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Efficient E-Government Services, Constraints and Problems of Technical Applications and Software and the Transition to Smart Government

By Dr. Yasser Elmalik Ahmed Seleman

Bisha University, Saudi Arabia

Abstract- That e-governance is the concept and structure of the system and the functions and activities of all activities and processes in e-business on the one hand the level of e-government and business on the other.

Because the government sector as a significant proportion of the total economic sectors in most countries of the world, and the fact that dealing with the public sector is not limited to the class and not others, but prevail all citizens and residents, institutions and others, and the fact that this multi-dealing in quality, methods and how it is done and models for different procedures and steps implemented and locations between the corridors of government departments, the concept of e-government came as an ideal way for the government to enable them to take care of the interests of the public from individuals and institutions electronically using cutting-edge technology without the need for the applicant to move between government departments.

Keywords: *E:government is a modern introduction of the government of using the world wide web and the internet system in linking institutions to each other, and linking the various services private institutions and the general public, and the development of the information available to individuals in order to create a relationship specifications speed and accuracy designed to improve the quality of performance.*

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I. INTRODUCTION

Scientific and technical developments in the era had to be institutions and centers of public and private information in various aspects of its activities and interests, to initiate the development of plans, and paint required for the development of information systems, policies, and communications, are linked to networks of national information, and the world, and interest in the preparation and the creation of technical personnel, specialized in the field of information , and networks, and communication systems [1].

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Researcher summarizes many of the e-government features and benefits and advantages in the following points .

That the application of e-government system provides many advantages are as follows:

1. performance of service speed: where it substituting Computer traditional manual system replaced, development occurred in the provision of service to the public where I said the time required to perform the service due to the flow of information and data from automated computer in connection with the required speed of service, and then be carried out at a time Set very short. This as well as the achievement of mail service is subject to the supervision easier and more accurate than those imposed on the employee in the performance of his works in the traditional management system.
2. Cost reduction: It is noted that the administrative performance of the business in the traditional way consumes very large amounts of papers and documents and stationery.

This as well as the need to offer more than one employee in order to review it and sign what is useful and to allocate it to another employee. It is the whole that would service high performance costs, due to the high prices and the prices necessary for the performance of service materials.

3. shortcut administrative procedures Do not doubt the traditional administrative work prevailing now characterized by a number of administrative complexities and because it needs most often to the approval of more than an administrative body on the work required, this as well as features that cause the employee-based performance of the service, which may take a vacation or It does not exist in the place of work and then hang the performance of the service from day to day.

In order to eliminate these bureaucratic follow it through e-government can simplify these procedures, and completed quickly and easily save time, effort and expenses and so especially with regard to places of departments and the number of workers.

We touched on a number of conclusions and recommendations which researcher deem necessary.

1. That e-government is the result of multiple shifts had information and communications technology frontrunner in. They perform the same traditional functions of government but through a unified electronic pattern.
2. The multiplicity of objectives through the application of this endeavor, which focused on reducing the administrative complexities, and strengthen transparency, speed, and ease of transactions.
3. The application of this project requires the provision of basic infrastructure for carrying out of a series of requirements, such as providing communications network, computer, Internet and the proliferation of specialized legislation in this area and the human element and other qualification.

4. One of the main obstacles to achieve in addition to the lack of infrastructure there is limited awareness of the work and awareness of the importance of this project and activation of knowledge management and failure to provide the necessary support prices on electronic gear and communications equipment and other. Smart government is the electronic services digital means for us dispense with many things, including the excessive use of paper and time lost in follow-up transactions between departments is an excellent step in the evolution of government services in the state system and the speed of completion of transactions and customer convenience in first class, which he could accomplish his business through his Smart phone without the need to go to the place of the government department and wait.

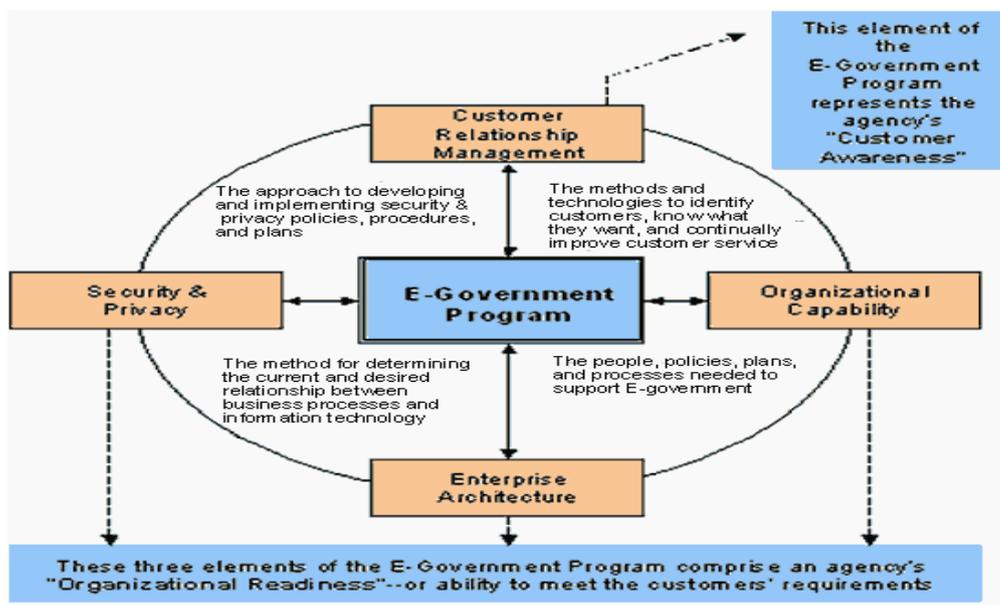


Fig. 2 : Smart government

a) Stages of the transition to smart government

Shift focus to smart government on the strategic use of the latest information and communication technologies, and on top of smart technology, to conduct a qualitative shift in the way they operate and accordingly government institutions, so as to achieve maximum user satisfaction, and effective cooperation with all relevant actors. This is done through the provision of means of communication seamless, interactive and intelligent work at any time and any place, across many devices.

b) Types of public improvements made smart government on E-government

it is necessary to understand the improvements that characterize the smart government to determine the quality of the services that must be taken into account in the development of smart services.

Comes with four different types of improvements made smart government on traditional way in which government agencies in providing services:

- Direct conversion of some of the e-government portal services: conversion of appropriate services between the existing services provided by the e-government portal to smart government services, which is a traditional electronic services are being provided on the smart platform.
- Clever new services are being provided to the public: a distinctive services may not be available in traditional e-government and made possible because of smart technologies. Examples of these services: payment of public transport and parking fees by using the mobile phone, in addition to the services that rely on geolocation.

- Services for field staff: means automating field force working; where are provided with government employees who work outside their offices (such as emergency and Inspection Services staff, and working to take care of patients at home) with a smart and techniques.

V. RECOMMENDATIONS

1. The need for effective investment in information and communication technology and provide the necessary infrastructure to build strong pillars of electronic government and that requires a spread of the Internet, and provide sponsors of this technology and the development of legislation and rehabilitation of the human element to ensure the overall generated by digital uses technical issues within cyberspace outstanding.
2. The need to sensitize and mobilize the citizens of the benefits and advantages of these technologies and provide the necessary facilities for them in connection with the acquisition of the necessary hardware costs, as occurred in Malaysia, Singapore, and other countries.

VI. CONCLUSIONS

In order for the e-government turn into a smart government will be working on several technical points and work smart government services on mobile phones and how they are assembled and endorsement serve individuals. Smart government may be provided through a government application of a uniform and deliberate government to disseminate guidance and general guidance on how to develop your technology has and how to design and contents of the service and how to protect service insurance (security and confidentiality of information) and then leave it for devices and various ministries in order to do internally government to develop Smart their own. Develop guidelines especially smart applications and templates (Smart Government Apps Guidelines).

Most governments has developed this special launch of a government Internet sites instructions but so far those governments did not work on the same application-level smart note that the time of citizen interaction with mobile apparatus far beyond the time consumed by that citizen interacting with browsers on desktop devices

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Social Recommendation Algorithm Research based on Trust Influence

By Xue Yi, Hong Yinghan & Chen Pinghua

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Abstract- Cold start and data sparsity greatly affect the recommendation quality of collaborative filtering. To solve these problems, social recommendation algorithms introduce the corresponding user trust information in social network, however, these algorithms typically utilize only adjacent trusted user information while ignoring the social network connectivity and the differences in the trust influence between indirect users, which leads to poor accuracy. For this deficiency, this paper proposes a social recommendation algorithm based on user influence strength. First of all, we get the user influence strength vector by iterative calculation on social network and then achieve a relatively complete user latent factor according to near-impact trusted user behavior. Depending on such a user influence vector, we integrate user-item rating matrix and the trust influence information. Experimental results show that it has a better prediction accuracy, compared to the state-of-art society recommendation algorithms.

Keywords : *collaborative filtering; cold start; data sparsity; social network; trust influence.*

GJCST-C Classification : *K.4.2 B.2.4*



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Xue Yi^α, Hong Yinghan^σ & Chen Pinghua^ρ

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Keywords: collaborative filtering; cold start; data sparsity; social network; trust influence.

I. INTRODUCTION

As the third Internet revolution after the search engine, recommendation systems, without the need for exogenous information about either items or users, achieve the initiative to push personalized service by conducting analysis based on user past online behavior, such as ratings or usage. Collaborative filtering which is the classical recommendation algorithm, comprises memory and model based methods [1]. To solve the data sparsity and cold start conundrum, social recommendation has gradually become one of the important research fields in the recommender system. It usually introduces the corresponding user trust information in social network, and its underlying assumptions are: people tend to interact with the people who have similar interests and preferences, and in such process they would be more similar with each other [2].

Social network information can indeed be used to improve the accuracy of prediction score, but how to fully exploit the social network connection feature information becomes a hot topic among a number of researchers recent years. [3] proposed an approach (SoRec) based on probabilistic matrix factorization by assuming the user-specific and factor-specific latent

feature follows Gaussian distribution, combined user trust information matrix T with user-item rating matrix R to constrain user latent feature vector, and then used the result to calculate rating matrix to predict; a combination of matrix factorization model and trust neighborhood-based model was proposed in [4] (RSTE); [5] presented an method that through active neighbors feature vectors to obtain the current user latent feature, and all user-specific matrix U , and then multiply the latent item-specific matrix V to forecast user-item ratings (Social MF).

These studies are mainly concentrated on the users directly connected each other in a social network, while ignoring the differences among user influence through out the network. [6] carried on a research from the perspective of trust and trusted, then combined each other with the addition (Trust MF). However, actually, trust and trusted information are not inseparable in a real a social network, and such trust information can be propagated, i.e. the user is not only influenced by neighbors, but also the users of greater influence in the network, in addition, during the stage that the trust and trusted models are mixed, since the trust and trusted detached from each other, tuning parameters becomes more difficult, resulting in poor interpretability even for a better prediction. Therefore, these algorithms above exist poor prediction accuracy issues.

In this paper we proposes a social recommendation algorithm based on the strength of trust influence: First of all, we conduct iterative calculation by using the connectivity of the trust network to obtain user-specific impact factors in the whole social trust network. Secondly, according to the influence differences among users, we constrain the user-specific latent factor matrix during the process of matrix decomposition, and by multiplying the user-specific and item-specific latent factor matrix to seek more accurate prediction scores.

II. PRELIMINARIES

Conveniently, we describe the basic algorithm by the following example, while denote user User1 abbreviated as U1, as well Item1 as I1. Figure 1 is an abstraction for the real social network, in which each circle represents a user and the arrow points to the ones that can be trusted, e.g. user U3 trusted user U1, while

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the user U1 does not trust U3. We also use matrix to represent the trust information in the network, where 1 represents the trust, 0 mistrust, thus the matrix is non-symmetric matrix, denoted by T_n , n is the number of nodes, as shown in Table 1. User-item ratings information is shown as Table 2. We integrate the two

datasets as input to get user-specific latent factor U and item-specific latent factor V by matrix decomposition, and then use the product of the two factors to get predicted ratings \hat{R} , as shown in table 3. We distinguish predicted ratings from known ones, by using the notation \hat{R} for the predicted value of R .

