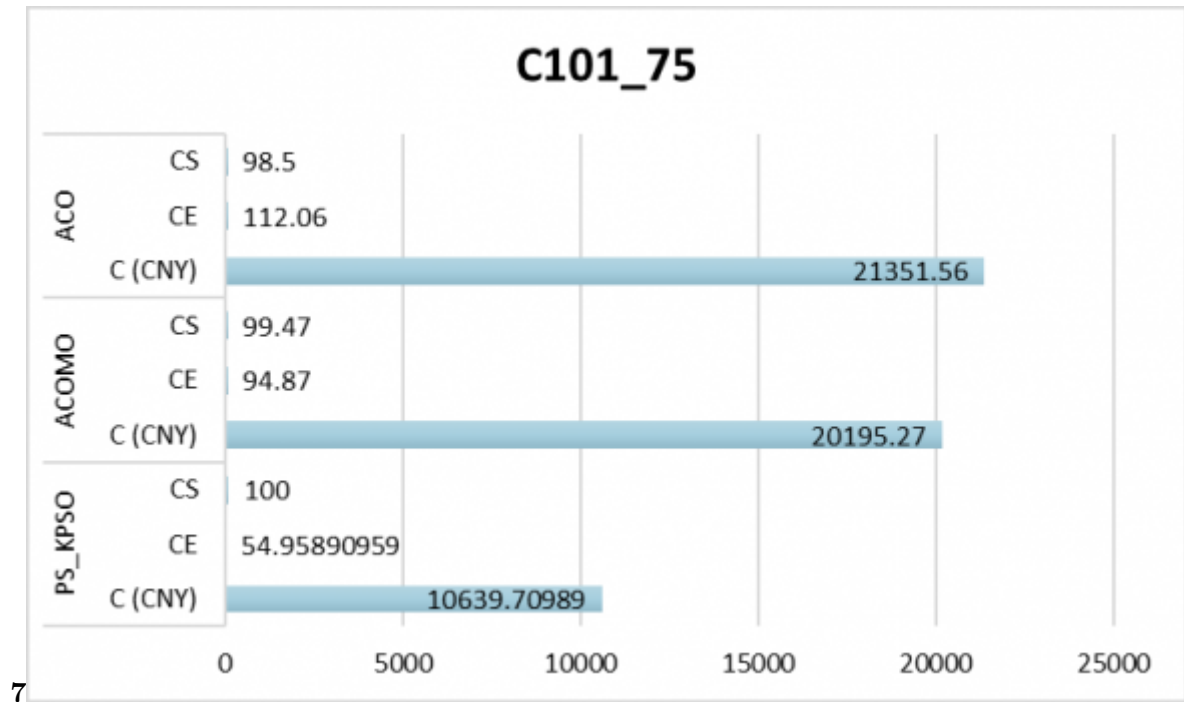


Figure 7: Figure 7 :

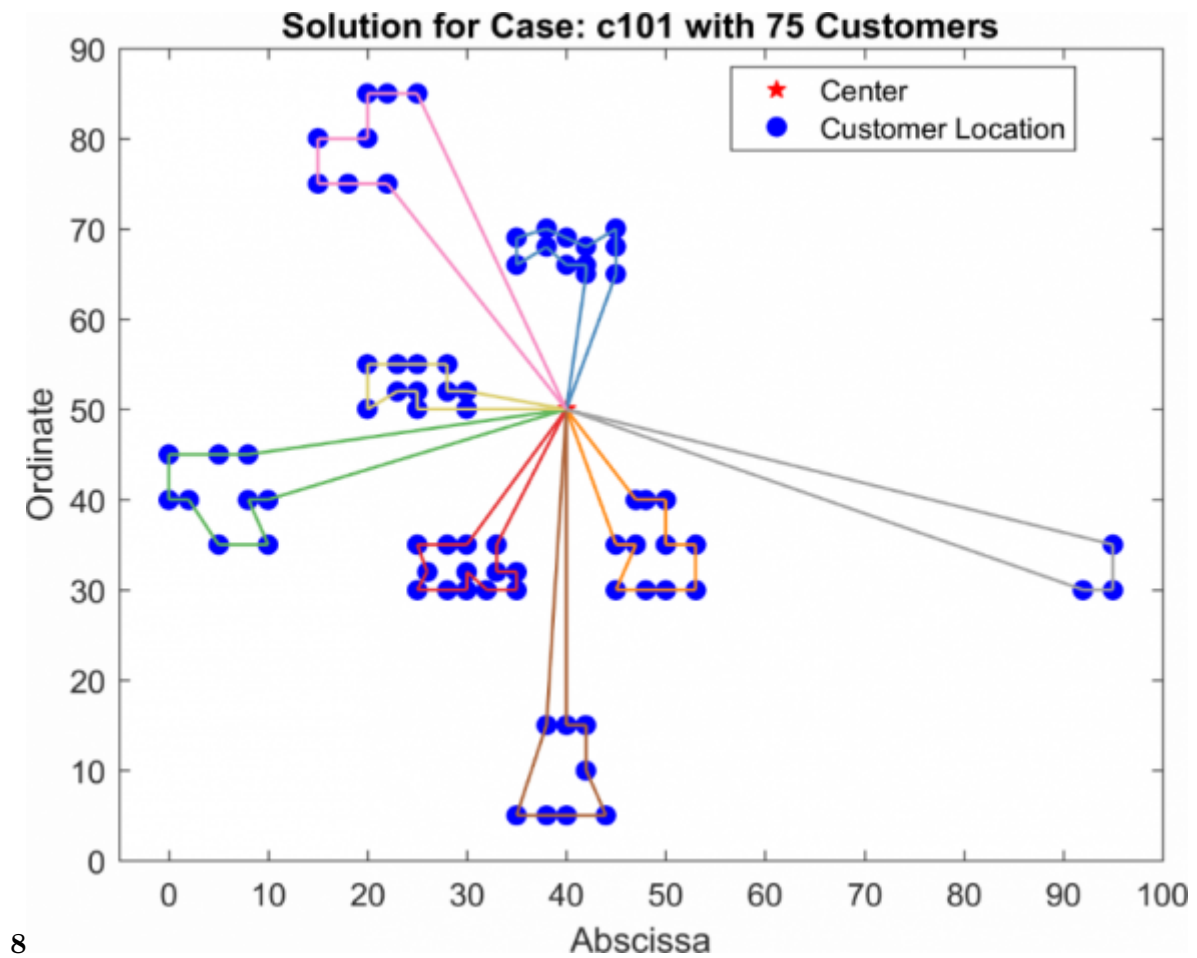


Figure 8: Figure 8 :

