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OF COMPUTER SCIENCE AND TECHNOLOGY: E

## Network, Web & Security

Mobile Ad Hoc Networks

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Highlights

Towards Configured Intrusion

Contemporary Standards Features

Discovering Thoughts, Inventing Future

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## Towards Configured Intrusion Detection Systems

By Gagan Deep Sharma & Vivek Kumar

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**Abstract-** This paper studies the challenges in the current intrusion detection system and comparatively analyzes the active and passive response systems. The paper studies the existing IDS and their usefulness in detecting and preventing attacks in any type of network and control traffic with the performance of the system to be improved. The study also evaluates the emerging avenues in Intrusion Detection System and explores the possible future avenues in intrusion detection scheme. It is observed that the detection-based systems have started to gain popularity in the IT security domain. The paper highlights the need to implement an appropriately configured IDS since an optimally configured IDS deters hackers, thus, reducing the need for investigation by security experts for security violations.

**Keywords:** *Intrusion detection system, response systems, detection-based systems, configured IDS, security violations.*

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# Towards Configured Intrusion Detection Systems

Gagan Deep Sharma<sup>α</sup> & Vivek Kumar<sup>σ</sup>

**Abstract** This paper studies the challenges in the current intrusion detection system and comparatively analyzes the active and passive response systems. The paper studies the existing IDS and their usefulness in detecting and preventing attacks in any type of network and control traffic with the performance of the system to be improved. The study also evaluates the emerging avenues in Intrusion Detection System and explores the possible future avenues in intrusion detection scheme. It is observed that the detection-based systems have started to gain popularity in the IT security domain. The paper highlights the need to implement an appropriately configured IDS since an optimally configured IDS deters hackers, thus, reducing the need for investigation by security experts for security violations.

**Keywords:** Intrusion detection system, response systems, detection-based systems, configured IDS, security violations.

## 1. INTRODUCTION

Data systems and computer networks are central in modern social club. The more data stored and processed, the more significant it is to secure computer systems. Widespread use and proliferation of computer network has increased the attacks on new age information systems. These attacks are attempts to take illegal/unauthorized access to information available with an intention of misusing the same. These attacks result in major financial loss to organizations in the form of mistrust of customers, losing goodwill. Any set of processes that attempt to compromise the integrity, confidentiality, or availability of a computer resource, is known as intrusion (Zamboni, 2001). Generally an intruder is defined as a system, program or person who tries to and may become successful to stop into an information system or perform an action legally not allowed (Graham, 2000).

The act of detecting actions that try to compromise the integrity, confidentiality, or availability of a computer resource can be referred as intrusion detection (Zamboni, 2001). Intrusion Detection (ID) refers to all processes used in discovering unauthorized uses of network or computer devices through specifically designed software with a sole purpose of detecting unusual or abnormal activity. Denning (1987) proposes intrusion detection as an approach to counter,

the information processing system and networking attacks and misuses (Denning, 1987 and Botha & Solms, 2004).

Intrusion detection is carried out by an intrusion detection scheme. There are many commercial intrusion detection systems available and most of these commercial implementations are relatively ineffective and insufficient, which gives rise to the need for research on more dynamic intrusion detection schemes. An intrusion detection system is a device or software application that monitors network and/or system actions for malicious actions or policy violations and produces reports (Scarfone and Mell, 2007). IDS is also understood as an instrument that complements a spacious scope of users used to experience some tier of protection (Vigna et al, 2002).

For an IDS to be efficient, it must run continuously adapt to behavioral alterations and large sums of data, be configurable, do not apply too much memory resources of the machine and after system failures, be reusable without new learning (Zamboni, 1998).

Traditional methods for intrusion detection are based on extensive knowledge of attack signatures that are provided by human experts. The signature database has to be manually revised for each new type of intrusion that is discovered. A significant limitation of signature-based methods is that they cannot detect novel attacks. In addition, once a new attack is discovered and its signature developed, often there is a substantial latency in its deployment. These limitations have led to an increasing interest in intrusion detection techniques based upon data mining, which generally fall into one of two categories: misuse detection and anomaly detection.

To prevent attacks or reduce their severity, many solutions exist, but no one can be considered satisfactory and all over. The intrusion detection schemes are one of the most efficient solution. Their purpose is to recognize intrusions or intrusion attempts by users or abnormal behavior by the identification of an onslaught from the stream network data. Different methods and approaches are available in the design of intrusion detection systems.

There are a variety of tools providing a certain level of comfort with acceptable risks used in the defence and surveillance of computer networks. Defence-in-Depth is a term encompassing

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comprehensive analyst training, hardware deployed in strategic positions and a strong security policy necessary for achieving this objective. There are tools available to reach this goal. The aggregation of data comes from routers, the host itself, firewalls, virus scanners and IDS, the tool strictly designed to catch known attacks (SANS Institute, 2001).

Since the introduction of IDS, Cyber-attacks have been a real threat. With their wide variety and specialty, they can have catastrophic consequences. To prevent attacks or reduce their severity, many solutions exist, but no one can be considered satisfactory and complete. The intrusion detection systems are among the most effective solution. Their role is to recognize intrusions or intrusion attempts by users or abnormal behavior by the recognition of an attack from the stream network data.

*Anderson (1972)* delineates the fact the United States Air Force [USAF] "became increasingly aware of computer security problems. This problem feels virtually in every aspect of USAF operations and governance".

USAF faces the daunting tasks of providing shared use of their computer systems, which contained various levels of classifications in a need to know environment with a user base holding various levels of security clearance. Thirty years ago, this created a grave problem that is still with us today. The problem remains: "How to safely secure separate classification domains on the same network without compromising security?" (*Anderson, 1972*).

*Denning (1984) and Neumann (1986)* undertake the R&D project with the first model of a real-time IDS. This prototype was named the Intrusion Detection Expert System (IDES). This IDES was initially a rule-based expert system trained to detect known malicious activity.

Some of the most common terms in context of IDS are as follows:

- (a) Host-Based
- (b) Network-Based
- (c) Anomaly Detection Model
- (d) Misuse Detection Model

These models are used as terms in Intrusion Detection user and research community. People from different areas have researched and developed few systems to deal with these kind of issues (*SANS Institute, 2001*)

#### *a) Why should Intrusion Detection Systems be used?*

Intrusion detection allows organizations to protect their systems from the threats that come with increasing network connectivity and reliance on information systems. Passed on the grade and nature of modern network security threats, the question to security professionals should not be whether to use intrusion detection, but which intrusion detection features and capabilities to employ.