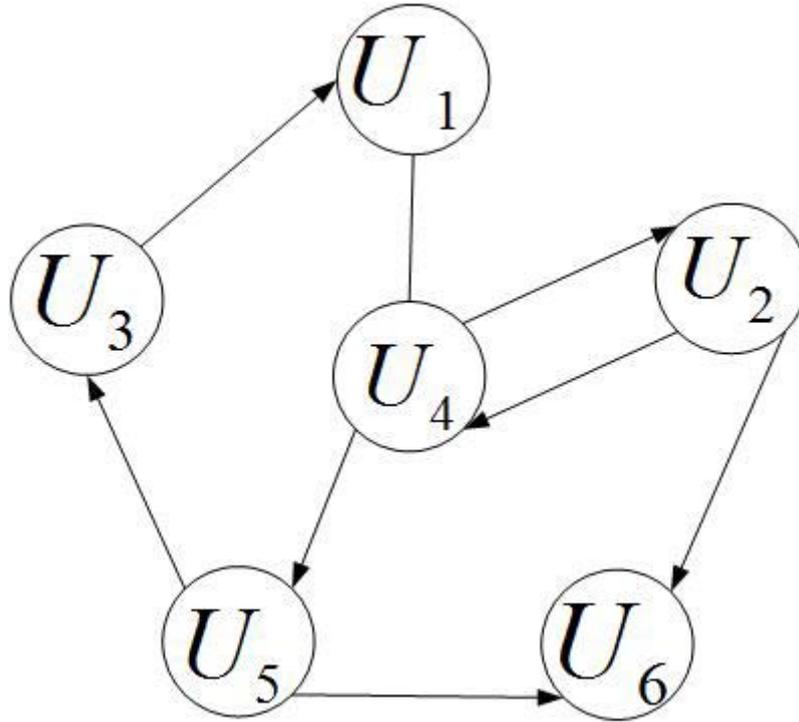


Fig. 1: Abstraction of Social Trust Network

Table 1: User trust information Matrix U

	U1	U2	U3	U4	U5	U6
U1	0	0	0	0	0	0
U2	0	0	0	1	0	1
U3	1	0	0	0	0	0
U4	1	1	0	0	1	0
U5	0	0	1	0	0	1
U6	0	0	0	0	0	0

Table 1 : user-item rating matrix V

	I1	I2	I3	I4	I5	I6
U1	5	2		3		4
U2	4	3			5	
U3	4		2			
U4						
U5	5	1	2		4	3
U6	4	3		2	4	

Table 2 : predicted user-item rating matrix \hat{R}_{ij}

	I1	I2	I3	I4	I5	I6
U1	5	2	2.5	3	4.8	4
U2	4	3	2.4	2.9	5	4.1

U3	4	1.7	2	3.2	3.9	3.0
U4	4.8	2.1	2.7	2.6	4.7	3.8
U5	5	1	2	3.4	4	3
U6	4	3	2.9	2	4	3.4

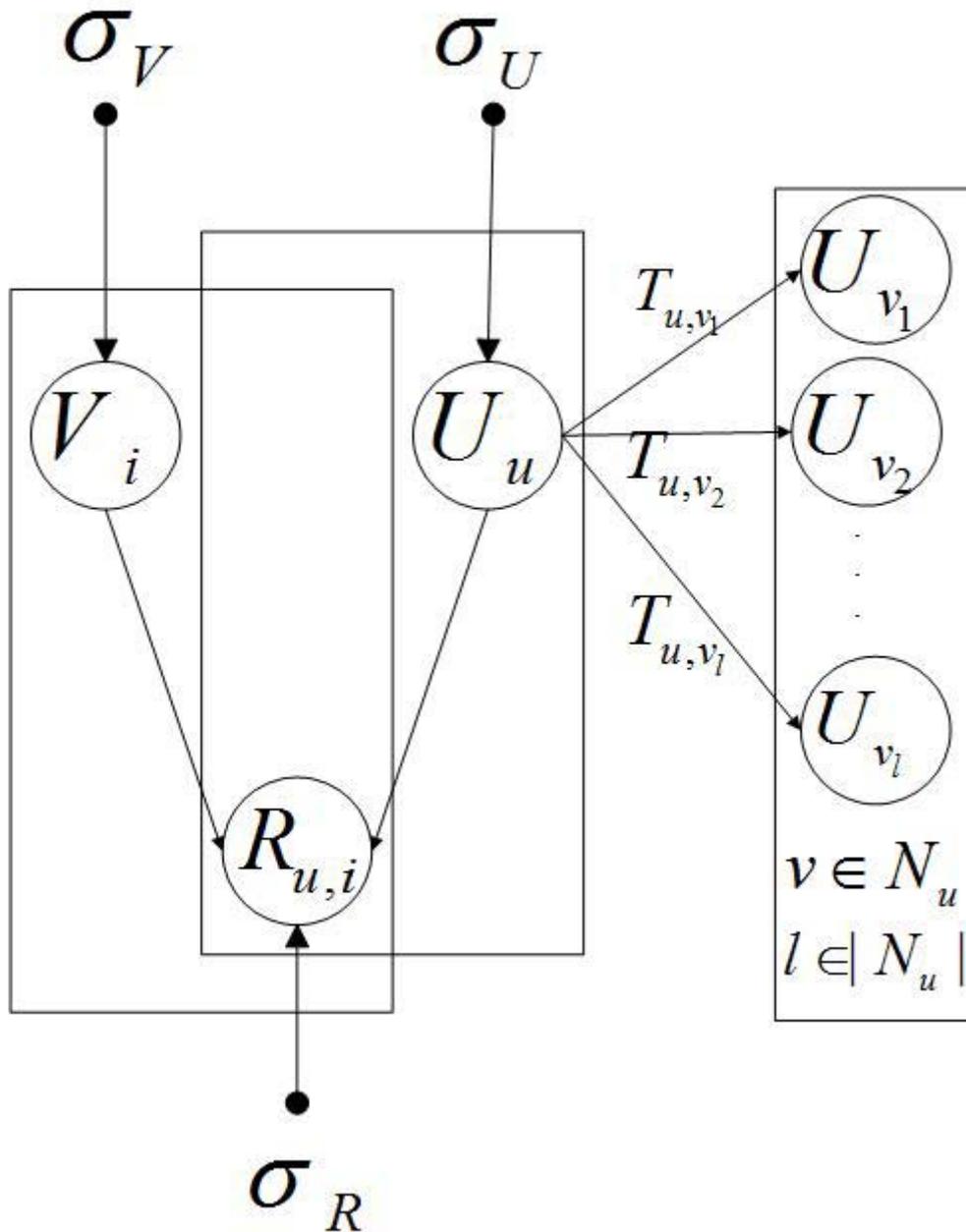


Fig. 2 : Factorization Diagram based on Rating Matrix and Social Network

According to the literature [7], introducing the social network into the user-rating ratings matrix can be treated as the rating matrix decomposition with a joint trust network matrix decomposition, as figure 2, they both constrain the user-specific latent factor U . We first define user-item rating matrix decomposition method, specifically address how to introduce a social network approach in the next section. We assume that the

recommendation system involving m users and n items, the user-items rating matrix denoted as $R = [R_{ij}]_{mn}$, in which each rating R_{ij} indicates the preference by user i of item j , where high values mean stronger preference, e.g. values can be integers ranging from 1 to 5. We denote an labeled matrix to show whether the user rates the item in user-item rating matrix

as $I = [I_{ij}]_{mm}$, if the user i has rated item j , set I_{ij} is 1, otherwise 0. By matrix factorization we can map the higher dimension matrix into a lower d dimension matrix. We denote user i latent factor as U_i , while item j latent factor as V_j , thus can get user-specific latent factor $U \in R^{d \times m}$, and item-specific latent factor $V \in R^{d \times n}$

$$L = \sum_{i=1}^m \sum_{j=1}^n I_{ij} (U_i^T V_j - R_{ij})^2 + \lambda_1 \|U\|_F^2 + \lambda_2 \|V\|_F^2 \tag{1}$$

In the formula, we denote $\|\cdot\|_F^2$ as Frobenius norm, and in case of over-fitting, set parameters $\lambda_1, \lambda_2 > 0$ to control model complexity. In addition, a regularization method for weighting λ was proposed in [8] to avoid the parameters above in the model learning process, λ_1, λ_2 respectively account for user-specific and item-specific latent factor in the whole training

$$L = \sum_{i=1}^m \sum_{j=1}^n I_{ij} (U_i^T V_j - R_{ij})^2 + \lambda_1 \sum_i n_{u_i} \|U_i\|_F^2 + \lambda_2 \sum_j n_{v_j} \|V_j\|_F^2 \tag{2}$$

and then use the product of user-specific and item-specific latent factor by $U^T V$ to fit the available user-item ratings matrix R , whereby item not rated by users can be filled with a predicted score. The main learning function is obtained by minimizing the loss function as follows:

model, at the same time, the introduction both of number of user U_i ratings and that of items rated is used to prevent the trained mode tilt to users who rated items too many or items rated by too many users, resulting in over-fitting problem, therefore, for the appropriate model items and users can be regularized as follows:

III. ALGORITHM DESCRIPTION

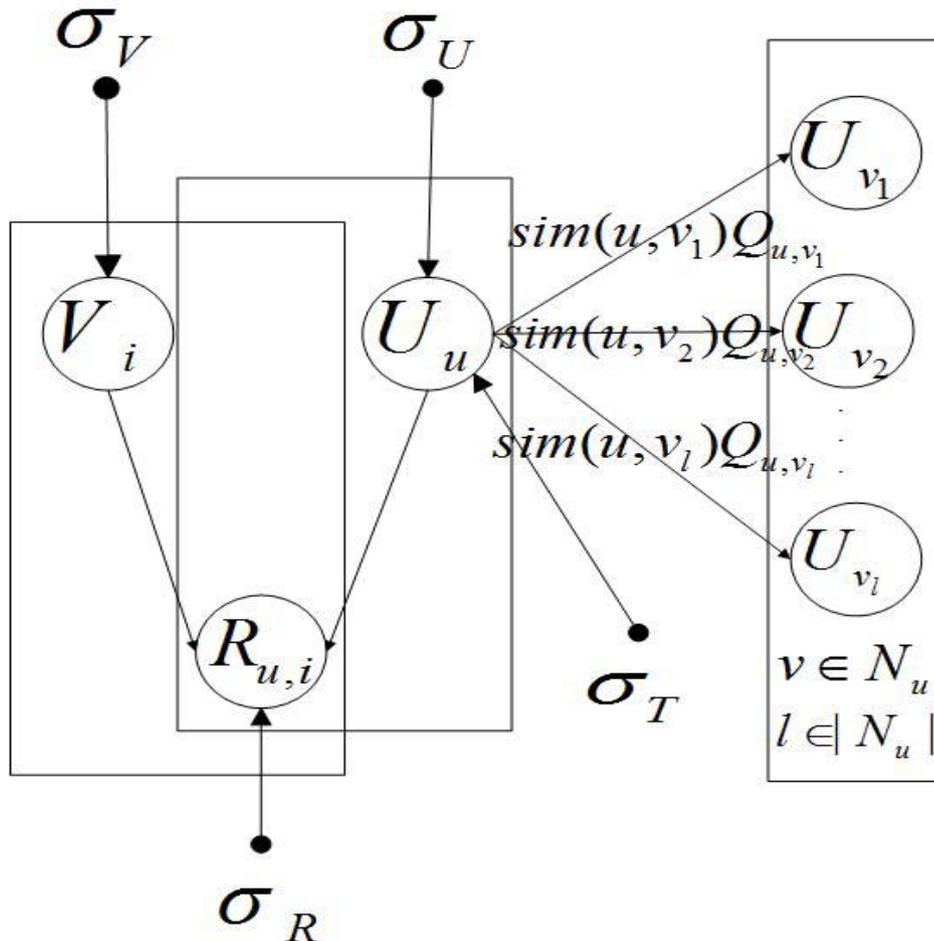


Fig. 3 : Our improved Algorithm T

The primary objective of this algorithm is to obtain the impact factors of user trust influence in the entire social network, which is utilized to measure the degree of users affected by their neighbors. We refer to the idea of Page Rank algorithm: the larger the number of user trusted throughout the trust network is, the bigger the trust influence such user has. We denote that there are m users involved in the ratings matrix R and trust matrix T , therefore, we can simultaneously both

$$PR(i) = \frac{1-d}{M} + d \cdot \sum_{j \in T_i} \frac{PR(j)}{O_j} \tag{3}$$

M represents the number of whole nodes in the network, and $\sum_{i=0}^N \|PR(i)\| = 1$, T_i is denoted as user set that user i trusts, O_i is the number trusted by user i , and $d(0 \leq d \leq 1)$ is the damping factor, usually set to

$$E_{n+1} = dT_0E_n + \frac{1-d}{N}I^+ \tag{4}$$

E_i represents the whole users trust influence in the i time iterative calculation, T_0 represents the with T_0 . When $n \rightarrow +\infty$, i.e. the matrix steps into the steady state:

$$E = dT_0E + \frac{1-d}{N}I^+ \tag{5}$$

Whereby the equation (5) can be simplified as equation (6):

$$E = (I - dT_0)^{-1} \frac{1-d}{N}I^+ \tag{6}$$

When $0 < d < 1$, the solution exists. Using the iterative calculation described as equation (5), with the number increases, the model will gradually converge [14], then we can get entire user trust influence vector $E = [e_i]_{m \times 1}$, in the section above, we proposed a regularized method on social networking information. As for social network research, the majority of studies are

$$L = \sum_{i=1}^m \sum_{j=1}^n I_{ij} (U_i^T V_j - R_{ij})^2 + \lambda_1 \sum_i n_{u_i} \|U_i\|_F^2 + \lambda_2 \sum_j n_{v_j} \|V_j\|_F^2 + \lambda_3 \sum_{i=1}^m \sum_{j \in \Psi} Sim(i, j) \|U_i - U_j\|^2 \tag{7}$$