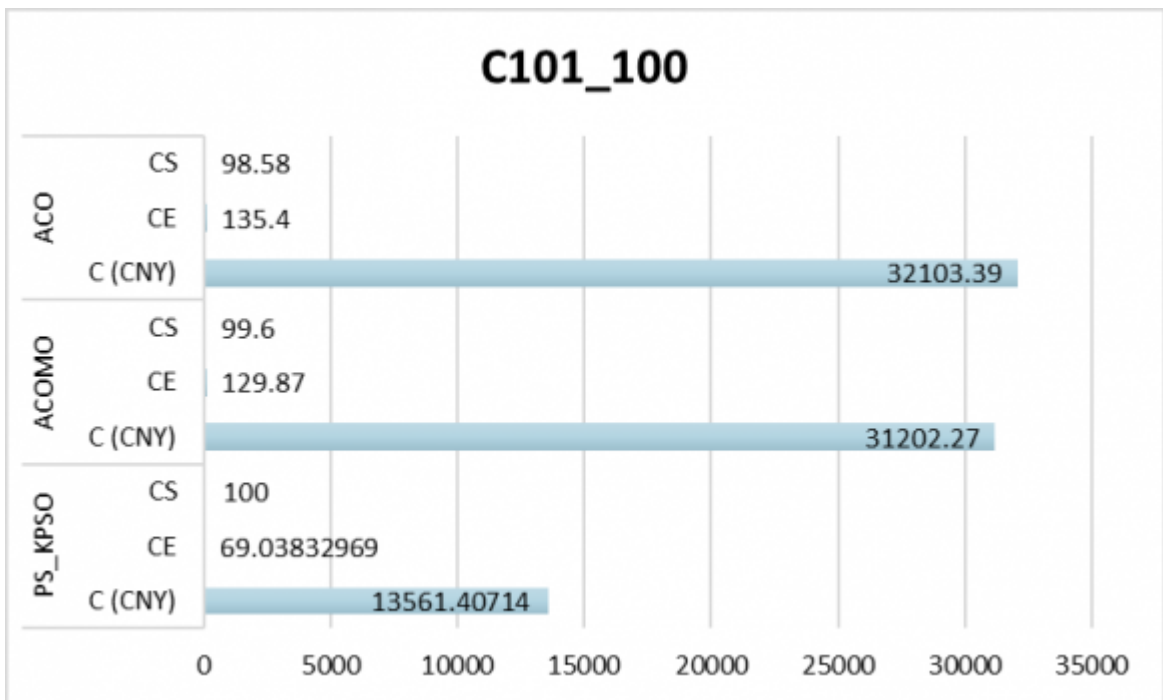


Figure 9:

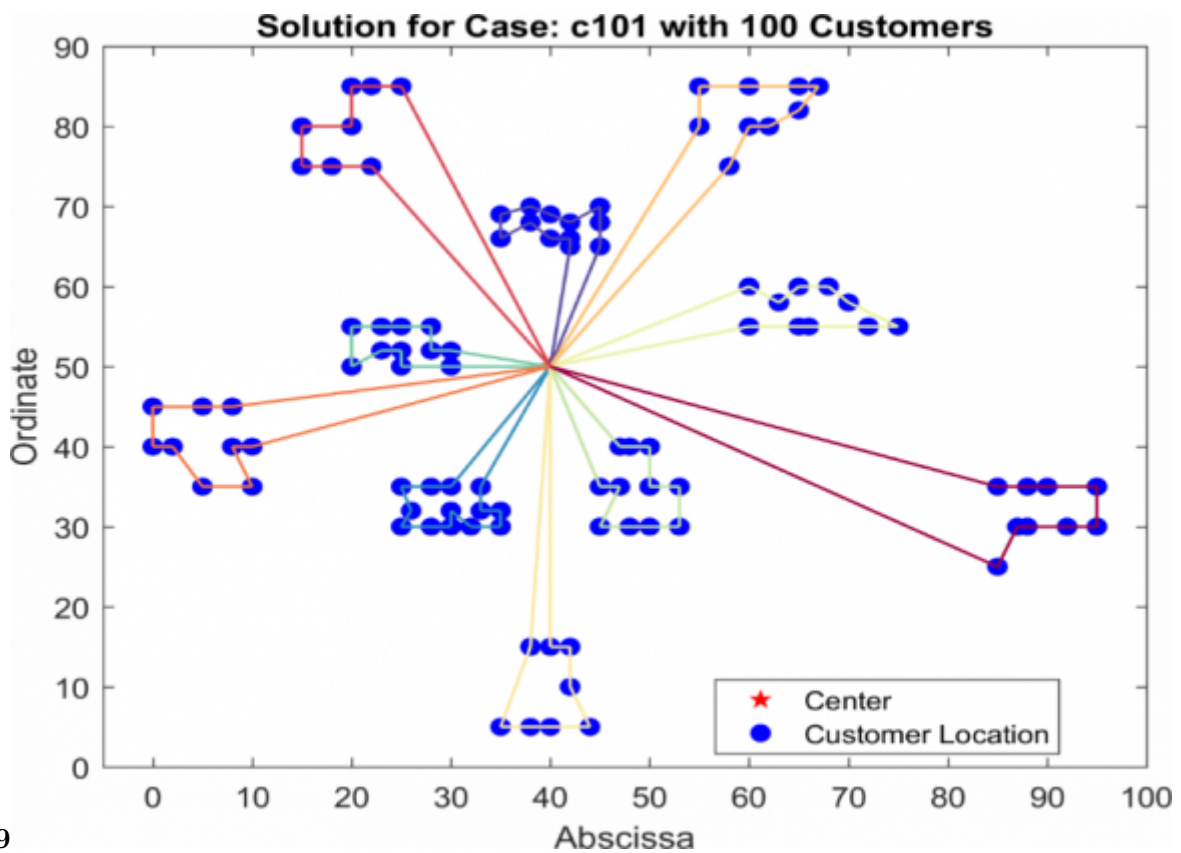
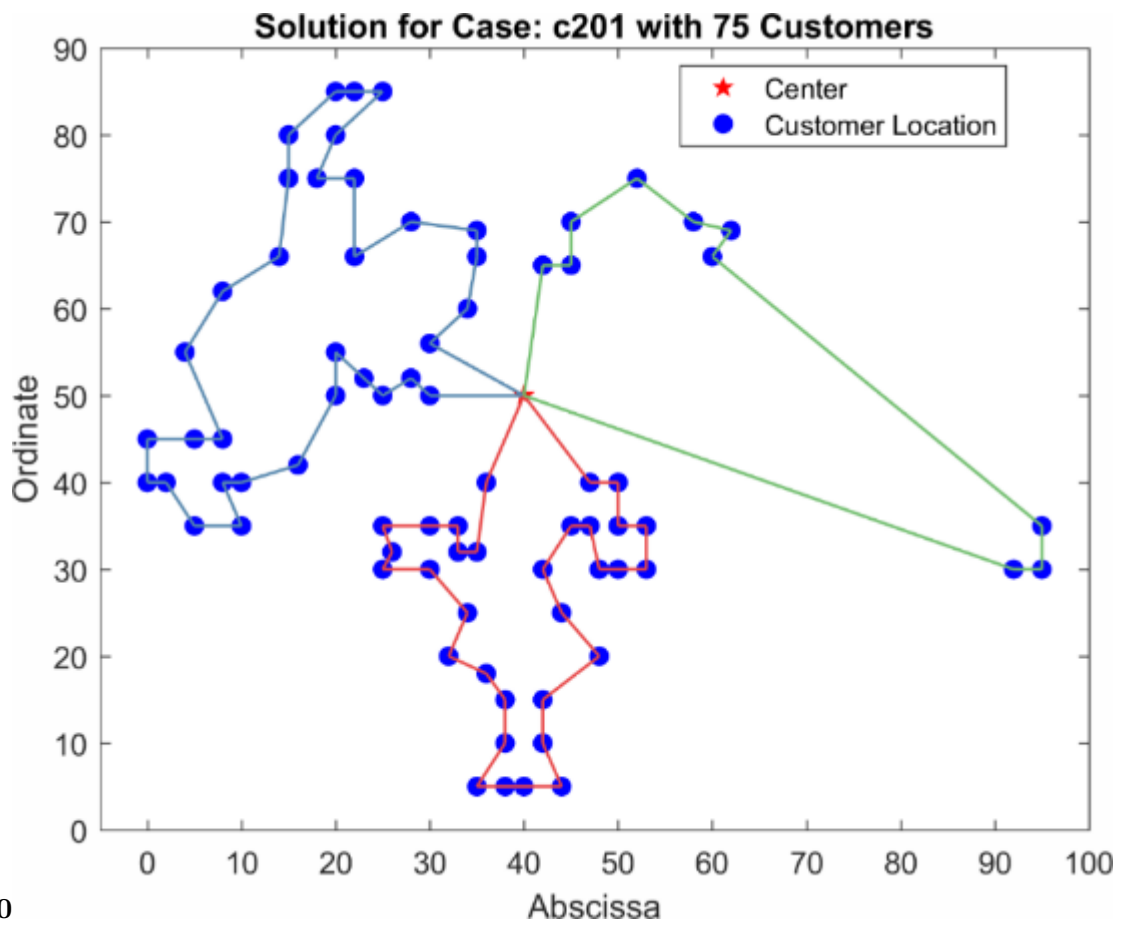
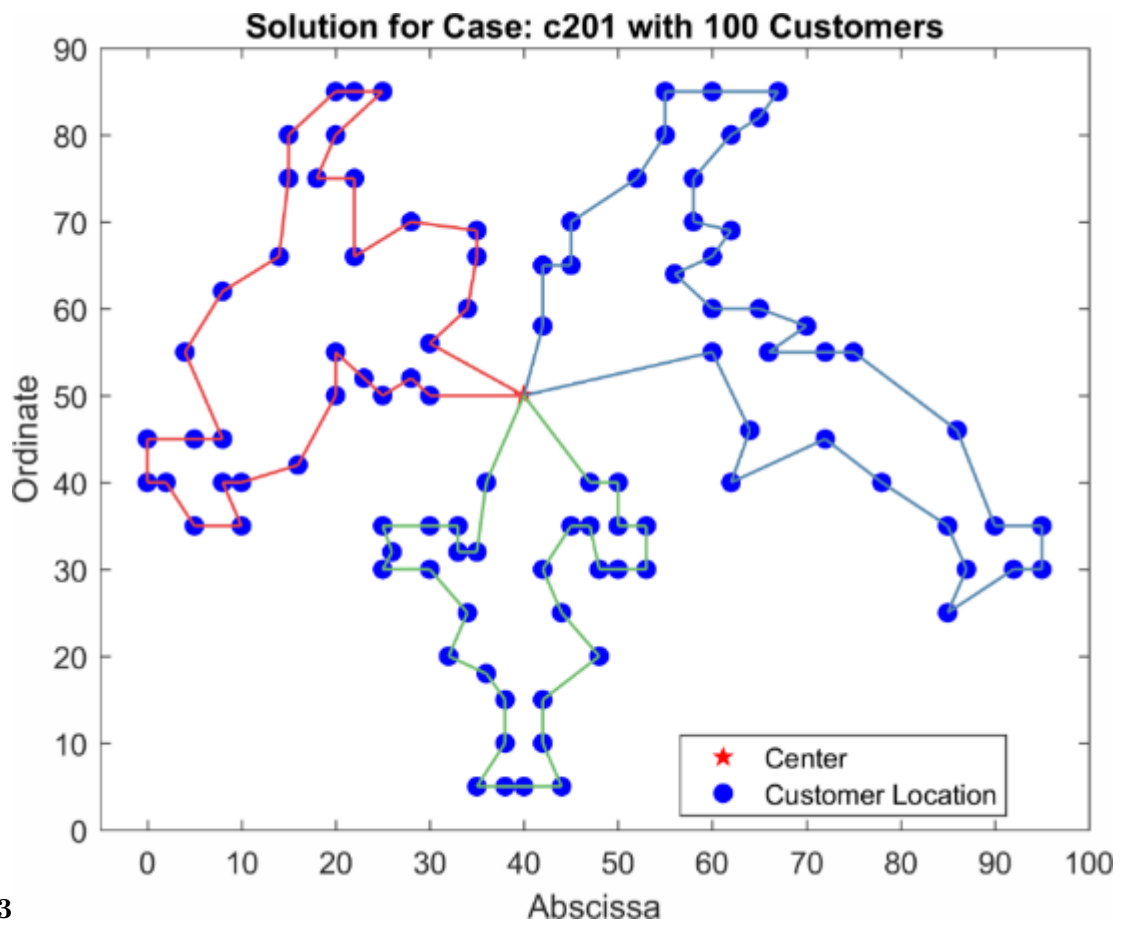