IDSs have gained acceptance as a necessary addition to every organization's security infrastructure. Despite the documented contributions intrusion detection technologies make to system security, in many organizations one must still justify the acquisition of IDSs (*Bace and Mell, 2001*) There are several compelling reasons to acquire and use IDSs:

- (a) To prevent problem behaviors by increasing the perceived danger of discovery and punishment for those who would assault or otherwise misuse the scheme.
- (b) To detect attacks and other security violations that are not prevented by other protection criteria.
- (c) To identify and handle with the preambles to attacks (commonly viewed as network probes and other "doorknob rattling" activities).
- (d) To document the existing threat to an establishment.
- (e) To act as quality control for security design and administration, especially of large and complex enterprises.
- (f) To provide useful information about intrusions that do take place, allowing improved diagnosis, recovery, and correction of causative factors.

#### *b) Major types of IDSs*

IDSs have various types, characterized by different monitoring and analysis approaches. Each approach has inherent advantages and disadvantages. Furthermore, all approaches can be described in terms of a generic process model for IDSs. (*Bace & Mell, 2001*)

Many IDSs (*Bace & Mell, 2001*) can be described in terms of three fundamental functional components:

- Information Sources – the different sources of event information used to determine whether an intrusion has taken place. These roots can be traced from different stages of the system, with network, host, and application monitoring. On this basis, the following types of IDS have been observed –
- o *Network-based IDSs:* The majority of commercial intrusion detection systems is network based. These IDSs detect attacks by capturing and analyzing network packets. Listening on a network segment or switch, one network-based IDS can monitor the network traffic affecting multiple hosts that are connected to the network segment, thereby protecting those hosts. Network-based IDSs often consist of a set of single-purpose sensors or hosts placed at various points in a network. These units monitor network traffic, performing local analysis of that traffic and reporting attacks to a central management console. As the sensors are limited to running the IDS, they can be more easily secured against attack. Many of these detectors are designed to function in "stealth" mode, in

parliamentary procedure to attain it more unmanageable for an assailant to influence their presence and placement.

- o *Host-based IDSs:* Host-based IDSs operate on information collected from within an individual computer system (Application-based IDSs are actually a subset of host-based IDSs). This vantage point allows host based IDSs to analyze activities with great reliability and precision, determining exactly which processes and users are involved in a particular attack on the operating system. Furthermore, unlike network based IDSs, host-based IDSs can “see” the outcome of an attempted attack, as they can directly access and monitor the data files and system processes usually targeted by attacks. Host-based IDSs normally utilize information sources of two types, operating system audit trails, and system logs. Operating system audit trails are usually generated at the innermost (kernel) level of the operating system, and are therefore more detailed and better protected than system logs. However, system logs are much less obtuse and a lot smaller than audit trails, and are furthermore far easier to grasp. Some server-based IDSs are designed to sustain a centralized IDS management and accounting infrastructure that can tolerate a single management console to pass over many hosts. Others generate messages in formats that are compatible with network management systems.
- o *Application-based IDSs:* Application-based IDSs are a special subset of host-based IDSs that analyze the events transpiring within a software application. The most common information sources used by application-based IDSs are the application’s transaction log files. The ability to interface with the application directly, with significant domain- or application-specific knowledge included in the analysis engine, allows application-based IDSs to detect suspicious behavior due to authorized users exceeding their mandate. This is because such problems are more likely to appear in the interaction between the user, the data, and the application.
- Analysis – the part of intrusion detection systems that actually organizes and makes sense of the events derived from the information sources, deciding when those events indicate that intrusions are occurring or have already taken place. The most common analysis approaches are misuse detection and anomaly detection. The following forms of IDS are observed on this basis –
- o *Misuse Detection:* Misuse detectors analyze system activity, looking for events or sets of events that match a predefined pattern of events that describe a known attack. As the patterns corresponding to known attacks are called signatures, misuse detection is sometimes called “signature-based detection.” The most common form of misuse detection used in commercial products specifies each pattern of events corresponding to an attack as a separate signature. Nevertheless, in that respect are more sophisticated approaches to doing misuse detection (called “state-based” analysis techniques) that can leverage a single signature to find groups of approaches.
- o *Anomaly Detection:* Anomaly detectors identify abnormal, unusual behavior (anomalies) on a host or network. They operate on the assumption that attacks are different from “normal” (legitimate) activity and can therefore be detected by systems that distinguish these conflicts. Anomaly detectors construct profiles representing normal behavior of users, hosts, or network connections. These profiles are built from historical information accumulated over a period of normal functioning. The detectors then collect event data and use a variety of measures to determine when monitored activity deviates from the norm.
- Response – the set of actions that the system takes once it detects intrusions. These are typically grouped into active and passive measures, with active measures involving some automated intervention on the part of the system, and passive measures involving reporting IDS findings to humans, who are then expected to take action based on those reports. The forms of IDS under this section are as under –
- o *Active IDS:* Active IDS responses are automated actions taken when certain types of intrusions are detected. In that respect are three categories of active responses.
  - *Collect additional information over time:* In the IDS case, this might involve increasing the level of sensitivity of information sources (for instance, turning up the number of events logged by an operating system audit trail, or increasing the sensitivity of a network monitor to capture all packets, not just those targeting a particular port or target system.) Collecting additional information is helpful for several reasons. The additional information collected can help resolve the detection of the attack. (Assisting the system in diagnosing whether an attack did or did not take place.) This option also allows the organization to gather information that can be used to support investigation and apprehension of the attacker, and to support criminal and civil legal remedies.
  - *Technological Change and the Environment:* Another active response is to stop an attack in advance and then block subsequent access by the assailant. Typically, IDSs do not possess the power



to stop a specific person's access, but instead block Internet Protocol (IP) addresses from which the attacker seems to be doing. It is very difficult to block a determined and knowledgeable attacker, but IDSs can often deter expert attackers or stop novice hackers by (a) injecting TCP reset packets into the attacker's connection to the victim system, thereby terminating the connection ; (b) reconfiguring routers and firewalls to block packets from the attacker's apparent location (IP address or site); (c) reconfiguring routers and firewalls to block the network ports, protocols, or services being used by an attacker; (d) in extreme situations, reconfiguring routers and firewalls to sever all connections that use certain network interfaces.

- *Take Action Against the Intruder:* Some who follow intrusion detection discussions, particularly in information warfare circles, consider that the first option in active response is to call for action against the trespasser. The most aggressive form of this response involves launching attacks against or attempting to actively gain information about the attacker's host or site. However tempting it might be, this response is ill advised. Due to legal ambiguities about civil liability, this option can represent a bigger peril than the attack it is designated to stop. The first reason for approaching this option with a large deal of carefulness is that it may be illegal. Furthermore, as many attackers use false network addresses when attacking systems, it carries with it a high risk of causing damage to innocent Internet sites and users. Finally, strike back can escalate the attack, provoking an attacker who originally thought just to browse a site to contain more aggressive activity.
- o *Passive IDS:* Passive IDS responses provide information to system users, relying on humans to take subsequent action based on that information. Many commercial IDSs rely solely on passive responses.
- *Alerts and Notifications:* Alerts and notifications are generated by IDSs to inform users when attacks are discovered. Most commercial IDSs allow users a large deal of latitude in finding out how and when alarms are generated and to whom they are exhibited. The most usual kind of alarm is an onscreen alert or popup window. This is displayed on the IDS console or on other systems as specified by the user during the configuration of the IDS. The information provided in the alarm message varies widely, ranging from a notification that an intrusion has taken place to extremely detailed messages outlining the IP addresses of the source and target of the attack, the specific attack tool used to gain access, and the outcome of the attack. Another set of options that are of utility to large or distributed

organizations are those involving remote notification of alarms or alerts. These allow organizations to configure the IDS so that it sends alerts to cellular phones and pagers carried by incident response teams or system security personnel.