$Sim(i, j)$ is on behalf of cosine similarity between the user i and adjacent user j , Ψ is a labeled matrix indicating whether the user is directly connected to all the others in the matrix. Taking into account the diversity of user preferences, we thus make regularization to balance user similarity and user-specific latent factor, due to the fact that when two users

$$Q_{ij} = \frac{|e_j|}{\sum_{k \in \Psi} |e_k|} \tag{8}$$

Q_{ij} indicates the trust proportion of user j in all user i directly connected users, we hope that user-specific latent factor tends to the overall average users, while taking into account the influence of adjacent users throughout the trust network, so we proposed a method which integrates both neighborhood similar user

matrix decomposition operation by the same user-specific latent factor U . As mentioned in the section 1, for a given user trust network, denoted as $G := (M, N)$, which M represents user nodes, $T = [t_{m,n}]_{M, M}$ is denoted as adjacency labeled matrix, if user m trust user n , then $t_{m,n} = 1$, $t_{m,n} = 0$ and vice versa. Thus the user i trust influence can be defined as:

0.85 [9]. Equation (3) only describes solution to achieve the influence of a particular user, so as to calculate the influence of the overall user-specific latent vector, it can be expressed as the formula (4):

original large-scale sparse trust matrix, I^+ is on behalf of a matrix filled with 1, and has the same dimension

based on the similarity of adjacent users, while ignore the fact that user trust someone does not mean they share a reliable presence of the same preference. If user trusted also has the same presence of target user behavior history information, the two are more likely to have same similar preferences, the objective function can be adjusted to the formula (7):

are similar, $Sim(i, j)$ will become very large, and the user latent factor $\|U_i - U_j\|^2$ will become very small, and vice versa. In addition, users are more inclined to follow the similar users who are more influential and keep consistent in behavior with them, and that is the reason why we introduce the strength of trust influence, equation (8) is defined as below.

characteristics and their trust influence factors to associate a user-item ratings and trust network information, resulting in a more accurate model. In order to facilitate learning and weight adjustment, at first, we handle the data with normalization, as the trust value Q_{ij} is between 0 and 1, we normalize the original data by

using mapping function $f(x) = x / R_{max}$, so that its final value is between $[0,1]$. According to the literature [5], a logistic function $g(x) = 1 / (1 + \exp(-x))$ was proposed to make the product of latent vectors to $[0,1]$, so after the training, we can get the final predicted result by

$$L = \sum_{i=1}^m \sum_{j=1}^n I_{ij} (g(U_i^T V_j) - R_{ij})^2 + \lambda_1 \sum_i n_i \|U_i\|_F^2 + \lambda_2 \sum_j n_j \|V_j\|_F^2 + \lambda_3 \sum_{i=1}^m \sum_{j \in \Psi} Sim(i, j) \|U_i - Q_j U_j\|^2 \quad (9)$$

During the training phase, we adopt the gradient descent method to minimize the objective

$$\frac{1}{2} \cdot \frac{\partial L}{\partial U_i} = \sum_{j=1}^n I_{ij} g'(U_i^T V_j) (U_i^T V_j - R_{ij}) V_j + \lambda_1 \sum_j n_j U_i + \lambda_3 \sum_{j \in \Psi} Sim(i, j) (U_i - Q_j U_j) \quad (10)$$

$$\frac{1}{2} \cdot \frac{\partial L}{\partial V_j} = \sum_{i=1}^m I_{ij} g'(U_i^T V_j) (U_i^T V_j - R_{ij}) U_i + \lambda_2 \sum_i n_i V_j \quad (11)$$

When using Pearson correlation coefficients to conduct calculation of cosine similarity, since the scope of its value between $[-1,1]$, for taking a positive number, we use the mapping function $f(x) = (1 + x) / 2$ instead. $Sim(i, f)$ is the similarity value for user i and user f ,

$$Sim(i, f) = \frac{\sum_{j \in (I(i) \cap I(f))} (R_{ij} - \bar{R}_i) \cdot (R_{fj} - \bar{R}_f)}{\sqrt{\sum_{j \in (I(i) \cap I(f))} (R_{ij} - \bar{R}_i)^2} \sqrt{\sum_{j \in (I(i) \cap I(f))} (R_{fj} - \bar{R}_f)^2}} \quad (12)$$

IV. COMPLEXITY ANALYSIS

The model training time consists two parts, the first part is the algorithm calculate the user trust influential feature vector, the second parts is for solving the latent factors by using the gradient descent method. We conduct iterative calculation to get the trust influential feature vector, if the entire number of nodes in the trust network is m , the average number of users trusted by per user is n , usually n is a relatively small number. Given t_1 times of iterations to achieve convergence, time complexity is $O(mnt_1)$, when the data is in large scale, the literature [10] proposed a distributed solution that can significantly reduce the time.

The time complexity of solving L is

$O(t_2 d(|\Omega| + N))$. t_2 is a specified number of iterations, d is the dimension of latent factors, $|\Omega|$ is the scale of the observed ratings, N represents time complexity of similarity calculation, since the matrix is so sparse that the time complexity $O(N) \ll O(n^2)$. After the combination of these two steps, the total time complexity of the algorithm model is $O(t_2 d(|\Omega| + N) + mnt_1)$.

$g(U_i^T V_j) \cdot R_{max}$. In view of keeping the same benchmarks among user-item ratings, social network data and the same constraint variable U , the new objective function is updated to equation (9):

function for solving the above-mentioned latent factors matrix, respectively U , V .

R_{ij} represents the rating of user i for item j , \bar{R}_i indicates user i average rating score, $I(i)$ is a labeled matrix indicating whether user i rate the item or not, as well as user f .

V. EXPERIMENTS AND RESULTS

a) Dataset Selection

The classical data set were Epinions (665KB) [11], which contains not only the user-item ratings data, but also trust relationship between users, and thus it is usually recommended as baseline data set to test social recommendation algorithms. We use it for testing and validating the currently mainstream recommendation algorithms as well as our improved algorithm. First of all, we made the basic statistics of the data set as shown in Table 4. Trust (degree) and trusted (out-degree) statistics information shows that when the trust or be trusted number of persons increases, the corresponding statistics number gradually reduces, which follows the power law distribution.

b) Cross-Validation

We use 5-fold cross-validation methods for training and testing the models. For each test we randomly selected 80% of the whole data as the training data set and the remaining 20% is used for testing. The next experiment results discussed in the final comparison is obtained by averaging the results of tests from five repeated times.

c) Evaluation

Evaluation criteria used in the Experiments are based on the average absolute error MAE and root mean square error RMSE:

$$MAE = \frac{\sum_{i,j} |R_{ij} - \hat{R}_{ij}|}{N} \quad (13)$$

$$RMSE = \frac{\sqrt{\sum_{i,j} |R_{ij} - \hat{R}_{ij}|^2}}{N} \tag{14}$$

Table 4 : Basic statistics of the data set Epinions

Basic Data				Scenes			Others	
Users	Items	Rating Scale	Density	Users	Link Types	Items	tags	
40,163	139,738	664,824 [1,5]	0.000118458	66,807	487,183 Trust	General	None	

Table 6 : Results in Dimension 5 and 10

	Evaluation	SoRec	RSTE	SocialMF	TrustMF	T
d=5	MAE	0.9197	0.8635	0.8826	0.8212	0.8010
	RMSE	1.151	1.1071	1.1107	1.0585	1.0320
d=10	MAE	0.9152	0.8572	0.8567	0.8148	0.8059
	RMSE	1.1773	1.1483	1.1113	1.0771	1.0408

From the table, we can conclude that, regardless of index value of MAE or RMSE, the smaller its value is, the more accurately the algorithm predicts the score.

d) Comparison

In consideration of the similar algorithms, our main work is integrating users trust influence in the social network into the user-item ratings. Thus while making the lateral comparisons, in addition to the

original matrix decomposition algorithms, we also made comparisons among social recommendation algorithms which are focus on trust.

e) Contrast Algorithms and Reasons

When training the models, we set parameters of each algorithms by referring to the literature [8] [9], which introduced the optimal parameters of each algorithms. Our algorithm is denoted as T and more details described as the following table 5:

Table 5 : Contrast Algorithm and Params Settings

Algorithm Name	Parameters
SoRec	$\lambda_u = \lambda_v = \lambda_z = 0.001, \lambda_c = 1$
RSTE	$\lambda_u = \lambda_v = 0.001, \alpha = 0.4$
SocialMF	$\lambda_u = \lambda_v = 0.001, \lambda_r = 1$
TrustMF	$\lambda = 0.001, \lambda_r = 1$
T	$\lambda_1 = \lambda_2 = 0.001, \lambda_3 = 1$

For all the algorithms above based on matrix decomposition, the dimension of latent factors are set to 5 and 10 respectively, and during model training stage, the same initialized strategy is adopted: the original matrixes involved are filled with a random number uniformly distributed values between 0 and 1.

f) Results

Experiment results show that with the increase of the number of iterations, the index value of RMSE and MAE keeps declining, which indicates the introduction of the trust influence factors has a positive impact on enhancing the overall effectiveness of recommendation and improves the accuracy of predicted results. On the dataset, we have achieved results as showed in Table 6, while our algorithm is denoted as T. Cross-validation results show the performance of the our proposed algorithm is slightly better than all the other compared algorithms. In addition, we conducted statistical analysis of RMSE and MAE index value during the iterative calculation and found that with increasing number of iterations, RMSE and MAE index value gradually reduce and eventually keep stabilized, indicating that the algorithm utilizes influence characteristics among users

in the trust network to predict user rating propensity is relatively effective and eventually enhances the accuracy of the prediction score of recommendation.

VI. CONCLUSION

As the common recommendation system algorithm, collaborative filtering encountered sparse data and cold start problems, which leads to poor accuracy. Given the fact that the impact of opinion leaders for individuals in the social network, this paper proposed one social recommendation algorithm based on trust influence to alleviate such problems above. Compared to the previous research merely on adjacent user preferences, we consider the trust propagation mechanism and try out iterative calculations based the connectivity of social trust network to obtain user influence values, and then integrate both similar neighbor characteristics and their trust influence factors. Experiments show that compared the most state-of-art social algorithms, our approach optimizes the recommended results and improves the prediction accuracy. However, obviously, this algorithm complexity positively correlates with the number of iterations, when

faced with large-scale data, the computation time is too long, especially for single node. Therefore, the next research focus on the implementation of distributed algorithm for the iterative calculations involving large-scale data.