Figure 10: Figure 9 :



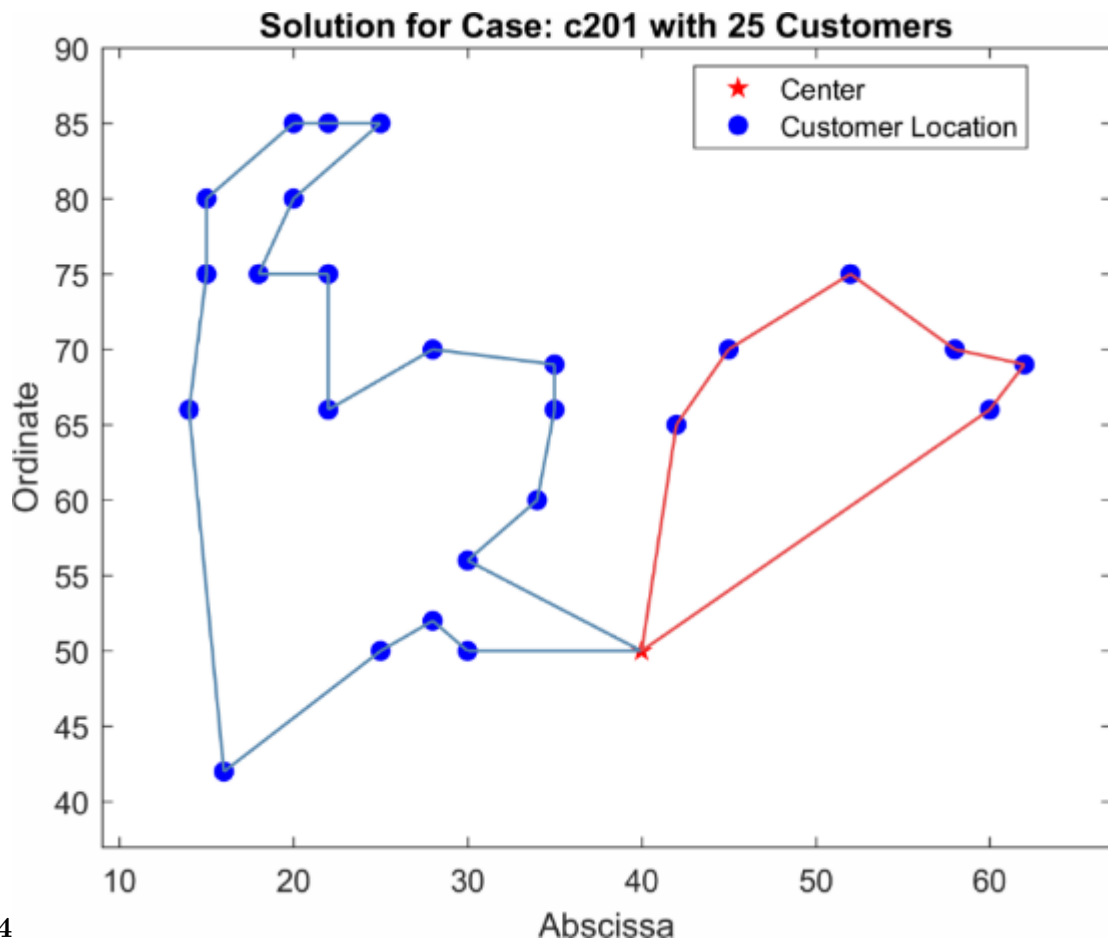
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Figure 11: Figure 10 :



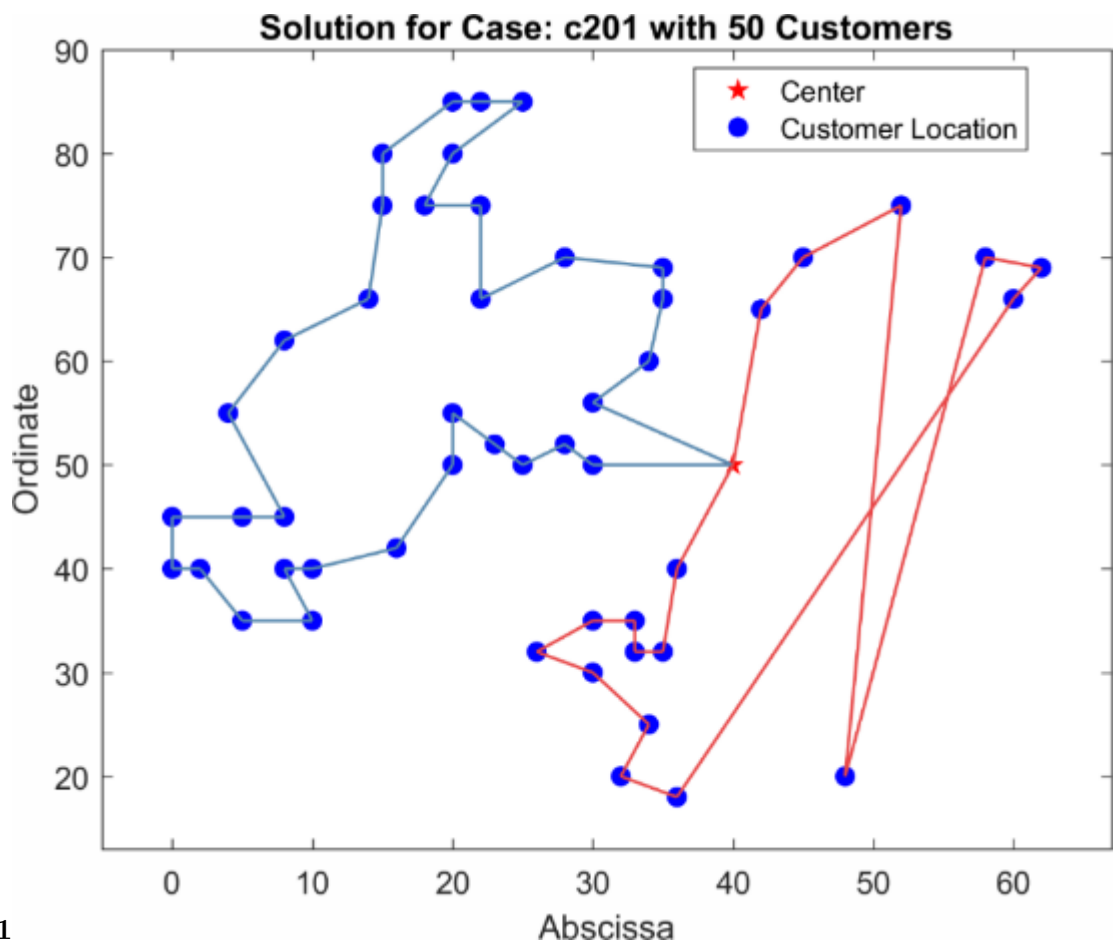
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Figure 12: Figure 13 :