- *SNMP Traps and Plug-ins:* Some commercial IDSs are designed to generate alarms and alerts, reporting them to a network management system. These use SNMP traps and messages to post alarms and alerts to central network management consoles, where they can be serviced by network operations personnel. Several benefits are associated with this reporting scheme, including the ability to adapt the entire network infrastructure to respond to a detected attack, the ability to shift the processing load associated with an active response to a system other than the one being targeted by the attack, and the ability to use common communications channels. (Bace and Mell, 2001)

#### c) *IDS Framework/ Architecture*

Various intrusion detection system (IDS) frameworks/architectures have evolved over a period of time. These broadly include the following.

- The STAT Framework – The Web STAT intrusion detection system has been developed using the STAT framework (Vigna et al., 2002). The framework provides the implementation of a domain-independent analysis engine that can be extended in a well-defined way to perform intrusion detection analysis in specific application domains. The STAT framework centres around an intrusion modeling technique that characterizes attacks in terms of transitions between the security states of a system. This approach is supported by the STATL attack modeling language. The STATL language provides constructs to represent an attack as a composition of states and transitions. States are used to characterize different snapshots of a system during the evolution of an attack. Obviously, it is not feasible to represent the complete state of a system (e.g., volatile memory, file system); therefore, a STATL scenario uses variables to record just those parts of the system state that are needed to define an attack signature (e.g., the value of a counter or the source of an HTTP request). A transition has an associated action that is a specification of the event that can cause the scenario to move to a new state. For example, an action can be the opening of a TCP connection or the execution of a CGI script. The space of possible relevant actions is constrained by a transition assertion, which is a filter condition on the events that can possibly match the action. For example, an assertion can require that a TCP connection be opened with a specific destination port or that a CGI application be invoked with specific parameters. It is possible for several

occurrences of the same attack to be active at the same time. A STATL attack scenario, therefore, has an operational semantics in terms of a set of instances of the same scenario specification. The scenario specification represents the scenario's definition and global environment, and a scenario instance represents a particular attack that is currently in progress.

The STAT Core module is the run-time for the STATL language. The Core implements the concepts of state, transition, instance, timer, etc. In addition, the STAT Core is responsible for obtaining events from the target environment, and matching this event stream against the actions and assertions corresponding to transitions in the active attack scenarios. The STATL language and the Core runtime are domain independent. They do not support any domain-specific features, which may be necessary to perform intrusion detection analysis in particular domains or environments. For example, network events such as an IP packet or the opening of a TCP connection cannot be represented in STATL natively. Therefore, the STAT framework provides a number of mechanisms to extend the STATL language and the runtime to match the characteristics of a specific target domain.

In summary, a STAT-based sensor is created by developing a language extension that describes the particular domain of the application, an event provider that retrieves information from the environment and produces STAT events, and attack scenarios that describe attacks in terms of state transition models of STAT events. In addition, it is possible to create response libraries that are specific to a certain domain. The response functions in the library can be dynamically associated with the states modeled in the attack scenarios.

- Distributed Intrusion Detection System (DIDS) – DIDS is the second major IDS system having evolved in recent times (Snapp et. al., 2003). The DIDS architecture combines distributed monitoring and data reduction with centralized data analysis. This approach is unique among current IDS's. The components of DIDS are the DIDS director, a single host monitor per host, and a single LAN monitor for each broadcast LAN segment in the monitored network. DIDS can potentially handle hosts without monitors since the LAN monitor can report on the network activities of such hosts. The host and LAN monitors are primarily responsible for the collection of evidence of unauthorized or suspicious activity, while the DIDS director is primarily responsible for its evaluation. Reports are sent independently and asynchronously from the host and LAN monitors to the DIDS director through a communications infrastructure.

High level communication protocols between the components are based on the ISO Common

Management Information Protocol (CMIP) recommendations, allowing for future inclusion of CMIP management tools as they become useful. The architecture also provides for bidirectional communication between the DIDS director and any monitor in the configuration. This communication consists primarily of notable events and anomaly reports from the monitors. The director can also make requests for more detailed information from the distributed monitors via a "GET" directive, and issue commands to have the distributed monitors modify their monitoring capabilities via a "SET" directive. A large amount of low level filtering and some analysis is performed by the host monitor to minimize the use of network bandwidth in passing evidence to the director.

The DIDS director consists of three major components that are all located on the same dedicated workstation. Because the components are logically independent processes, they could be distributed as well. The communications manager is responsible for the transfer of data between the director and each of the host and the LAN monitors. It accepts the notable event records from each of the host and LAN monitors and sends them to the expert system. On behalf of the expert system or user interface, it is also able to send requests to the host and LAN monitors for more information regarding a particular subject. The expert system is responsible for evaluating and reporting on the security state of the monitored system. It receives the reports from the host and the LAN monitors, and, based on these reports, it makes inferences about the security of each individual host, as well as the system as a whole. The expert system is a rule-based system with simple learning capabilities. The director's user interface allows the System Security Officer (SSO) interactive access to the entire system. The SSO is able to watch activities on each host, watch network traffic (by setting "wire-taps"), and request more specific types of information from the monitors.

The host monitor is currently installed on Sun SPARC stations running SunOS 4.0.x with the Sun C2 security package. Through the C2 security package, the operating system produces audit records for virtually every transaction on the system. These transactions include file accesses, system calls, process executions, and logins. The contents of the Sun C2 audit record are: record type, record event, time, real user ID, audit user ID, effective user ID, real group ID, process ID, error code, return value, and label.

All possible transactions fall into one of a finite number of events formed by the cross product of the actions and the domains, and each event may also succeed or fail. Note that no distinction is made between files, directories or devices, and that all of these are treated simply as objects. Not every action is applicable to every object; for example, the terminate action is applicable only to processes. The choice of

these domains and actions is somewhat arbitrary in that one could easily suggest both finer and coarser grained partitions. However, they capture most of the interesting behavior for intrusion detection and correspond reasonably well with what other researchers in this field have found to be of interest. By mapping an infinite number of transactions to a finite number of events, not only can the operating system dependencies be removed, but also restrict the number of permutations that the expert system will have to deal with. The concept of the domain is one of the keys to detecting abuses. Using the domain allows us to make assertions about the nature of a user's behavior in a straightforward and systematic way. Although this leads to loss of some details provided by the raw audit information, that is more than made up for by the increase in portability, speed, simplicity, and generality.