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On Database Relationships Versus Mathematical Relations

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Abstract- Unfortunately, the widespread used one-to-many, many-to-one, one-to-one, and many-to-many database relationships lack precision and are very often leading to confusions that affect the quality of conceptual data modeling and database design. This paper advocates replacing them with the rigorous math notions of relations and (one-to-one) functions.

GJCST-C Classification : F.4.1 H.2.3



Strictly as per the compliance and regulations of:



On Database Relationships versus Mathematical Relations

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I. INTRODUCTION

The widely used Entity-Relationship (E-R) Data Model (E-RDM, e.g. [Chen, 1976], [Thalheim, 2000], [Mancas, 2015]) is and will continue to be successful in database (db) design mainly due to the graphical nature of its E-R Diagrams (E-RDs) and simplicity.

a) E-RDs

In its original version [Chen, 1976], atomic (entity-type) object sets are represented in E-RDs by rectangles, compound (relationship-type) ones by

diamonds, and the Relational Data Model (RDM, e.g.[Codd, 1970], [Abiteboul et al., 1995], [Mancas, 2015]) attributes (object set properties) by ellipsis (attached to the corresponding rectangles and diamonds).

Structural E-RDs only contain rectangles and diamonds (which connect rectangles), without any ellipsis. As such, they are non-directed graphs whose nodes are rectangles and diamonds and whose edges are so-called “roles” (of the connected entity-type object sets in the corresponding relationship-type ones).

Figure 1 shows an example of a Chen-style E-RD, while Figure 2 presents the corresponding structural one. Obviously, *CITIES* and *COUNTRIES* are entity-type object sets, *CITIES_COUNTRIES* and *COUNTRIES_CAPITALS* are relationship-type ones, *belongs to*, *has*, *is capital*, and *has capital* are roles, whereas *Name*, *Zip Code*, *Population*, *Code*, *Tel Prefix* are attributes.

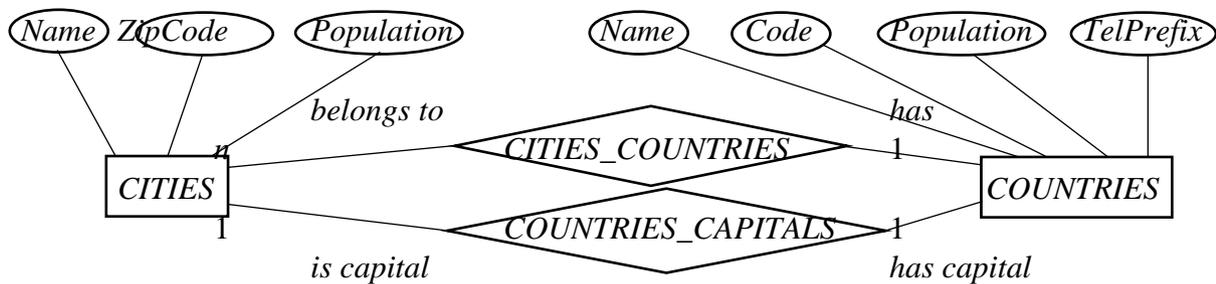


Figure 1 : An example of a Chen-style E-RD

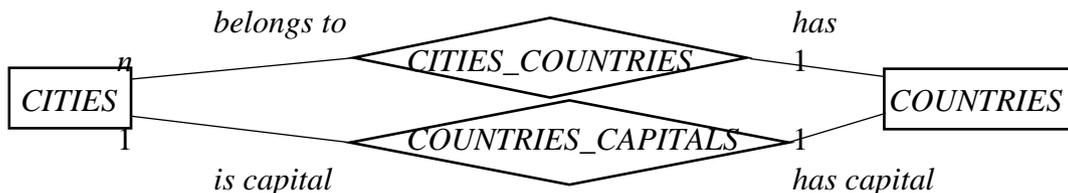


Figure 2 : The Chen-style structural E-RD corresponding to the one of Figure 1

Roles have associated cardinalities. For example, read from left to right, *CITIES_COUNTRIES* is said to be a *many-to-one relationship* (as there generally are many cities in a country) and this is why *belongs to* has cardinality *n*, while *has* has 1. Obviously, read from right to left, it is a *one-to-many* relationship (as generally a country has many cities). Similarly, *COUNTRIES_CAPITALS* is said to be a *one-to-one relationship* (as countries may have only one capital and any city may be the capital of only one country) and this is why both *is capital* and *has capital* have cardinality 1.

Figure 3 shows a so-called *many-to-many relationship* (as any person may get married several times with different persons), where both roles have cardinality *n*.

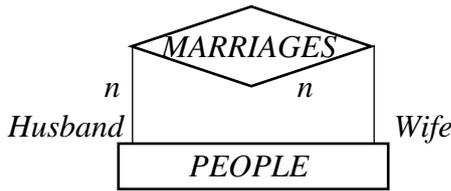


Figure 3 : An example of a many-to-many relationship

We are using a slightly different notation [Mancas, 2015]: just like in its original version, atomic (entity-type) object sets are represented by rectangles, mathematic non-functional relation type ones (i.e. subsets of Cartesian products) are represented by diamonds, but functional ones are represented as arrows, just like in math. Hence, in our version structural E-RD (from now on abbreviated as E-RD) are oriented graphs whose nodes are only object sets and whose edges are *structural functions* (i.e. functions defined on and taking values from object sets¹).

For example, as, in fact, both *CITIES_COUNTRIES* and *COUNTRIES_CAPITALS* are functional, Figure 4 shows the equivalent of the Chen-style E-RD from Figure 2.

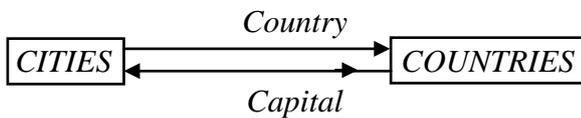


Figure 4 : The math-style E-RD equivalent to the one in Figure 2

As *MARRIAGES* is not functional, our math-type notation is identical to the Chen-type one from Figure 3.

b) Corresponding mathematical relations

Recall that, algebraically, a relation is a non-empty subset of a Cartesian product. First (minor) difference of db relationships as compared to math

relations is that they may be empty (at least immediately after they are declared and up to the moment when a first element is inserted into their instances, but possibly also afterwards, whenever their instances are emptied by deleting all of their elements and up to the moment when new elements are again inserted into them).

Second (major) difference between them is that the math ones are positional (as Cartesian products are non-commutative), whereas db ones are not: they only require that all roles of any relationship be pairwise distinct.

For example, mathematically, $CITIES \times COUNTRIES \neq COUNTRIES \times CITIES$, which means that when both relationships from Figures 2 and 5 are read either from left to right or from right to left they are distinct, whereas from the db perspective they are strictly equivalent, no matter how are they read (which would correspond to the equivalence classes of Cartesian products immune to the permutations of their member sets).

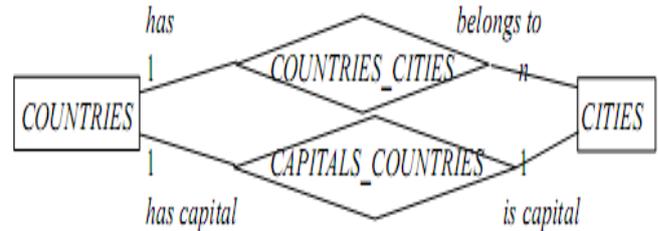


Figure 5 : The E-RD dual to the one of Figure 2

Another advantage of our notation (beside simplicity and math compatibility) becomes clear when comparing Figure 2 with its corresponding dual from Figure 6: no relationship-type set name has to change – only arrow directions are reversed.

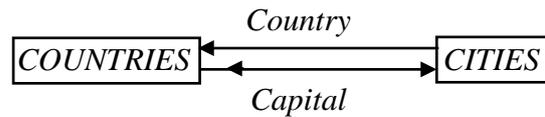


Figure 6 : The E-RD dual to the one in Figure 4

Also recall that there is a very important particular case of math relations, namely the functions (mappings); a *function* is a binary relation satisfying two additional constraints: it is totally defined and it is functional. Read from left to right, the first set is called the *domain*, while the second is called *co-domain*. For example, the function *Country* : *CITIES* → *COUNTRIES* has domain *CITIES* and co-domain *COUNTRIES* and it is a function because it is *totally defined* (that is any city belongs to a country) and *functional* (i.e. any city belongs to only one country).

Database functions (which in relational ones are implemented as table columns) differ slightly from math ones only because totality is not compulsory: for

¹ as compared to attribute-type ones, also defined on object sets, but taking values into (subsets of) data types (e.g. Population : *CITIES* → [0, 3*10⁸])

example, as capitals might not be temporarily known or of interest for any country, the function $Capital : COUNTRIES \rightarrow CITIES$ may not be totally defined.

Totality is considered in dbs as a constraint that has to be explicitly asserted whenever desired. For example, in the (Elementary) Mathematical Data Model ((E)MDM, e.g. [Mancas, 1990], [Mancas, 2016]), the complete declaration of $Country$ is $Country : CITIES \rightarrow COUNTRIES$, total. In RDM, this is called a *not-null constraint*, meaning that the corresponding column does not accept *null values* (i.e. distinguished values represented either as null strings or with the keyword $\langle NULL \rangle$). Considering a countable distinguished set NULLS, a possible dual (E)MDM notation for the above two functions is $Country : CITIES \rightarrow COUNTRIES$ and $Capital : COUNTRIES \rightarrow CITIES \cup NULLS$, respectively, in which case total definition is always satisfied, just like in math.

Obviously, $Capital$ is a one-to-one function, i.e. one for which to any pair of distinct domain elements corresponds a pair of distinct function values. This is why, in our notation (e.g. Figures 4 and 6) its arrow is a double one, and its complete (E)MDM definition is $Capital : COUNTRIES \leftrightarrow CITIES$.

Note that roles of non-functional relationships (e.g. $Husband$ and $Wife$ from Figure 3 above) are also structural functions, namely canonical Cartesian projections (e.g. $Husband : MARRIAGES \rightarrow PEOPLE$, $Wife : MARRIAGES \rightarrow PEOPLE$).

II. DISADVANTAGES OF USING DB RELATIONSHIPS INSTEAD OF MATH RELATIONS AND FUNCTIONS

There is only one advantage in using E-RD relationships, especially when using our simpler and math-type notation: the fact that they are graphic (and a good picture is worth thousand words). Unfortunately, there are much more important disadvantages as well.

a) *Unnaturalness of Chen-type functional relationships*

Representing functional relationships as diamonds has several pitfalls:

- It is true that, being particular cases of binary relations, they can be thought of as object sets as well (in particular, sets of elements of the type $\langle x, f(x) \rangle$), but, in fact, both mathematically (which considers them functions, not sets) and from the db point of view (which, by applying the Key Propagation Principle [Mancas, 2015], implements them as table columns, in particular foreign keys) they are not dealt with as such, just like the non-functional ones (which are implemented as tables, just like for the entity-type ones).
- Their names are confusing: obviously, for example, both $Country$ and $Capital$ are much clearer than $CITIES_COUNTRIES$ and $COUNTRIES_CAPITALS$; a

clear sign that they are unnatural objects is that they lack natural names, which only exist for non-functional relationships (e.g. $STOCKS$ instead of $WAREHOUSES_PRODUCTS$).

- The need for three distinct names (for the relationship and its two roles) instead of only one (the function) is also unnatural. Again, as compared to non-functional relationship role names, which are natural (e.g. $Husband$, $Wife$, $Product$, $Warehouse$, $Home Team$, $Visiting Team$, etc.), they generally have an Artificial Intelligence flavor (e.g. *is*, *has*, *belongs*, etc.), not a db or math one.
- The redundancy of one-to-many relationships: as we read math from left to right, functions are many-to-one relationships; one-to-many ones are the same corresponding functions, but read from right to left (i.e. from the co-domain to the domain).

b) *Confusion between one-to-oneness and bijectivity*

Not only beginners, but also, for example, MS Access designers are confusing one-to-oneness with bijectivity. For example, if you first declare $Capital$ as a (unique) key (i.e. as being one-to-one) and then try to enforce its referential integrity, depending on the instances of the two tables it relates, you might not succeed in either enforcing it (when there are more cities than countries, which is the norm) or inserting data in any of the two involved tables (when both instances are empty, enforcing referential integrity succeeds, but then you may not enter either cities, as there are no corresponding countries, or countries, as there are no corresponding cities).