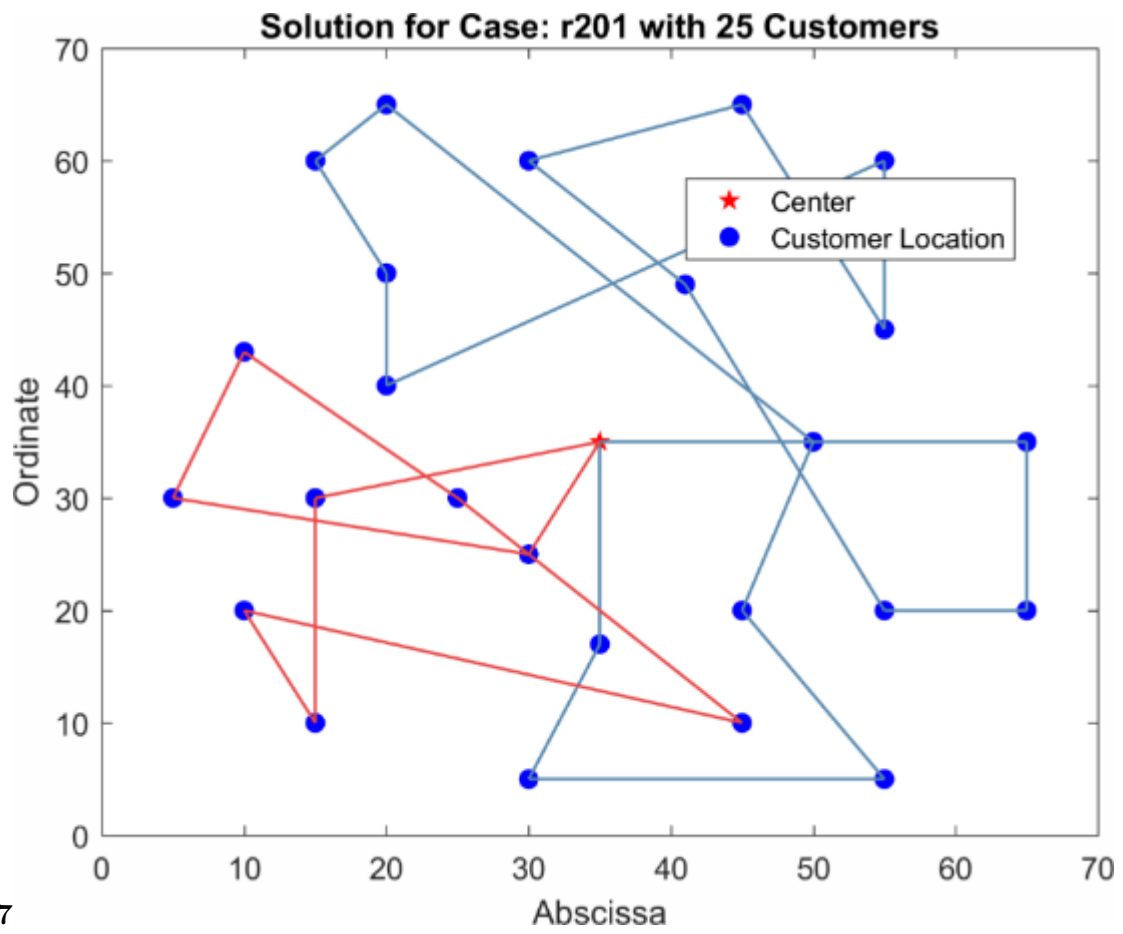
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Figure 13: Figure 14 :



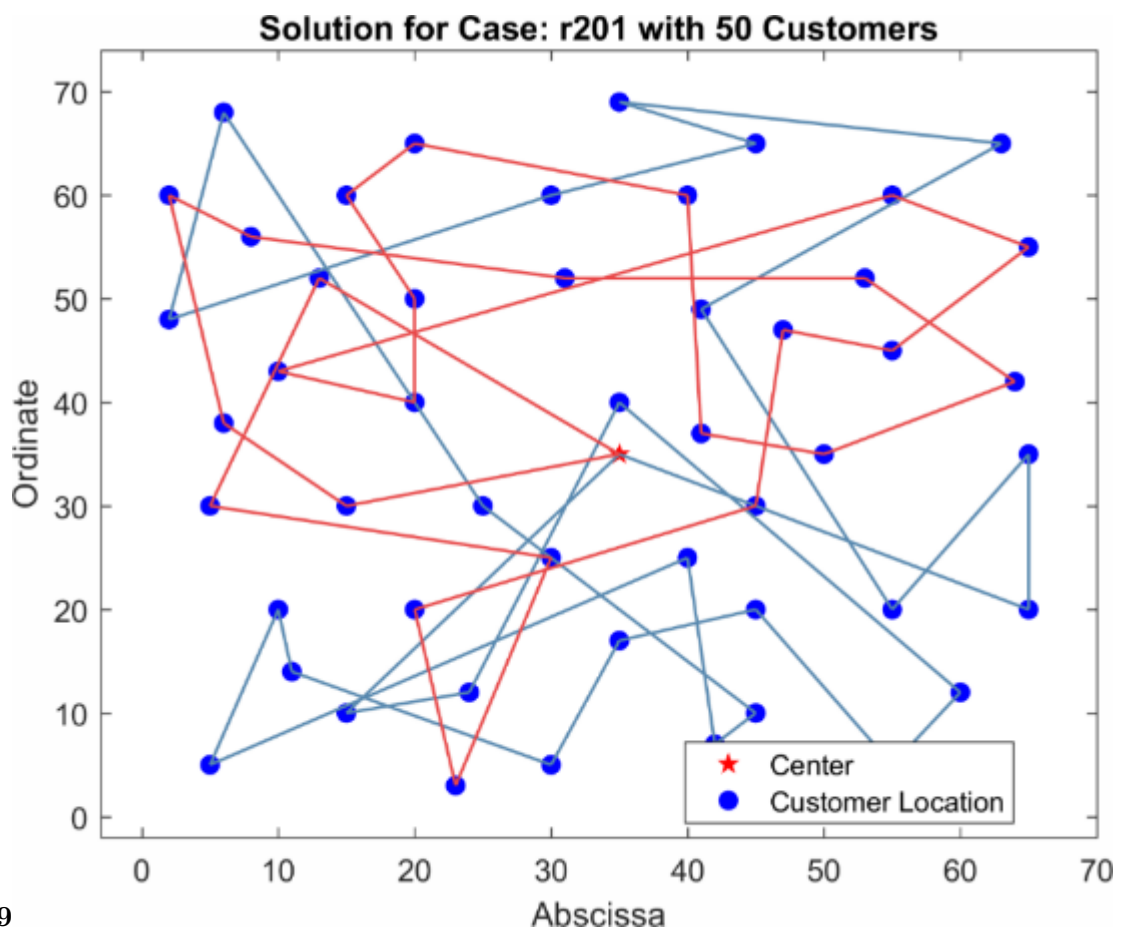
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Figure 14: Figure 11 :