The LAN monitor uses heuristics in an attempt to identify the likelihood that a particular connection represents intrusive behavior. These heuristics consider the capabilities of each of the network services, the level of authentication required for each of the services, the security level for each machine on the network, and signatures of past attacks. The abnormality of a connection is based on the probability of that particular connection occurring and the behavior of the connection itself. Upon request, the LAN monitor is also able to provide a more detailed examination of any connection, including capturing every character crossing the network (i.e., a wire-tap). This capability can be used to support a directed investigation of a particular subject or object. Like the host monitor, the LAN monitor forwards relevant security information to the director through its LAN agent.

DIDS utilizes a rule-based (or production) expert system. The expert system is currently written in Prolog, and much of the form of the rule base comes from Prolog and the logic notation that Prolog implies. The expert system uses rules derived from the hierarchical Intrusion Detection Model (IDM). The IDM describes the data abstractions used in inferring an attack on a network of computers. That is, it describes the transformation from the distributed raw audit data to high level hypotheses about intrusions and about the overall security of the monitored environment. In abstracting and correlating data from the distributed sources, the model builds a virtual machine which consists of all the connected hosts as well as the network itself. This unified view of the distributed system simplifies the recognition of intrusive behavior which spans individual hosts. The model is also applicable to the trivial network of a single computer.

- Intrusion Detection System for Cloud Computing – Cloud computing provides application and storage services on remote servers (*Shelke, Sontakke, Gawande, 2012*). The clients do not have to worry

about its maintenance and software or hardware upgradations. Cloud model works on the „concept of virtualization“ of resources, where a hypervisor server in cloud data center hosts a number of clients on one physical machine. Deploying HIDS in hypervisor or host machine would allow the administrator to monitor the hypervisor and virtual machines on that hypervisor. But with the rapid flow of high volume of data as in cloud model, there would be issues of performance like overloading of VM hosting IDS and dropping of data packets. Also if host is compromised by an offending attack the HIDS employed on that host would be neutralized. In such a scenario, a network based IDS would be more suitable for deployment in cloud like infrastructure. NIDS would be placed outside the VM servers on bottle neck of network points such as switch, router or gateway for network traffic monitoring to have a global view of the system. Such NIDS would still be facing the issue of large amount of data through network access rate in cloud environment. To handle a large number of data packets flow in such an environment a multi-threaded IDS approach has been proposed in this paper. The multi-threaded IDS would be able to process large amount of data and could reduce the packet loss. After an efficient processing the proposed IDS would pass the monitored alerts to a third party monitoring service, who would in turn directly inform the cloud user about their system under attack. The third party monitoring service would also provide expert advice to cloud service provider for mis-configurations and intrusion loop holes in the system. The cloud user accesses its data on remote servers at service provider's site over the cloud network. User requests and actions are monitored and logged through a multi-threaded NIDS. The alert logs are readily communicated to cloud user with an expert advice for cloud service provider.

Proposed multi-threaded NIDS model for distributed cloud environment is based on three modules: capture & queuing module, analysis/processing module and reporting module. The capture module, receives the in-bound and out-bound (ICMP, TCP, IP, UDP) data packets. The captured data packets are sent to the shared queue for analysis. The analysis and process module receives data packets from the shared queue and analyze it against signature base and a pre-defined rule set. Each process in a shared queue can have multiple threads which work in a collaborative fashion to improve the system performance. The main process will receive TCP, IP, UDP and ICMP packets and multiple threads would concurrently process and match those packets against pre-defined set of rules. Through an efficient matching and analysis the bad

packets would be identified and alerts generated. Reporting module would read the alerts from shared queue and prepares alert reports. The third party monitoring and advisory service having experience and resources would immediately generate a report for cloud user's information and sends a comprehensive expert advisory report for cloud service provider. Figure above depicts the flow chart of proposed multi-threaded Cloud IDS.

- An implementation of intrusion detection system using genetic algorithm – *Hoque, Mukit and Bikas (2012)* identify the following problems with the existing systems.
- o *Snort*: A free and open source network intrusion detection and prevention system, was created by Martin Roesch in 1998 and now developed by Sourcefire. In 2009, Snort entered InfoWorld's Open Source Hall of Fame as one of the "greatest open source software of all time". Through protocol analysis, content searching, and various pre-processors, Snort detects thousands of worms, vulnerability exploit attempts, port scans, and other suspicious behavior.
- o *OSSEC*: An open source host-based intrusion detection system, performs log analysis, integrity checking, rootkit detection, time-based alerting and active response. In addition to its IDS functionality, it is commonly used as a SEM/SIM solution. Because of its powerful log analysis engine, ISPs, universities and data centers are running OSSEC HIDS to monitor and analyze their firewalls, IDSs, web servers and authentication logs.
- o *OSSIM*: The goal of Open Source Security Information Management, OSSIM is to provide a comprehensive compilation of tools which, when working together, grant network/security administrators with a detailed view over each and every aspect of networks, hosts, physical access devices, and servers. OSSIM incorporates several other tools, including Nagios and OSSEC HIDS.
- o *Bro*: An open-source, Unix-based network intrusion detection system Bro detects intrusions by first parsing network traffic to extract its application-level semantics and then executing event-oriented analyzers that compare the activity with patterns deemed troublesome.
- o *Fragroute/Fragrouter*: A network intrusion detection evasion toolkit. Fragrouter helps an attacker launch IP-based attacks while avoiding detection. It is part of the NIDS bench suite of tools by Dug Song.
- o *BASE*: The Basic Analysis and Security Engine, BASE is a PHP-based analysis engine to search and process a database of security events generated by various IDSs, firewalls and network monitoring tools. A Genetic Algorithm (GA) is a

programming technique that mimics biological evolution as a problem-solving strategy. It is based on Darwinian's principle of evolution and survival of fittest to optimize a population of candidate solutions towards a predefined fitness. When using GA for solving various problems three factors will have vital impact on the effectiveness of the algorithm and also of the applications. These include:

- the fitness function;
- the representation of individuals;
- the GA parameters. The determination of these factors often depends on applications and/or implementation.

The present paper aims to understand the emerging avenues in Intrusion Detection System, as to what all models, architectures are available for detecting intrusions and how to prevent those intrusions to occur in any network traffic. The paper further focuses on challenges in the current intrusion detection system while also comparatively analyzing the Active and Passive Response Systems. Finally, the paper explores the possible future avenues in intrusion detection scheme.