This is clearly due to the confusion done between one-to-oneness and bijectivity (i.e. one-to-oneness and ontoness).²

c) *The many-to-many relationships trap*

The worst issue with db relationships is that they may not even correspond to object sets.

For example, if you enforce uniqueness of elements in the above $MARRIAGES$ (i.e. uniqueness of the product $Husband \bullet Wife$), then you may not store remarriages (e.g. Elisabeth Taylor and Richard Burton married and divorced each other several times). If you do not enforce it, then it is not even a set, as it accepts duplicates.

Generally, you have to validate data modeling correctness for each relationship, by checking the one-to-oneness of the product of all of its roles: if it is not (like for $MARRIAGES$, where $Husband \bullet Wife$ is not one-to-one), then the corresponding relationship is ill-defined (and either it lacks at least another role or it is, in fact an entity-type object set).

Consequently, the correct model in all contexts in which divorce (hence, remarrying) is possible is the one in Figure 7:

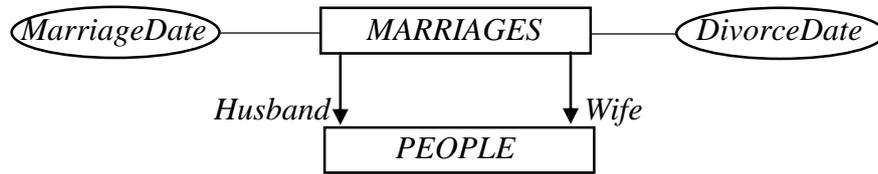


Figure 7 : Correct data model of MARRIAGES (as an entity, not relationship-type object set, like it is incorrectly modeled in Figure 3)

III CONCLUSION

To conclude with, during conceptual data modeling and db design it is always much, much better to think in terms of math relations and functions, rather than in those of one-to-many, many-to-one, one-to-one, and many-to-many ones.³

Otherwise, you risk confusions between one-to-many and many-to-one ones, one-to-oneness and bijectivity, and even between relationship and entity-type object sets.

Moreover, our E-RD notations [Mancas, 2015] are much simpler, natural, and close to math than the original ones.

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²Fortunately, there is a workaround for it in MS Access too: if you first enforce referential integrity and only then uniqueness, no issue arises.



Cluster Analysis of Medical Research Data using R

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Abstract- Cluster analysis divides the data into groups that are meaningful, useful or both. It is also used as a starting point for other purposes of data summarization. This paper discuss some very basic algorithms like K-means, Fuzzy C-means, Hierarchical clustering to come up with clusters, and use R data mining tool. The results are tested on the datasets namely Online News Popularity, Iris Data Set and from UCI data repository and mi RNA dataset for medical data analysis. All datasets was analyzed with different clustering algorithms and the figures we are showing is the working of them in R data mining tool. Every algorithm has its uniqueness and antithetical behavior.

Keywords: *k-means algorithm, fuzzy c-means algorithm, hierarchical clustering algorithm, r tool.*

GJCST-C Classification : *H.3.3 I.5.3*



Strictly as per the compliance and regulations of:



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Lavanya Pamulaparty^α, Dr. C.V Guru Rao^σ & Dr. M. Sreenivasa Rao^ρ

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Keywords: k-means algorithm, fuzzy c-means algorithm, hierarchical clustering algorithm, R tool.

I. INTRODUCTION

Cluster analysis divides data into meaning full groups (clusters) which share common characteristics i.e. same cluster are similar to each other than those in other clusters. It is the study of automatically finding classes. A web page especially news articles which are flooded in the internet have to be grouped. The clustering of these different groups is a step forward towards the automation process, which requires many fields, including web search engines, web robots and data analysis.

Any new web page goes through numerous phases including data acquisition, preprocessing, Feature extraction, classification and post processing into the database. Cluster analysis can be regarded as a form of the classification which creates a labeling of objects with class labels. However it derives these labels only from the data. Data mining functionalities are the Characterization and discrimination, mining frequent patterns, association, correlation, classification and prediction, cluster analysis, outlier analysis and evolution analysis [1].

Clustering is a vivid method. The solution is not exclusive and it firmly depends upon the analysts' choices. Clustering always provides groups or clusters, even if there is no predefined structure. While applying cluster analysis we are contemplating that the groups exist. But this speculation may be false. The outcome of clustering should never be generalized. [9].

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II. R TOOL

R is a free software environment for statistical computing and graphics. It compiles and runs on a wide variety of UNIX platforms, Windows and Mac OS [12]

R is public domain software primarily used for statistical analysis and graphic techniques [10]. A core set of packages is included with the installation of R, with more than 7,801 additional packages (as of January 2016[update]) available at the Comprehensive R Archive Network (CRAN), Bio conductor, Omegahat, Git Hub, and other repositories.[14] R tool provides a wide class of statistical that includes classical statistical tests, linear and nonlinear modeling, classification, time-series analysis, clustering and various graphical functions.[13]

R uses collections of packages to perform different functions [11]. CRAN project views provide numerous packages to different users according to their taste. R package contain different functions for data mining approaches. This paper compares various clustering algorithms on datasets using R which will be useful for researchers working on medical data and biological data as well. For this IDE, R Studio is used refer the below Figure 1.

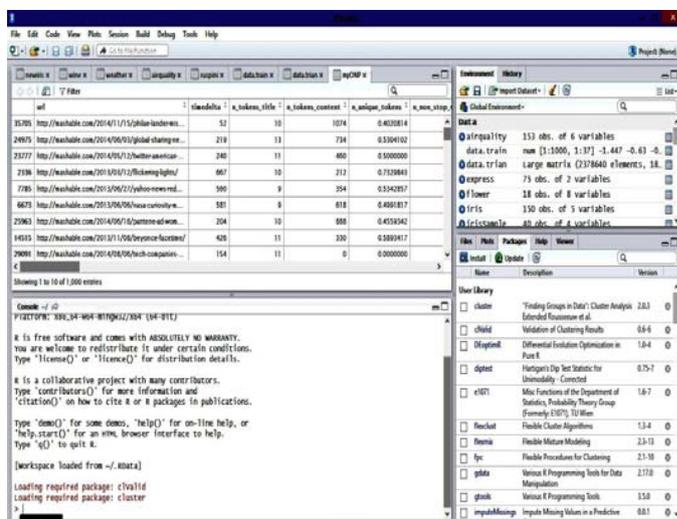


Fig 1: R tool Studio

III. CLUSTERING ALGORITHMS

a) K-Means

The term "k-means" was first used by James Macqueen in 1967 [2], though the idea goes back to

1957 [4]. The K-means algorithm is the most commonly used and simplest method among all partitioned clustering algorithms. As Harington and Wong1979 mentioned it is an iterative method which minimizes the sum of the squares for a given number of Clusters.

Here's how the algorithm works [5]:

1. Select k points as initial centroids.
2. Repeat
3. From K clusters by assigning each point to its closest centroid.
4. Recompute the centroid of each cluster.
5. Until centroids do not change.

K-Means reaches a state in which no points are shifting from one cluster to another e.g. repeating until only 1% of the points change clusters.

For measuring the quality of the clustering we measure Sum of the squared error (SSE) or scatter.

$$SSE = \sum_{i=1}^k \sum_{x \in c_i} dist(c_i, x)^2$$

Where dist is standard Euclidean distance between two objects in Euclidean space. The centroid (mean) of the ith cluster that minimizes the SSE is defined as

$$\sum_{i=1}^k \sum_{x \in c_i} dist(c_i, x)^2$$

The advantage of this method is highly scalable of the huge sum of data sets with $O(n * k * r)$ where r is the number of rounds, where n represent number of data items, k represent numbers of clusters [14]. It has user defined constant K and Runtime is totally dependent on the initial pick of centroids.

b) K-Means Implementation using R

For this analysis we have considered Online News Popularity datasets which consists of articles published by Mash able (www.mashable.com) [4]. Instances are 39797 and Number of Attributes is 61. As the results of the k means are undeterministic, we have followed the practice of running multiple rounds of k means so performed on various k values as k=3, k=5 and k=10. The best iteration is one who minimizes the average distance of each point to its assigned centroid.

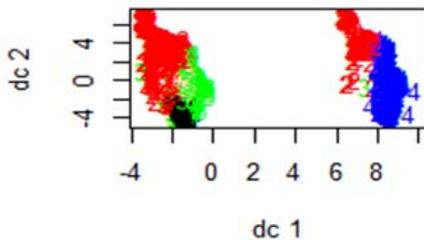


Fig 2 : K means plot with k=5

The Figure 2 shows the results of clustering the Online News Popularity datasets with 39645 number of News articles URL's. The above results show that there is overlapping of clusters. So preprocessing is required

to address this problem and omit the NA values also. Then the following is the code after data cleaning [15].

```
> onpdat <- kmeans (myONP [, c
("n_tokens_title", "n_tokens_content")], centers=5,
nstart=10)
> Onpdata
```

K-means clustering with 5 clusters of sizes 15, 67, 195, 319, and 404

Cluster means:

	n_tokens_title	n_tokens_content
1	11.46667	2648.9333
2	10.29851	1469.2985
3	10.41026	897.5897
4	10.50470	492.4044
5	10.28713	211.3886

Clustering vector:

35705	24975	23777	2336	7785	6673	25963	14515
29091	18807	27116	37480	14360	29375	35316	8015
24621	4744	10096	14587				
3	3	4	5	4	4	3	5
5	5	5	5	5	5	4	5
3	5	4	4	5	4	3	
506	14445	32852	12857	18210	22647	18642	7034
31249	25246	29996	4077	27331	15531	31001	24434
29564	20883	20002	29804				
3	4	4	4	5	3	4	5
5	5	5	5	2	5		
3	5	5	5	5	2	5	
881	18018	27648	26153	18032	32512	16539	9241
2668	3755	11938	19576	24987	15355	34454	11081
17326	12545	24563	9737				
4	2	4	3	5	3	3	5
5	5	4	5	3	1		

Within cluster sum of squares by cluster:

```
[1] 3437391 3417672 3385646 3069653 3279165
(between_SS / total_SS = 92.1 %)
```

Available components

```
[1] "cluster" "centers" "totss" "withinss"
"tot.withinss" "betweenss" "size"
[8] "iter" "ifault"
```

> summary(onpdata)

	Length	Class	Mode
cluster	1000	-none-	numeric
centers	10	-none-	numeric
totss	1	-none-	numeric
withinss	5	-none-	numeric
tot.withinss	1	-none-	numeric
betweenss	1	-none-	numeric
size	5	-none-	numeric
iter	1	-none-	numeric
ifault	1	-none-	numeric

```
library(MASS)
> parcoord(data.train, onpdata$cluster)
> confuseTable.km <-
table(myONP$n_tokens_title, onpdata$cluster)
> confuseTable.km
1 2 3 4 5
3 0 0 0 1
```

```

5 0 0 0 1 2
6 0 1 1 8 13
7 1 8 10 7 26
8 1 4 29 34 44
9 2 12 25 48 53
10 2 12 39 67 81
11 1 10 35 55 77
12 2 7 22 53 50
13 4 9 24 24 19
14 1 3 7 12 25
15 0 1 2 6 9
16 0 0 0 4 3
17 0 0 0 0 1
18 1 0 1 0 0
> library(flexclust)
> randIndex(confuseTable.km)
ARI
0.002285344
    
```

The results are showing the information about cluster means, clustering vector, sum of square by cluster and available components. The fpc package is used to draw the discriminant projection plot using Plotcluster function (Fig3).

The result of plotting the class returned by function application is shown below.