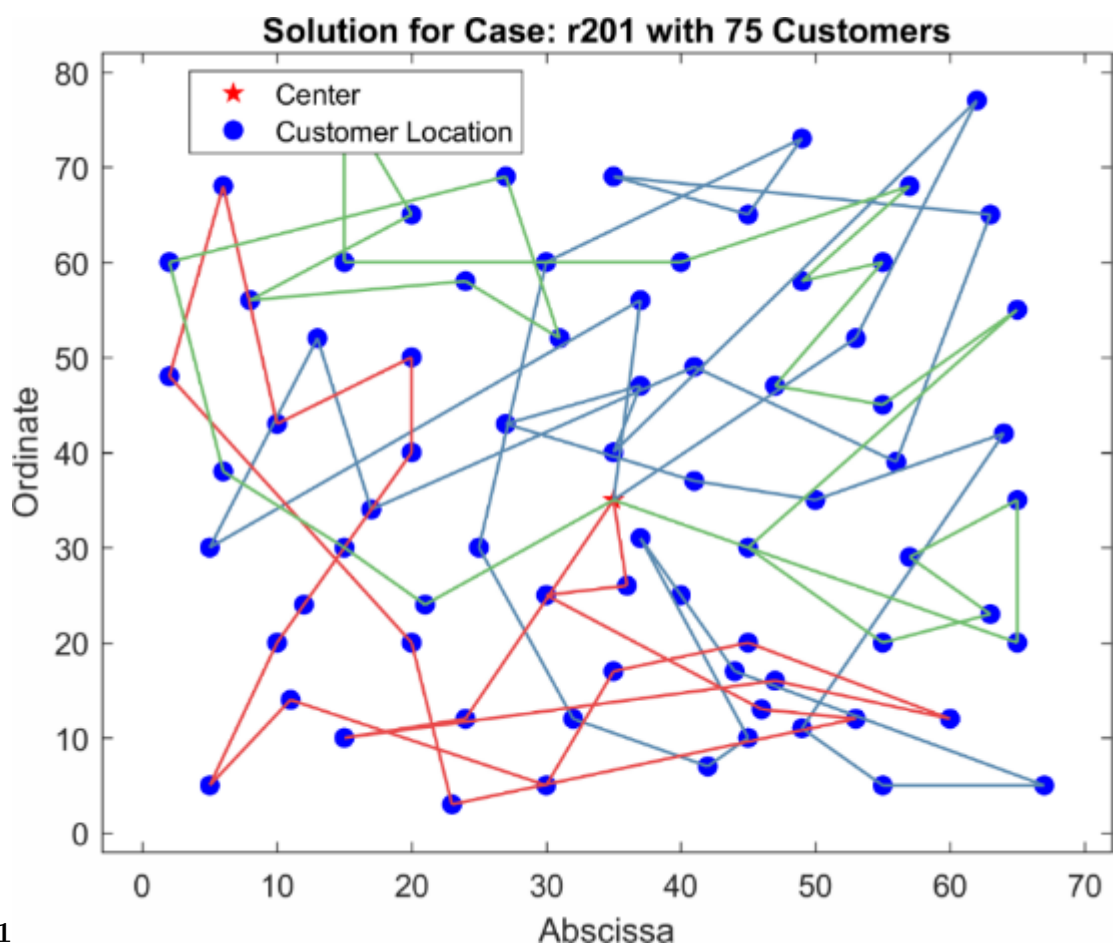
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Figure 15: Figure 15 :Figure 17 :