## II. FINDINGS AND DISCUSSION

IDS is an emerging trend in network security as intrusions are increasing day by day due to internet availability with high level of usage among people across the globe. With improvements in the network is required to protect one's information lying unsecured over the internet and should not be revealed to unauthorized people or groups. Cloud computing is another emerging trend which has shot up demand of security over free network, i.e. Internet (*Shelke et. al, 2012*).

On the basis of analysis done from available systems in Intrusion Detection proposed by people in different geographical areas, different network or environment requires a different level of security and infrastructure is another concern to implement IDS or related services.

Currently, networked computer systems play an ever more major function in our fellowship and its economic system. They have become the targets of a wide array of malicious threats that invariably turn into real intrusions. This is the reason computer security has become a vital concern for network practitioner. Too often, intrusions cause disaster inside LANs and the time and cost to renovate the damage can grow to extreme proportions. Instead of using passive measures to repair and patch security hole once they have been exploited, it is more efficient to take up a proactive measure to intrusions (*Gomez, Dasgupta, 2002*).

Intrusion Detection Systems (IDS) are primarily focused on identifying probable incidents, monitoring



information about them, tries to stop them, and reporting them to security administrators in real-time environment, and those that exercise audit data with some delay (non-real-time). The latter approach would in turn delay the instance of detection. In addition, organizations apply IDSs for other reasons, such as

classifying problems with security policies, documenting existing attacks, and preventing individuals from violating security policies. IDSs have become a basic addition to the security infrastructure of almost every organization (Hassan, 2013). A usual Intrusion Detection System is demonstrated in Figure 1 below.

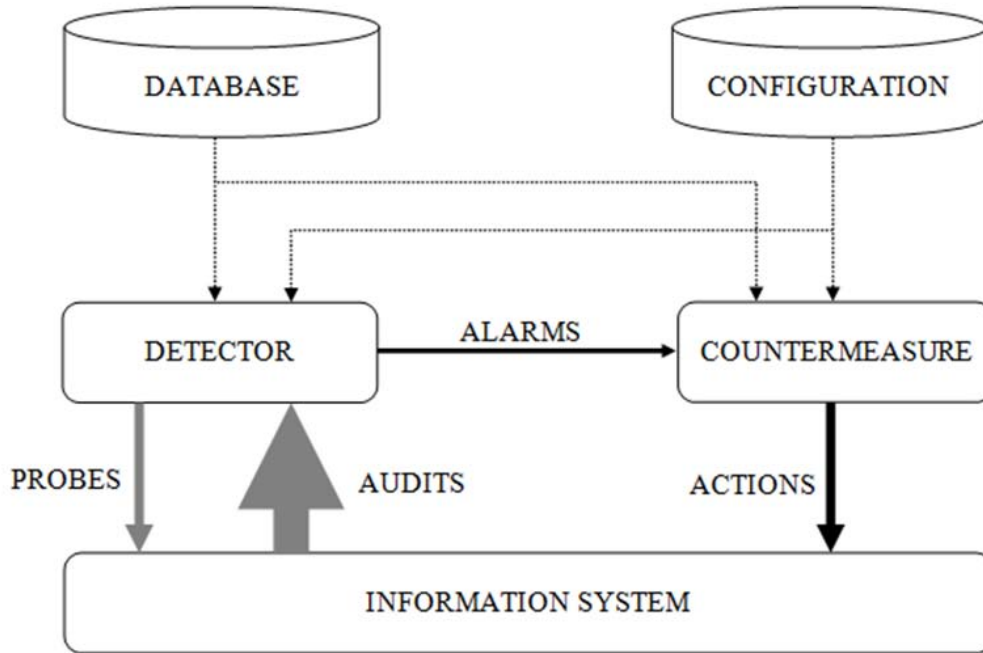


Figure 1

Note: The arrow lines symbolize the amount of information flowing from one component to another

#### Very Simple Intrusion Detection System

One of the major problems encountered by IDS is large number of false positive alerts that is the alerts that are mistakenly analyzed normal traffic as security violations. An ideal IDS does not produce false or inappropriate alarms. In practice, signature based IDS found to produce more false alarms than expected. This is due to the very general signatures and poor built in verification tool to authenticate the success of the attack. The large amount of false positives in the alert logs generates the course of taking corrective action for the true positives, i.e. delayed, successful attacks, and labor intensive.

The normal and the abnormal intrusive activities in networked information processing systems are hard to forecast as the limits cannot be easily explained. This prediction process may generate false alerts in many anomaly based intrusion detection schemes. However, with the introduction of fuzzy logic, the false alarm rate in determining intrusive activities can be minimized; a set of fuzzy rules (noncrisp fuzzy classifiers) can be employed to identify the normal and abnormal behavior in computer networks, and fuzzy inference logic can be applied over such rules to determine when an intrusion

is in progress. The primary problem with this procedure is to make good fuzzy classifiers to detect intrusions (Tillapart, 2002).

The intrusion detection strategies concern four primary issues. First is the dataset that is captured from network communications. The second is Genetic Algorithms (GA) which use mutation, recombination, and selection applied to a population of individuals in order to evolve iteratively better and better solutions and a way to generate fuzzy rules to characterize normal and abnormal behavior of network systems. The third is to generate alerts and reports for malicious traffic behavior, and the fourth is the maintenance of the ids for observation of placement of sensors, and qualified trained intrusion analysts so that the latest malicious traffic is being detected.

The following future trends are clearly visible in intrusion detection systems.

- **Genetic Algorithm (GA):** GA is a programming technique that uses biological evolution as a problem solving strategy. It is based on Darwinian's theory of evolution and survival of fittest to make effective a population of candidate result near a predefined fitness. The proposed GA based



intrusion detection system holds two modules where each acts in a dissimilar stage. In the training stage, a set of classification rules are produced from network audit data using the GA in an offline background. In the intrusion detection phase, the generated rules are employed to classify incoming network connections in the real-time environment. Once the rules are generated, the intrusion detection system becomes simple, experienced and efficient one.

GA applies an evolution and natural selection that employs a chromosome-like data structure and evolve the chromosomes by means of selection, recombination and mutation operators. The process generally starts with randomly generated population of chromosomes, which signify all possible solution of a problem that are measured candidate solutions. From each chromosome different positions are set as bits, characters or numbers. These positions are regarded as genes. An evaluation function is employed to find the decency of each chromosome according to the required solution; this function is known as "Fitness Function". During the process of evaluation "Crossover" is applied to have natural reproduction and "Mutation" is applied to mutation of species. For survival and combination the selection of chromosomes is partial towards the fittest chromosomes (*Hassan, 2013*).

- *Fuzzy Logic*: A fuzzy expert system consists of three different types of entities: fuzzy sets, fuzzy variables and fuzzy rules. The membership of a fuzzy variable in a fuzzy set is determined by a function that produces values within the interval  $[0, 1]$ . These functions are called membership functions. Fuzzy variables are divided into two groups: antecedent variables, that are assigned with the input data of the fuzzy expert system and consequent variables, that are assigned with the results computed by the system.