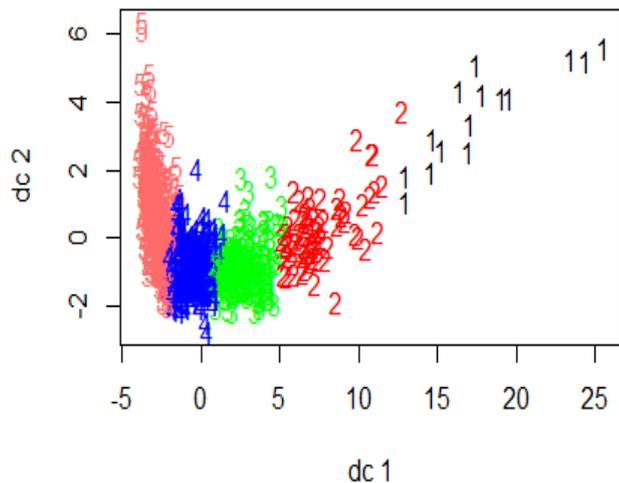


Fig. 3 : Preprocessed K-means plot k=5

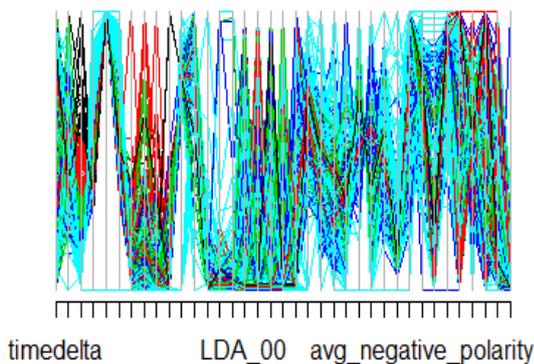


Fig 4 : Parallel coordinators plot

The Figure 4 shows the parallel coordinators plot to see the variables contributed in each cluster.

c) Fuzzy C-Means

Fuzzy c means clustering (FCM), each data point has a fraction of membership to each cluster. This algorithm works iteratively until no further clustering is possible. The membership fraction that minimizes the expected distance to each centroid has to be calculated.

$$J_m = \sum_{i=1}^N \sum_{j=1}^C u_{ij}^m \|x_i - c_j\|^2$$

The algorithm is very similar to K-Means, except that a matrix (row is each data point, column is each centroid, and each cell is the degree of membership) is used.

1. Initialize the membership matrix U
2. Repeat step (3), (4) until converge
3. Compute location of each centroid based on the weighted fraction of its member data point's location.

$$c_j = \frac{\sum_{i=1}^N u_{ij}^m \cdot x_i}{\sum_{i=1}^N u_{ij}^m}$$

4. Update each cell as follows

$$u_{ij} = \frac{1}{\sum_{k=1}^C \left(\frac{\|x_i - c_j\|}{\|x_i - c_k\|} \right)^{\frac{2}{m-1}}}$$

Notice that the parameter m is the degree of fuzziness. The output is the matrix with each data point assigned a degree of membership to each centroids.

d) Fuzzy C-Means Implementation Using R

The data repositories used in this paper are The Iris Repository [27]. They are obtained from (<http://kdd.ics.uci.edu/>). The data set is the fragments of iris flower which is clustered based on the degree assigned by a membership.

The following is the code

```

> library(e1071)
> result <- cmeans(iris[,-5], 3, 100, m=2,
method="cmeans")
> plot(iris[,1], iris[,2], col=result$cluster)
> points(result$centers[,c(1,2)], col=1:3, pch=8,
cex=2)
    
```

```
> result$membership[1:3,]
      1      2      3
[1,] 0.001072018 0.002304389 0.9966236
[2,] 0.007498458 0.016651044 0.9758505
[3,] 0.006414909 0.013760502 0.9798246
> table(iris$Species, result$cluster)
      1  2  3
setosa  0  50
versicolor 3 47 0
virginica 37 13 0
```

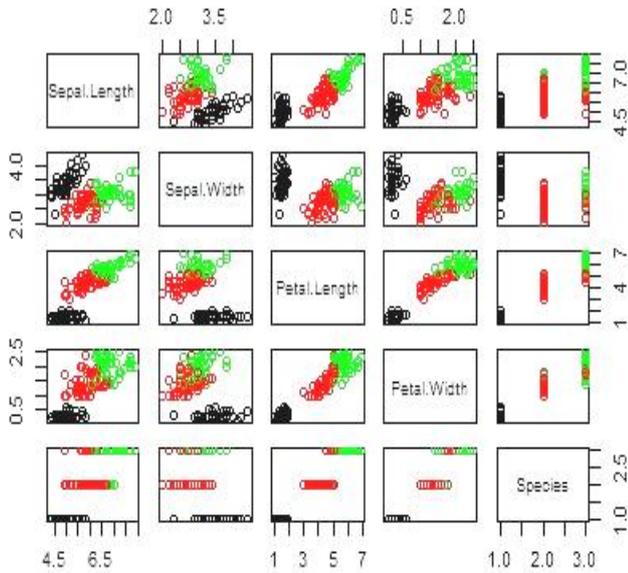


Fig. 5 : Fuzzy C means clustering plot

The observation of the above results and of the Online News Popularity datasets are FCM is mainly dependent on the initial clustering and the computation time is very high for the large data sets. The weight and accuracy are inversely proportional. It is sensible to noise and membership degree for outliers or noisy points is very low.

e) Hierarchical Clustering

Hierarchical Clustering a series of partitions takes place, which may run from a single cluster containing all objects to n clusters each containing a single object. This clustering builds a cluster hierarchy or, in other words, a tree of clusters, also known as a dendrogram [7]. Hierarchical algorithms can be further categorized into two kinds [3]

- i. *Agglomerative clustering*: This clustering starts with n clusters and iteratively merges the number of clusters which are most similar objects or clusters, respectively, until only one cluster is remaining ($n \rightarrow 1$). This requires the defining of closest proximity.
- ii. *Divisive clustering*: This clustering starts with one cluster and iteratively splits a cluster until

singleton clusters of individual points remain, so that the heterogeneity is reduced as far as possible ($1 \rightarrow n$). This requires the decision of splitting at each step.

The Hierarchical Clustering algorithm [6] below takes an $n \times n$ distance matrix d input and increasingly gives n different partitions of the data as the tree it outputs result. The largest partition has n single-element clusters, with every element forming its own cluster. The second-largest partition aggregates the two closest clusters from the largest partition, and thus has $n - 1$ clusters. In general, the i th partition combines the two closest clusters from the $(i - 1)$ th partition and has $(n - i + 1)$ clusters. Because of the additional complexity of keeping data in a sorted list or heap, so the time required is $O(m^2 \log m)$ and Space required is $O(m^2)$. In this approach, it compares all pairs of data points and merges the one with the closest distance.

Algorithm

- 1: Compute the proximity matrix if necessary
- 2: repeat
- 3: Merge the closest two clusters.
- 4: Update the proximity matrix to reflect the proximity between the new cluster and initial cluster
- 5: Until only one cluster remains.

The Proximity (C_i, C_j) of clusters C_i and C_j , which are of the size m_i and m_j , respectively is expressed as

$$Proximity(c_i, c_j) = \frac{\sum_{x \in c_i} \sum_{y \in c_j} Proximity(x, y)}{m_i * m_j}$$

The data set considered is micro RNA expressions. It is actually collected from Fresh paired tumor and control samples from the PAC (Periampullary Carcinoma) patients undergoing Whipple's pancreaticoduodenectomy Data Mining in Health Informatics[15] is an emerging discipline, concerned with developing methods for exploring the unique type of data that come from Health Care database management system. We have also considered the Iris dataset. The code for the implementation is given as follows [16] Figure 6 shows the results of clustering.

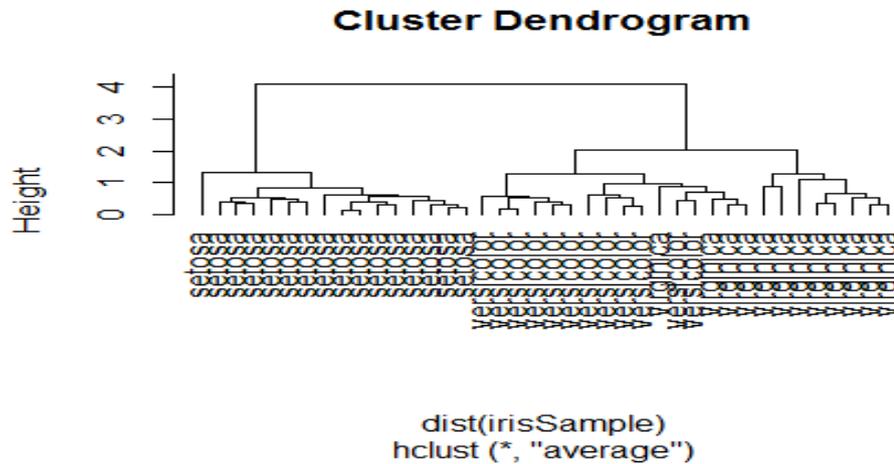


Fig. 6 : Hierarchical Clustering

The Authors [14] have also performed hierarchical clustering on PAC tumors dataset which are distinct from counterpart normal pancreas, normal duodenum, and normal distal CBD and normal ampulla. Unsupervised hierarchical cluster analysis of miRNA

Expression profiles were clustered of PAC tumors into pancreatobiliary (n ¼ 23) and intestinal subtypes (n ¼ 17), while normal pancreas (n ¼ 22), normal duodenum (n ¼ 6), normal distal CBD (n ¼ 6) normal ampulla (n ¼ 6) are clustered as different entities (Figure 7).

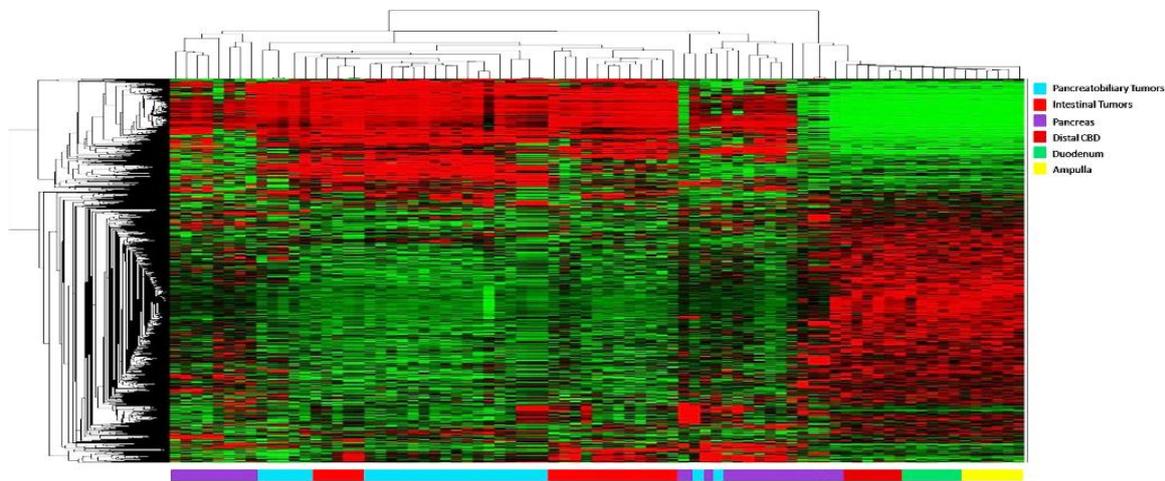


Fig. 7 : The microRNA expression profiles of PAC

IV. CONCLUSION

We have perceived a comprehensive scan of the k-means, Fuzzy C means and Hierarchical clustering methods using medical research datasets and iris dataset. Using R clustering, Statistical Computing and graphics are represented. All the clustering techniques show ambiguity in clustering noisy data and outliers. The Hierarchical clustering shows good results for small data sets and Fuzzy C means for the voluminous amount of data. K means technique has faster performance but finding the appropriate k value is a big challenge especially in medical research data sets. In continuation to this work we would like to improve clustering analysis by considering the time and accuracy for large data sets using R tool statistics.

V. ACKNOWLEDGEMENTS

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A Neuro Fuzzy Algorithm to Compute Software Effort Estimation

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Abstract- Software Effort Estimation is highly important and considered to be a primary activity in software project management. The accurate estimates are conducted in the development of business case in the earlier stages of project management. This accurate prediction helps the investors and customers to identify the total investment and schedule of the project. The project developers define process to estimate the effort more accurately with the available mythologies using the attributes of the project. The algorithmic estimation models are very simple and reliable but not so accurate. The categorical datasets cannot be estimated using the existing techniques. Also the attributes of effort estimation are measured in linguistic values which may leads to confusion. This paper looks in to the accuracy and reliability of a non-algorithmic approach based on adaptive neuro fuzzy logic in the problem of effort estimation. The performance of the proposed method demonstrates that there is a accurate substantiation of the outcomes with the dataset collected from various projects. The results were compared for its accuracy using MRE and MMRE as the metrics. The research idea in the proposed model for effort estimation is based on project domain and attribute which incorporates the model with more competence in augmenting the crux of neural network to exhibit the advances in software estimation.