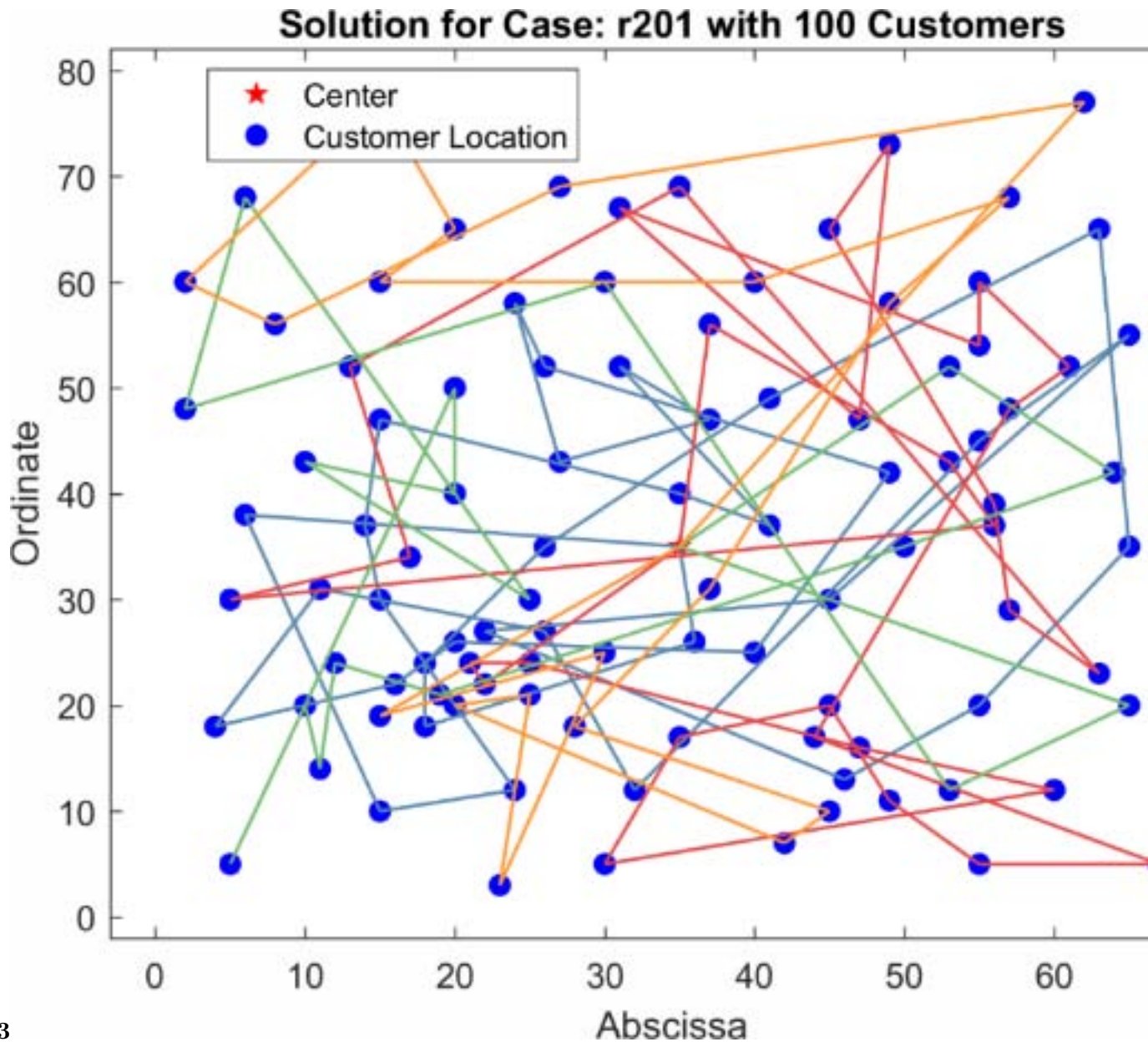
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Figure 16: Figure 19 :



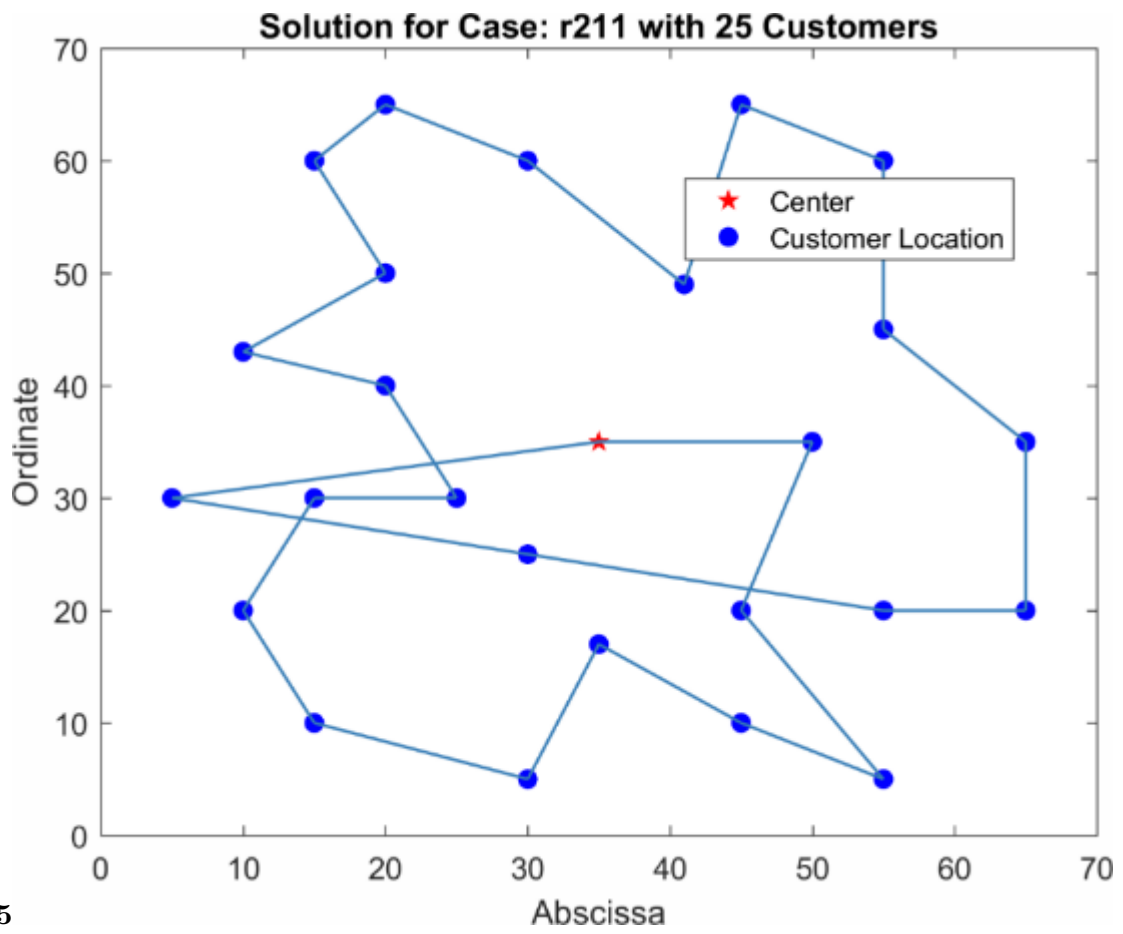
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Figure 17: Figure 21 :



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Figure 18: Figure 23 :



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Figure 19: Figure 25 :