The fuzzy rules determine the link between the antecedent and the consequent fuzzy variables, and are often defined using natural language linguistic terms. For instance, a fuzzy rule can be "if the temperature is cold and the wind is strong then wear warm clothes", where temperature and wind are antecedent fuzzy variables, wear is a consequent fuzzy variable and cold, strong and warm clothes are fuzzy sets (*Hassan, 2013*).

The process of a fuzzy system has three steps. These steps are Fuzzification, Rule Evaluation, and Defuzzification. In the fuzzification step, the input crisp values are transformed into degrees of membership in the fuzzy sets. The degree of membership of each crisp value in each fuzzy set is determined by plugging the value into the membership function associated with the fuzzy set. In the rule evaluation step, each fuzzy rule is

assigned with a strength value. The strength is determined by the degrees of memberships of the crisp input values in the fuzzy sets of antecedent part of the fuzzy rule. The defuzzification stage transposes the fuzzy outputs into crisp values.

### III. CONCLUSION

The study focused on studying existing IDS and their usefulness in detecting and preventing attacks in any type of network and control traffic with the performance of the system to be improved as well. It is found that intrusion has different meaning and scenarios defining need of attack detection and prevention of attacks.

Deterrence is the key to the value of IDS. The benefit of deploying an IDS depends on how much it prevents hackers from committing intrusions. Although IDSs are classified as detective controls because they detect attacks that were not prevented, they implicitly act as preventive controls by changing the behavior of attackers in the first place, and thus eliminating attacks.

The presence of a network-based IDS can put hackers on notice that their actions may lead to legal action. Host-based systems provide very similar deterrent effect. People who know that their actions may be monitored are less likely to commit misuse.

Optimally configured IDSs always provide non-negative value to their adopters. By using the out-of-box configuration, firms may be taking the easy way out, but they may be hurting themselves. Current widespread complaint against IDSs is that they produce many false alarms: False positives are tremendous time wasters and drive up operational labor costs.

IDS developers should also pay close attention to the configuration issue. They should design IDSs that are easy to configure, especially in light of high false positive rates associated with IDSs. Most vendors do not provide these data. Various groups, including academic institutions, research labs, and commercial organizations, have tested commercial and government sponsored IDS products.

All the IT security concerns are integral part of security programs and therefore, should be carefully designed and deployed. Recently, organizations realized that it is impossible to eliminate all security risks. As a result, detection based systems have started to gain popularity in the IT security domain. Today, IDSs are the most popular detective controls. Although IDS has been the fastest-growing security product in the market for the last few years, the security community is uncertain about their value.

An improperly configured IDS may encourage more hacking, resulting in a higher loss for the firm. An optimally configured IDS deters hackers, thus, reducing the need for investigation by security experts for security violations. To firms that are using default configuration

or that have not adopted an IDS because of doubts about its value, our results provide incentives to implement an appropriately configured IDS.

#### IV. LIMITATIONS AND FUTURE RESEARCH DIRECTIONS

As with all models, the model parameters were common knowledge to the firm and users. One region that looks particularly interesting is games with incomplete information, in which either the assembly or the user is unsure about the other's payoffs. This perspective allows incorporation of uncertainty about the nature of the game being played.

It may be more realistic to consider a multiperiod model in which the firm revises its estimates every period based on its observations of the hacker's strategy in previous periods. Such learning has been analyzed in game theory.

Security experts take appropriate actions after receiving alarms from IDSs. This approach, also called passive response, is the current trend in commercial IDSs. Another response option is to let the IDS take an action without human intervention (active response). Current IDSs provide little or no guidance to security management once an attack has been identified.

IDSs are here to stay, with billion dollar firms supporting the development of commercial security products and driving hundreds of millions in annual sales. Nevertheless, they remain hard to configure and operate and often can't be effectively utilized by the very novice security personnel who demand to benefit from them most.

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## Performance Evaluation of ALOHA-CS MAC Protocol

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**Abstract-** The main task of a MAC protocol is to prevent simultaneous transmissions or resolve transmission collisions of data packets while providing energy efficiency, low channel access delays and fairness among the nodes in a network [1]. The Aloha protocol is a fully decentralized medium access control protocol. This protocol was introduced to improve the utilization of the shared medium by synchronizing the transmission of devices. The performance of the Carrier sense Pure ALOHA is evaluated in this paper on the basis of throughput of the system and the average number of retransmission needed for the successful transmission of a packet. Performance criteria are analyzed with change of offered load of the system. The simulation is a Monte Carlo based one on the MATLAB platform.

**Keywords:** MAC protocol; pure ALOHA; carrier sense Pure ALOHA; throughput; random access technique.

**GJCST-E Classification :** C.2.2



PERFORMANCE EVALUATION OF ALOHA-CS MAC PROTOCOL

*Strictly as per the compliance and regulations of:*



# Performance Evaluation of ALOHA-CS MAC Protocol

Mst. Rubina Aktar

**Abstract** The main task of a MAC protocol is to prevent simultaneous transmissions or resolve transmission collisions of data packets while providing energy efficiency, low channel access delays and fairness among the nodes in a network [1]. The Aloha protocol is a fully decentralized medium access control protocol. This protocol was introduced to improve the utilization of the shared medium by synchronizing the transmission of devices. The performance of the Carrier sense Pure ALOHA is evaluated in this paper on the basis of throughput of the system and the average number of retransmission needed for the successful transmission of a packet. Performance criteria are analyzed with change of offered load of the system. The simulation is a Monte Carlo based one on the MATLAB platform.

**Keywords:** MAC protocol; pure ALOHA; carrier sense Pure ALOHA; throughput; random access technique.

## I. INTRODUCTION

Medium Access Control (MAC) protocols are collection of algorithms for solving the problem of sharing a single channel by multiple transmitting nodes. The problems can be solved by partitioning the channel, or by allowing random access of the transmitting nodes or by hybrid algorithms [2]. The performance of any algorithm or protocol is evaluated on the basis of some factors: average time of a packet spent in the transmission queue, throughput- fraction of channel capacity for useful data transmission, fairness to the transmitting nodes, stability and robustness against channel fading, power consumption, support for multimedia etc. Pure ALOHA is a random access protocol for solving the problem for sharing a single channel by multiple transmitting. In this paper, the performance of carrier sense Pure ALOHA protocol is analyzed on the basis throughput and the average number of retransmission of the packets. The paper is organized as follows: Random access technique for sharing a single access point is introduced in section II. In section III, the mathematical formulation of Pure ALOHA technique is shown. In Section IV, the system model is introduced, simulation techniques are discussed and the results are analyzed.