Keywords: ANFIS, effort estimation, MRE, MMRE.

GJCST-C Classification : I.5.1 I.2.3



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RESEARCH | DIVERSITY | ETHICS

A Neuro Fuzzy Algorithm to Compute Software Effort Estimation

N. Shivakumar^α, N. Balaji^σ & K. Ananthakumar^ρ

Abstract- Software Effort Estimation is highly important and considered to be a primary activity in software project management. The accurate estimates are conducted in the development of business case in the earlier stages of project management. This accurate prediction helps the investors and customers to identify the total investment and schedule of the project. The project developers define process to estimate the effort more accurately with the available mythologies using the attributes of the project. The algorithmic estimation models are very simple and reliable but not so accurate. The categorical datasets cannot be estimated using the existing techniques. Also the attributes of effort estimation are measured in linguistic values which may leads to confusion. This paper looks in to the accuracy and reliability of a non-algorithmic approach based on adaptive neuro fuzzy logic in the problem of effort estimation. The performance of the proposed method demonstrates that there is a accurate substantiation of the outcomes with the dataset collected from various projects. The results were compared for its accuracy using MRE and MMRE as the metrics. The research idea in the proposed model for effort estimation is based on project domain and attribute which incorporates the model with more competence in augmenting the crux of neural network to exhibit the advances in software estimation.

Keywords: ANFIS, effort estimation, MRE, MMRE.

I. INTRODUCTION

Achieving software economics in large-scale software development projects are very important today. Software effort estimation is the process of determining the accurate effort required to maintain or develop a software. It is always an important practical problem in software engineering which is still unsolved. Effort estimates are done in initial stages of software engineering to calculate the effort in person-months required for the software development. Accurate effort estimation helps in planning design construction and transition phases of development and prioritize the components in business case. Unreliable estimates is the main important reason for project failure, which is expressed in 2007 Comp TIA survey of thousand IT professionals, finding that three of the four most-cited causes of IT project failure are due to poor estimation.

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[Rosencrance 2007]. Noticing the importance of reliable effort estimation, the software project management contributors are now focusing on developing models to generate accurate effort of software during the earlier stages of software development. Effort estimation for software projects are categorized as non-algorithmic and algorithmic models. Algorithmic models applies the mathematical computation method and the non-algorithmic estimation uses fuzzy, neural network and other machine learning techniques. The effectiveness of project management will be compromised if the Project managers are uncertain to adapt genuine estimation methodologies

Boehm proposed a method called COCOMO that utilizes some experimental equation to estimate the effort using inputs like Kilo lines of code (KLOC), number of functions and other effort drivers. Neural network sare introduced in effort estimation process mainly for the training and learning from previous data. The model identifies a positive correlation between the dependent (effort) and independent variables (effort drivers). The half of the available data sets can be given for training and the remaining can be used to derive effort. The other techniques of software effort estimation are bottom-up, top-down, analogy estimation and expert judgments.

II. RELATED WORK

Cuauhtemoc [1] provides justification that Fuzzy logic can be used to predict the effort of the small programs based on lines of code obtained from new and changed (N&C) and reused code from small programs developed by 74 programmers. This was used as the input for the fuzzy model for estimating effort and the accuracy of output was compared with the accuracy of Statistical regression model using the comparison criterion Mean Magnitude Error Relative to the estimate.

Shinya [2] compares the frameworks designed by using fuzzy logic and Neural Networks based on the accuracy of effort estimation. COCOMO NASA dataset had been used as the input for both the frameworks. These frameworks are validated using the parameters MMRE (Mean Magnitude of Relative Error) and Pred (Prediction Accuracy). The results show that Fuzzy Logic based framework works better when compared to the Neural Network framework.

Ochodek [3] proposed the usage of Use Case Points (UCP) method to estimate the effort based on the use case model and two adjustment factors (With or Without Unadjusted Actor Weights). The cross-validation procedure has been used to compare the variants of adjustment factors. A group of 14 projects is considered as input which are used to arrive at a conclusion that the UCP method can be simplified without the use of adjustment factors.

Iman [4] compares the software effort estimation computed by the conventional methods like function points, regression models and COCOMO with the model designed using fuzzy logic. The parameter Mean Magnitude of Relative Error (MMRE) is used to compute the accuracy of the considered methods.

Anjana Bawa [5] explains the usage of Artificial Neural Networks to estimate the project effort as it is capable of learning from the previous data. The machine learning algorithms, Back-Propagation and Cascade Correlation are used to learn and classify the dataset and hence estimate the effort using the Neural Networks.

By analyzing the previous work, it is evident that fuzzy logic is better than the conventional methods of effort estimation. By using the package points the complexity of estimating the lines of code for the considered software is reduced. By using the factor refinement, the time taken to compute the effort is less compared to the previous method where 15 attributes were obtained from the programmer to compute the effort.

III. PROPOSED APPROACH

We have considered 93 instances of NASA historical project data and also investigated and gathered thirty projects from many case studies and experiments [11][12][14][15] with consists of 15 attributes and actual effort along with domain, area of work, Size. The fifteen attributes are converted to three index valued labelled as Human Perception and Performance Index (HPPI), Machine Requirement and Performance Index (MRPI), Process Requirement and Performance Index (PRPI). Adaptive Neuro-Fuzzy model (The Figure 1) for software development effort estimation is perfect in the learning and good interpretability. Artificial neural networks are made up of processing units in a parallel manner called as neurons these neurons are inter linked by connections. The input for this model is six grouped attributes. Each attribute represents one factor which leads to the development effort. Table 1 describes the refinement of attributes in such a way that 15 effort multipliers are grouped in 3 clusters of refined attributes whose values are obtained from the software project developer.

Table 1: Refined Attributes

REFINED ATTRIBUTES	EFFORT MULTIPLIERS
Human Perception and Performance Index (HPPI)	1. Analyst Efficiency 2. Programmer Efficiency 3. Application Maturity 4. Modern Programming Practices 5. Use of Software tools 6. Virtual Machine Experience 7. Language Experience
Machine Requirement and Performance Index (MRPI)	8. Time Constraint for CPU 9. Turnaround Time 10. Machine Volatility
Process Requirement and Performance Index (PRPI)	11. Process Complexity 12. Storage Space Requirement 13. Schedule Constraint 14. Database Size 15. Required Software Reliability

This proposed model consolidates neural networks and fuzzy-logic principles in a combined ANFIS framework. This inference system correlates to the learning capability of fuzzy IF THEN rules to approximate the nonlinear functions.

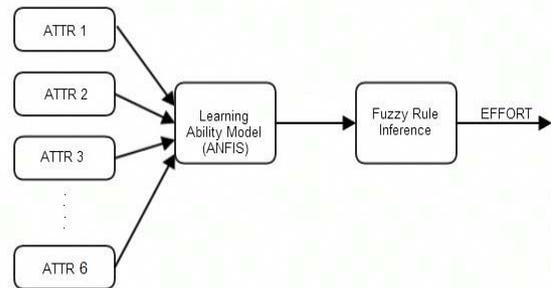


Figure 1 : Adaptive Neuro-Fuzzy model

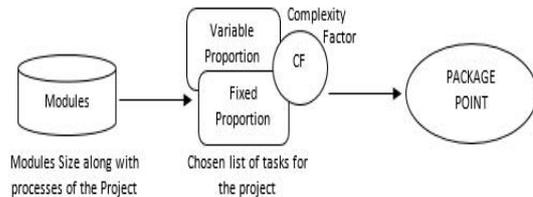


Figure 2 : Package Point Process

a) Package Points

The package point (figure 2) provides an alternate way to estimate the size that needs to be applied in a software project. Unlike function points and class points approach, the package points proves to be highly efficient in aiding to estimate effort and it is proven to work well with the ERP projects. In order to compute the package points, inputs are obtained

namely, scope, tasks and complexities. Package points are defined by standardizing the number of modules in the project primarily. Then the tasks to complete the modules are prioritized and defined by the client as per their requirement. Finally the complexity factors are loaded to arrive at the package point for the considered module.

b) Validation in ANFIS Model

ANFIS is a hybrid supervised method which adopts a hybrid learning algorithm to determine the parameters for fuzzy inference systems. It utilizes both least-squares method and propagation gradient descent method. This is used for training FIS membership function parameters to examine the given training data set. ANFIS can be executed using an optional argument for model validation. This is called as checking model for over fitting. The argument used for this is called as checking data set.

c) Fuzzy Rule Inference

Fuzzy rules are generated using package points, domain, type, Human Perception and Performance Index (HPPI), Machine Requirement and Performance Index (MRPI), Process Requirement and Performance Index (PRPI) and Actual effort. A fuzzy set is illustrated using a membership function that relates with every point in the fuzzy set that comprises of numbers in the interval [0, 1], known as degree or grade of membership. Membership function used in this research work is Triangular Membership Function. Triangular Fuzzy Number (TFN) is defined using a triplet (α, m, β), where m denotes modal value, α and β signify the right and left boundary correspondingly and is expressed as:

$$\mu(x) = \begin{cases} 0, & x \leq \alpha \\ \frac{x-\alpha}{m-\alpha}, & \alpha \leq x \leq m \\ \frac{\beta-x}{\beta-m}, & m \leq x \leq \beta \\ 0, & x \geq \beta \end{cases} \quad (1)$$

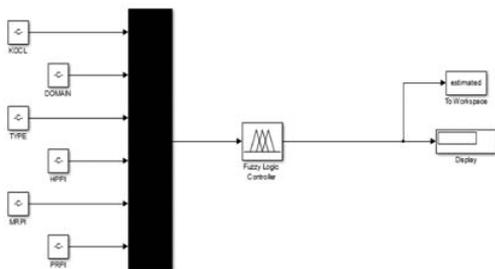


Figure 3 : Fuzzy Rules generated

The equivalent characteristics of the rules the most significant aspect of fuzzy logic systems. Instead of sharp swapping among modes based on breakpoints, logic flows efficiently from sections where the system's performance is governed by one rule or another.

Defuzzification converts from fuzzy to crisp conversions. The process converts the fuzzy value to the estimated value for the single data set. This is similar to a "rounding off" method. Defuzzification converts the collection of membership function data to a single scalar quantity in corresponding membership degrees. It is characterized instructure of rules that convert variables to a fuzzy result, that is, the outcome is defined in terms of membership in fuzzy sets.

$$R_{alv} = \begin{cases} \mu^* w_1 & 0 < ALV \leq 1 \\ \mu^* w_1 + (1-\mu)*w_2 & 1 < ALV \leq 2 \\ \mu^* w_1 + (1-\mu)*w_1 & 2 < ALV \leq 3.5 \\ \mu^* w_2 + (1-\mu) w_3 & 3.5 < ALV \leq 5 \\ \mu^* w_3 + (1-\mu) w_2 & 5 < ALV \leq 6.5 \\ \mu^* w_3 + (1-\mu) w_4 & 6.5 < ALV \leq 8 \\ w_4 & ALV > 8 \end{cases} \quad (2)$$

IV. EXPERIMENTAL DESIGN

a) Evaluation Criteria

1. Mean Magnitude Relative Error (MRE), is an error ratio between the absolute deviations of prediction to the actual effort in each of the referred project

$$MRE = |(Actual_i - Estimated_i)| / (Actual_i) \quad (3)$$

2. Mean Magnitude Relative Error (MMRE) is the average value of MER of all the referred projects

$$MMRE = 1/n \sum MRE_i \quad (4)$$

The Table 2 indicates the Package point and its subsequent domain with its actual effort and the non-algorithmic estimated effort using the proposed method. The effort in the dataset is compared with this estimated effort

Table 2 : Domain based Metrics

Area Of Domain	Package Point	Actual Effort	Estimated Effort
Avionics monitoring	25.9	117.6	138.4
Mission planning	31.5	60	112
Simulation	66.6	352.8	402
Monitor Control	70	458	561
Real Data Processing	177.9	124	397
Communications	240	192	322
Batch Data Processing	25.9	117.6	119
Data Capture	31.5	60	67.7
Launch Processing	66.6	352.8	360.9
Application Ground	70	458	459
Utility	177.9	124	128
Operating Systems	240	192	193.2

The preceding list of algorithmic models are tested including KLOC (Kilo Lines Of Code) value from the data sets and efforts were estimated and these estimates are compared with the results obtained from Adaptive Neuro Fuzzy model.