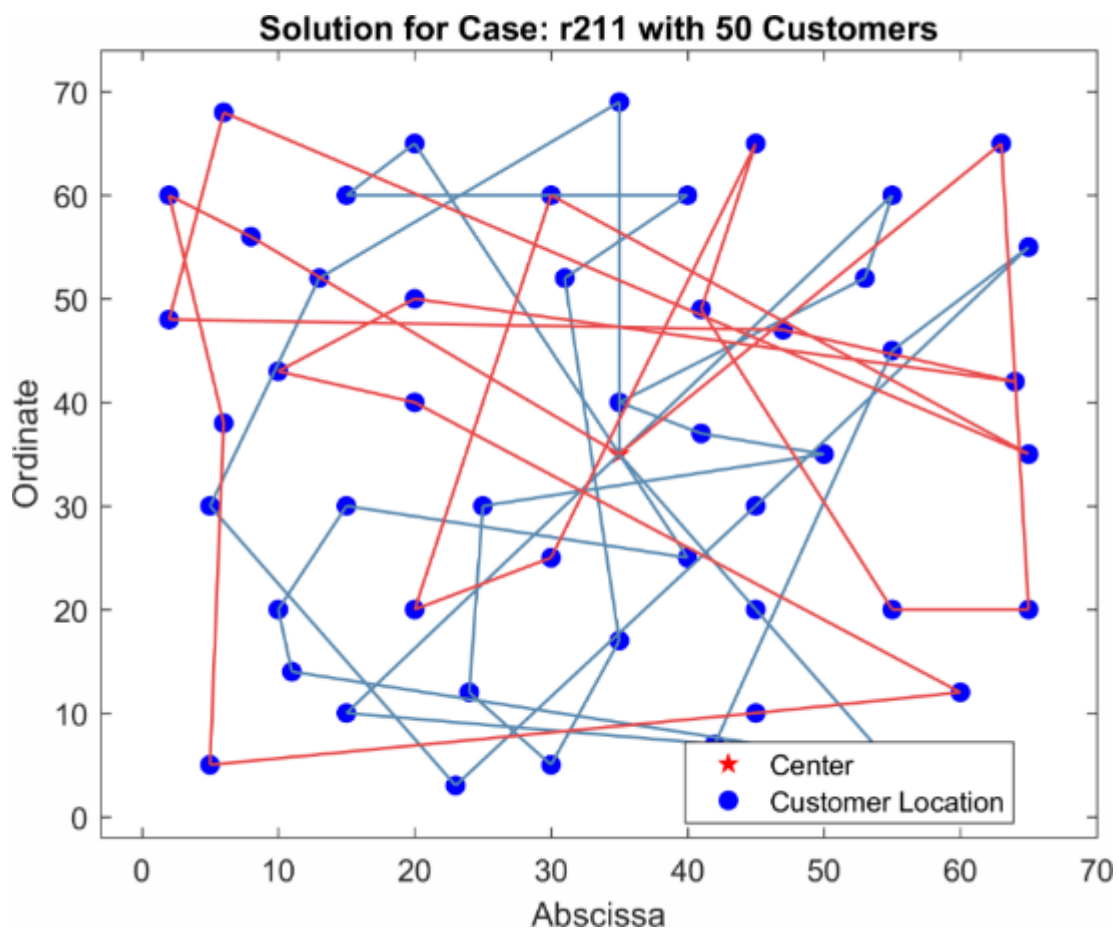


Figure 20:

Pheromone update is used to elevate the pheromone values that are found on good solution paths and decrease those that are on bad solution paths. In pheromone deposition and evaporation, pheromone

values either increase or decrease at a constant rate [29].

The pheromone evaporation equation

$$\begin{aligned}
 & \text{as such,} \\
 & \tau_{ij} = \tau_{ij} - \rho \tau_{ij} + \Delta\tau_{ij} \quad (15) \\
 & \tau_{ij} = \tau_{ij} - \rho \tau_{ij} + \Delta\tau_{ij} \\
 & \tau_{ij} = \tau_{ij} - \rho \tau_{ij} + \Delta\tau_{ij} \\
 & \tau_{ij} = \tau_{ij} - \rho \tau_{ij} + \Delta\tau_{ij} \\
 & \tau_{ij} = \tau_{ij} - \rho \tau_{ij} + \Delta\tau_{ij} \\
 & \tau_{ij} = \tau_{ij} - \rho \tau_{ij} + \Delta\tau_{ij}
 \end{aligned}$$

Where trail persistence $\rho \in [0, 1]$ of the evaporation factor $\rho \in [0, 1]$

$$\begin{aligned}
 & \tau_{ij} = \tau_{ij} - \rho \tau_{ij} + \Delta\tau_{ij} \\
 & \tau_{ij} = \tau_{ij} - \rho \tau_{ij} + \Delta\tau_{ij}
 \end{aligned}$$

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Figure 21:

2

PID	NUM_CUST	ACOMO		CE	CS	PS_KPSO		CE	CS	NV
		C (CNY)	(CNY)			C (CNY)	NV			
C101_25	25	3481.31	19.73	98.4	5	3138.070823	19.50420315	100	3	
C101_50	50	9583.7	53.75	99.2	11	5942.717648	33.43172956	100	5	
C101_75	75	20195.27	94.87	99.47	17	10639.70989	54.95890959	100	8	
C101_100	100	31202.27	129.87	99.6	23	13561.40714	69.03832969	100	10	

b. Results with other test cases

Figure 22: Table 2 :

4

PID	NUM_CUST TOT_VEH		PS_KACO			ACOD	
			NV	C	CE	CSNV	C
C_201_25	25	25	2	215.543	14.864	100	613.8
C_201_50	50	25	2	444.961	19.7345	100	1232.8
C_201_75	75	25	3	511.09	26.2824	100	2177.5
C_201_100	100	25	3	591.557	27.9907	100	2221.7
r_201_25	25	25	2	543.693	21.8306	100	946.3
r_201_50	50	25	2	1039.39	32.3543	100	1404.8
r_201_75	75	25	3	1368.58	44.4869	100	2482.7
r_201_100	100	25	4	1995.19	62.9339	100	2931.0
r_211_25	25	25	1	375.432	13.1144	100	400
r_211_50	50	25	2	1391.42	39.8279	100	600
r_211_75	75	25	2	1199.99	35.7638	100	873.4
r_211_100	100	25	3	1867.28	55.0745	100	1080.0
r_c201_25	25	25	2	454.046	19.9274	100	847.1
r_c201_50	50	25	3	974.703	36.1249	100	1554.4
r_c201_75	75	25	4	1623.5	55.0429	100	2186.5
r_c201_100	100	25	4	1927.47	61.4963	100	2959.4

Figure 23: Table 4 :

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14 CONCLUSION

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