## II. RANDOM ACCESS TECHNIQUE

In Random access technique, no portion of the channel is kept fixed for any transmitting node, rather

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the channel is allocated to the transmitting nodes on a random basis. When a packet comes to the transmitting nodes it checks whether the channel is free. If it is free, the node sends the packet through the packet. In case of the channel being occupied, the packet is retransmitted after a random interval according to some algorithms for retransmission. It specifies how to detect collision and how to recover from collisions.

## III. THE PURE ALOHA TECHNIQUE

For analyzing Aloha in communication, let all packets have same length  $L$  and required  $T$  seconds for transmission. This can be visualized in Fig. 1. The figure 1 shows three stations A, B and C that have sent packets. At first packet A send a time  $t_0$ . If packet B send any time between time  $t_0 - T$  and  $t_0$ , then end of packet collides with beginning of packet A. If packet C sends any time between time  $t_0$  and  $t_0 + T$ , starts of packet C collides with end of packet A.

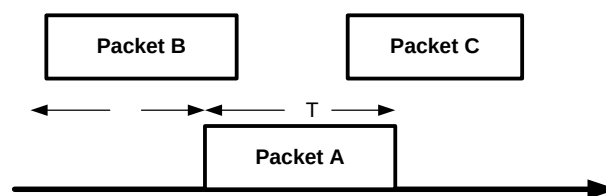


Fig. 1 : Pure Aloha

Collisions occur if packet transmissions overlap by any amount of time. Since all the packet overlap with part of another, no transmission was successful.

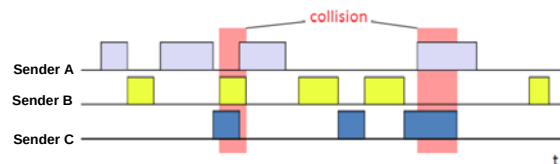


Fig. 2 : Aloha collisions

The transmission of a packet is successful if the packet does not collide with other packets at the destination.

In Pure ALOHA the vulnerable period- the time interval during which the packets are susceptible to collisions with transmissions from other users is considered to be twice the transmission length of each packet. For the study of pure ALOHA it is assumed that all the packets have same packet length, channel data

rate is fixed and the users generate new packet at random time interval.

The number of packet generated per unit time follows Poisson's distribution:

$$\Pr[k] = \frac{\lambda^k e^{-\lambda}}{k!} \quad (1)$$

Where,  $k$  is number of packet generated per unit time,  $\lambda$  is the only parameter of the Poisson's distribution i.e. the average number of packet generated per unit time [3].

The performance of the system is characterized by throughput  $S$  of the system.

$$S = \left[ \begin{array}{c} \text{Average number of Packet} \\ \text{generated within} \\ \text{packet transmission time, } T_p \end{array} \right] \left[ \begin{array}{c} \text{Probability of} \\ \text{no collision} \end{array} \right]$$

Here,

$$\begin{aligned} \text{Probability of no collision} &= \frac{\text{Probability of generating no packet within vulnerable time, } 2T_p}{\text{Probability of generating no packet within vulnerable time, } 2T_p} \\ &= \Pr[0] = \frac{(2\lambda T_p)^0 e^{-2\lambda T_p}}{0!} = e^{-2\lambda T_p} \\ S &= (\lambda T_p) e^{-2\lambda T_p} = G e^{-2G} \end{aligned} \quad (2)$$

Here,  $G$  is the offered load. When offered load is 0.5, the throughput is maximum and maximum throughput for pure ALOHA is 18.7%. But here we use Carrier Sense (CS) ALOHA, which senses whether the channel is free before transmission so the throughput is greater than throughput for normal (without carrier sensing) pure ALOHA.

#### IV. PROTOCOL DISCUSSION AND MODEL

Carrier sense ALOHA is different from others ALOHA. It extends the carrier sensing function to include all packets regardless of whether the sensing device is the recipient or not. Aloha is predecessor to carrier sense multiple access systems used in many broadcast system. Thus ALOHA depends on the ability of a node to detect or learn that a collision has occurred [4]. Here the evaluation is considered a wireless local area network having  $N$  nodes and a single access point which is shown in Fig. 1. The four nodes are competing to gain the access of AP for transmitting their data packets. The MAC protocol used for sharing the AP is ALOHA with carrier sense (ALOHA-CS). Therefore, a node with a new data packet immediately senses the carrier. If the carrier is idle, the node transmits the packet immediately. If the carrier is busy, transmission of the packet is delayed by an integer number of packet duration. After retransmission try by the maximum allowed times, a packet will be dropped.

The simulation has been performed on a MATLAB based Monte-Carlo simulation platform. Here, a wireless local area network (LAN) with a single access

point (AP) and four nodes competing to gain the access of AP for transmitting their data packets is considered which is illustrated in Fig. 3.

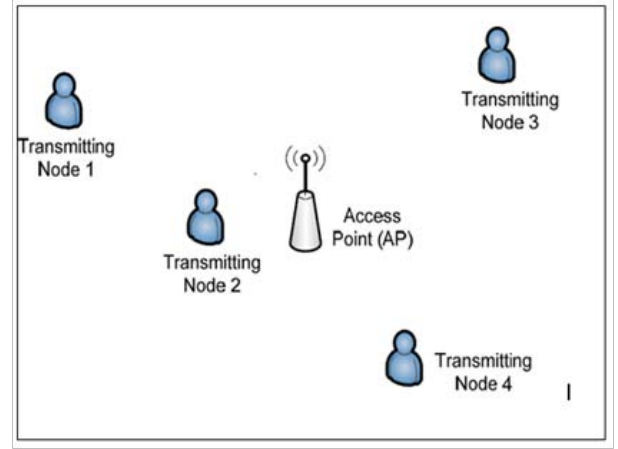


Fig. 3 : Access Point and Transmitting Nodes inside LAN

#### V. SIMULATION AND RESULT

##### a) Random Packet generation

Packet from each transmitting node is generating according to Poisson's distribution, so the time interval between each packet is an exponential distribution with mean  $1 / \text{no of packet generation in unit time}$ . Exponentially distributed random numbers are generated in MATLAB for representing packet access in the access point. All the packets are equally probable to come from any of the transmitting nodes; so they are distributed among the transmitting nodes using uniform integer random number generator from 1 to 4. A packet in access point can be retransmitted packet or a fresh packet, where a packet can be retransmitted not more than 8 times.

##### b) Throughput Analysis

Throughput is defined as the ratio of the successfully transmitted packet per sec to the number of packet generated per sec. In pure ALOHA a node can start transmission at any time. In slotted ALOHA, all nodes have synchronized clocks marking frame boundary times (the clock period is the time for one frame transmission) and a node wishing to transmit does so at the start of the next frame slot. In both cases, a node transmits without checking the state of the channel [5].