- Halstead Model- This model developed by Halstead, concerning the supplied lines of source code from the programmer and formulates a relation,

$$Effort = 0.7 * (KLOC)^2 \tag{5}$$

- Bailey-Basili Model - This model developed by Bailey-Basili, between delivered lines of source code and formulates a relation,

$$Effort = 5.5 * (KLOC)^{1.16} \tag{6}$$

- Doty Model - This model developed by Doty, between delivered lines of source code and formulates a relation,

$$Effort = 5.288 * (KLOC)^{1.047} \tag{7}$$

- COCOMO - It was the first model suggested by Barry Boehm. This model has been widely accepted in practice. In the COCOMO model, the code-size S is given in thousand LOC (KLOC) and Effort is in person-month.

$$Effort = a * (KLOC)^b \tag{8}$$

Where a, b are complexity factors. This model uses three sets of a, b depending on the complexity of the software. The basic COCOMO model is simple and easy to use.

- COCOMO II - It comprises of three variants, namely, early design model, Application composition model, and Post architecture model. This is an augmentation of intermediate COCOMO model and defined as,

$$Effort = 2.9 * (KLOC)^{1.10} \tag{9}$$

b) Numerical Results

This section reports the experimental results of predictions obtained from soft computing models and other algorithmic models. The actual effort existing in the dataset is associated with the estimated effort and finally Mean Relative Error is calculated. The following graphs (figure 4) shows the Mean Relative Error variations in Doty, Bailey, COCOMO I and COCOMO II, Halstead and Neuro Fuzzy models.

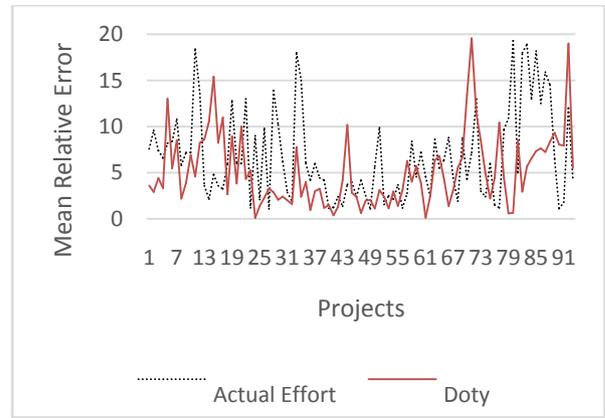


Figure 4 : Doty Estimation Vs Actual Effort

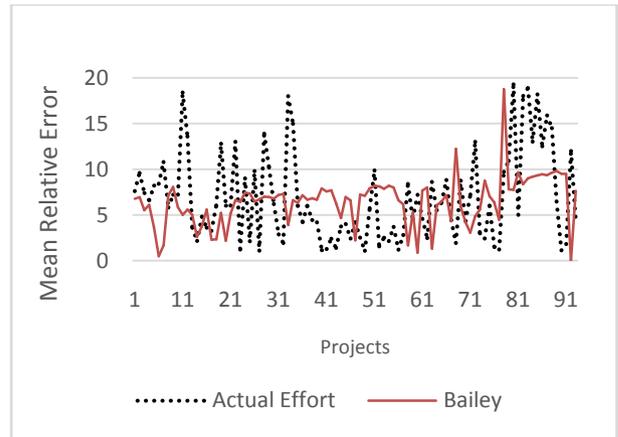


Figure 5 : Bailey Estimation Vs Actual Effort

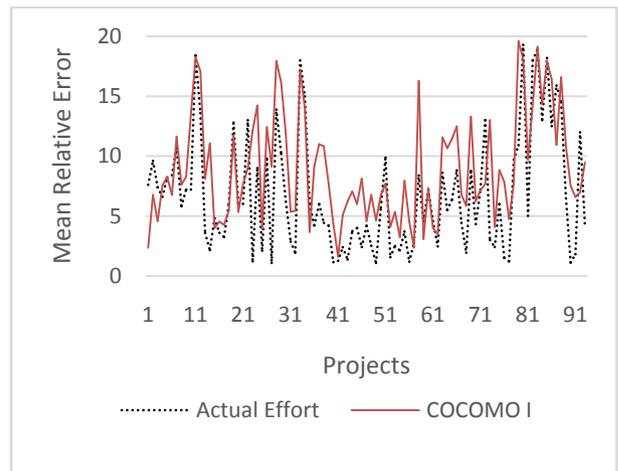


Figure 6 : COCOMO I Estimation Vs Actual Effort



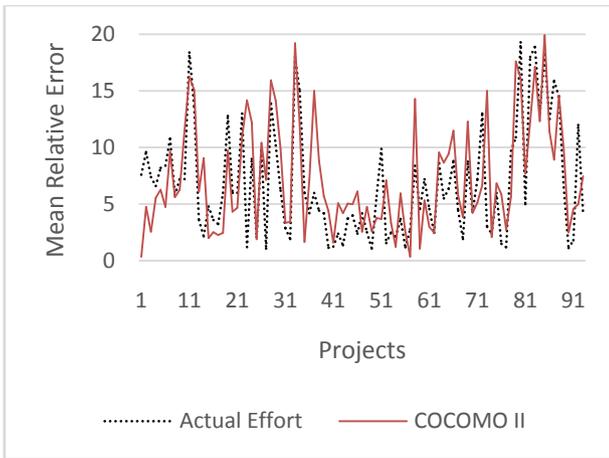


Figure 7 : COCOMO II Estimation Vs Actual Effort

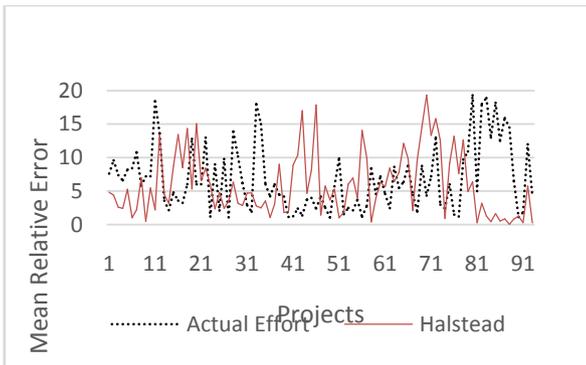


Figure 8 : Halstead Estimation Vs Actual Effort

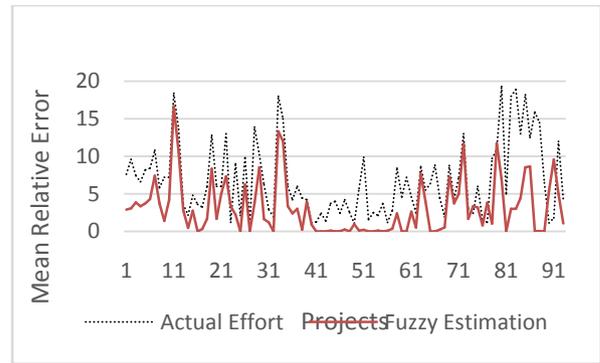


Figure 9 : Fuzzy Based Estimation Vs Actual Effort

The following table summarizes the MMRE value of all the algorithmic and non-algorithmic models discussed above for all the referred projects.

Table 3 : MMRE comparison

	Doty	Bailey	Halstead	COCOMO I	COCOMO II	Fuzzy Estimation
MMRE	5.15	6.47	6.06	9.14	7.54	3.11

V. CONCLUSION

Early effort estimation in software development lifecycle is an important activity for project planning and resource allocation. This research work proposes an efficient model in estimating the software effort. The outcomes of the estimation obtained using the direct algorithmic methods indicates the divergence between the actual and the estimated effort. The outcome of non-algorithmic method comprising of the adaptive neuro technique based estimation decreases the Mean Magnitude of Relative Error (MMRE). Hence the examination of effort from algorithmic method and non-algorithmic method prove that adaptive neuro fuzzy based estimation is more efficient than the algorithmic methods for the estimation process. The success of estimation depends upon the accuracy and stability of the method in various measures. Future work is planned to investigate the clustering algorithms in estimation process and apply Neuro Fuzzy model on large datasets.

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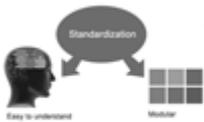




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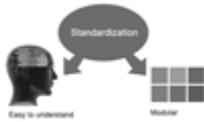


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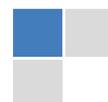
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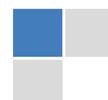
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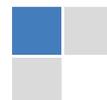


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Results:

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The page length of this segment is set by the sum and types of data to be reported. Carry on to be to the point, by means of statistics and tables, if suitable, to present consequences most efficiently. You must obviously differentiate material that would usually be incorporated in a study editorial from any unprocessed data or additional appendix matter that would not be available. In fact, such matter should not be submitted at all except requested by the instructor.



Content

- Sum up your conclusion in text and demonstrate them, if suitable, with figures and tables.
- In manuscript, explain each of your consequences, point the reader to remarks that are most appropriate.
- Present a background, such as by describing the question that was addressed by creation an exacting study.
- Explain results of control experiments and comprise remarks that are not accessible in a prescribed figure or table, if appropriate.
- Examine your data, then prepare the analyzed (transformed) data in the form of a figure (graph), table, or in manuscript form.

What to stay away from

- Do not discuss or infer your outcome, report surroundings information, or try to explain anything.
- Not at all, take in raw data or intermediate calculations in a research manuscript.
- Do not present the similar data more than once.
- Manuscript should complement any figures or tables, not duplicate the identical information.
- Never confuse figures with tables - there is a difference.

Approach

- As forever, use past tense when you submit to your results, and put the whole thing in a reasonable order.
- Put figures and tables, appropriately numbered, in order at the end of the report
- If you desire, you may place your figures and tables properly within the text of your results part.

Figures and tables

- If you put figures and tables at the end of the details, make certain that they are visibly distinguished from any attach appendix materials, such as raw facts
- Despite of position, each figure must be numbered one after the other and complete with subtitle
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- All figure and table must be adequately complete that it could situate on its own, divide from text

Discussion:

The Discussion is expected the trickiest segment to write and describe. A lot of papers submitted for journal are discarded based on problems with the Discussion. There is no head of state for how long a argument should be. Position your understanding of the outcome visibly to lead the reviewer through your conclusions, and then finish the paper with a summing up of the implication of the study. The purpose here is to offer an understanding of your results and hold up for all of your conclusions, using facts from your research and generally accepted information, if suitable. The implication of result should be visibly described. Infer your data in the conversation in suitable depth. This means that when you clarify an observable fact you must explain mechanisms that may account for the observation. If your results vary from your prospect, make clear why that may have happened. If your results agree, then explain the theory that the proof supported. It is never suitable to just state that the data approved with prospect, and let it drop at that.

- Make a decision if each premise is supported, discarded, or if you cannot make a conclusion with assurance. Do not just dismiss a study or part of a study as "uncertain."
- Research papers are not acknowledged if the work is imperfect. Draw what conclusions you can based upon the results that you have, and take care of the study as a finished work
- You may propose future guidelines, such as how the experiment might be personalized to accomplish a new idea.
- Give details all of your remarks as much as possible, focus on mechanisms.
- Make a decision if the tentative design sufficiently addressed the theory, and whether or not it was correctly restricted.
- Try to present substitute explanations if sensible alternatives be present.
- One research will not counter an overall question, so maintain the large picture in mind, where do you go next? The best studies unlock new avenues of study. What questions remain?
- Recommendations for detailed papers will offer supplementary suggestions.

Approach:

- When you refer to information, differentiate data generated by your own studies from available information
- Submit to work done by specific persons (including you) in past tense.
- Submit to generally acknowledged facts and main beliefs in present tense.



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<i>References</i>	Complete and correct format, well organized	Beside the point, Incomplete	Wrong format and structuring



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