The throughput which is defined as the portion of channel bandwidth for successful transmission i.e. percentage of successful transmission of total transmission is calculated and plotted for three different packet lengths: 2000, 4000 and 10000 bits in Fig.4.



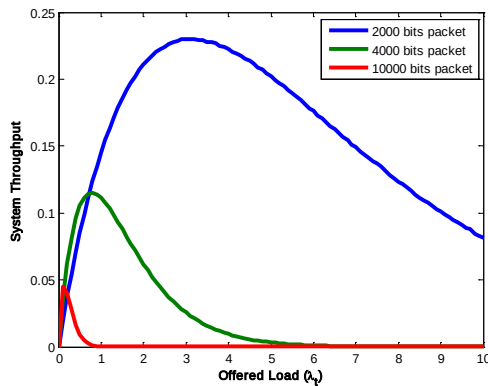


Fig. 4 : System Throughput Vs. Offered Load ( $\lambda_t$ )

Fig.4 indicates that throughput of packet length 4000 is higher than packet length 10000 and throughput of packet length 2000 is higher than packet length 4000.

#### c) Average No. of Retransmission

The Average number of retransmission is also calculated for the three packet lengths which increases with offered load as expected.

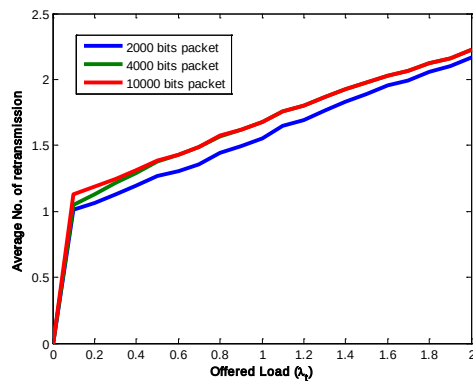


Fig. 5 : Average No. of Retransmission Vs. Offered Load ( $\lambda_t$ )

For a given critical limit of the new packet generation rate, the number of transmission control is not needed for a stable operation. The reason is that if the new packet generation rate is below a certain limit, the probability of success is very high because of the lower aggregate traffic generation rate [6]. The probability of retransmission is very low in that situation. Therefore, the aggregate traffic generation is lower even with the higher maximum allowable number of transmissions. The aggregate traffic generation rate increases with the multiplication of the retransmission probability and number of transmissions, for a given new packet generation rate[7]. If the retransmission probability becomes very low because of higher success probability, the multiplication of retransmission probability and the number of transmissions also become very low. Hence, the aggregate traffic generation rate becomes almost the same as the new

packet generation rate. Thus, the throughput also remains almost constant regardless of the augmentation of number of transmissions. This lower aggregate traffic generation rate is not sufficient to make the system unstable. This critical limit of the new packet generation rate depends on the number of users and the type of capture. Fig.5 shows that the number of retransmission vs offered load. The average number of retransmission increases as the offered load increases.

## VI. CONCLUSION

Aloha-CS is simpler and more scalable, as it only needs a small amount of memory, and does not rely on additional control messages. Aloha-CS, on the other hand, requires the use of additional packets, which serve as advance notification to neighboring nodes, so that they can avoid transmitting packets that could result in collisions. The Aloha-CS needs to collect and store more information, therefore it requires more resources. Due to the need to select a suitable lag time for a given network setting, the scheme is less scalable as it needs to check if its lag time is still appropriate whenever there are any significant topology changes. However, the extra cost allows the Aloha-CS to achieve much better throughput and collision avoidance. Throughput maximizes for a definite offered load and average number of retransmission increases with offered load as expected.

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# Quality of IT Enabled Services in Higher Education Institutions in Saudi Arabia

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**Abstract-** The delivery of education has improved over time by using the IT enabled services, especially in the higher education institutes. The role of the IT enabled services to disseminate effective teaching has increased over time and still improving with a great pace with emerging needs of the students and the teachers. This research paper is focused to identify and investigate the quality of IT enabled services in the higher education institutes in Saudi Arabia. The study was conducted at two model higher education institutions from public and private sector. Mixed research method has been used to attain the information and to identify the convergence of the information. It was identified that the quality of IT enabled services is better in the public sector institution as compared to the private sector institution.

**Keywords:** *quality of education, quality of IT enabled services, education Saudi Arabia.*

**GJCST-E Classification :** *K.4.2*



*Strictly as per the compliance and regulations of:*



# Quality of IT Enabled Services in Higher Education Institutions in Saudi Arabia

Romana Aziz <sup>α</sup> & Basit Shahzad <sup>σ</sup>

**Abstract-** The delivery of education has improved over time by using the IT enabled services, especially in the higher education institutes. The role of the IT enabled services to disseminate effective teaching has increased over time and still improving with a great pace with emerging needs of the students and the teachers. This research paper is focused to identify and investigate the quality of IT enabled services in the higher education institutes in Saudi Arabia. The study was conducted at two model higher education institutions from public and private sector. Mixed research method has been used to attain the information and to identify the convergence of the information. It was identified that the quality of IT enabled services is better in the public sector institution as compared to the private sector institution.

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## I. INTRODUCTION

Access to education is a fundamental right of each child and making this access better is an obligation of the government. The emergence of IT and its utilization in the education sector has helped the students at all levels, to improve their capability to learn and without need to memorizing text but by learning the conceptual grounds and theories. Thus, IT has played its role in making the teaching and learning, not only interesting but also effective in the recent years. The role of IT Enabled Services (ITES) has been vital in the higher education institutes as well and now, as the baseline of the ITES has been established at most institutes it is becoming important to evaluate the quality of ITES at different institutes. In this paper, we focus on two higher education institutions from public and private sector. We have chosen universities in Saudi Arabia as the study is focused to make a comparison of the ITES in Saudi universities.

Considering the nature of the study, two leading universities, one each from government and private sector was selected to participate in the study as they exist in same city. The public sector university (referred as A in the rest of this paper) was established in the fifties and is one of the oldest university in the kingdom while the private sector university (referred as B in the rest of this paper) was established in the nineties. It is also important to mention that the current student

enrolment at the private university is around 3,500 while the public sector university has 10 times more enrolment, and so is the ratio in the staff of the universities. The purpose of this study is to compare the state of the ITES in the Saudi universities.

## II. LITERATURE REVIEW

In order to compare the state of the art it is important to establish the parameters based on which the comparisons among the universities can be made for the quality of ITES. Some recent work has been carried out in this domain which is presented in this section. Several researchers [1][2][3][4][5], including Alanezi and Yang have mentioned that the 'Accessibility' factor is vital in nature for measuring the quality of ITES. Tan and Burgess [5][1][4] have advocated the need for customization as a major player in the quantification of the ITES for the higher education while Parasuraman and George [1][6][2][7] are of the view that delivery of teaching and the efficiency of the ITES is also important.

Alanezi, Lin, Sedera and Swaid [1][8][9][10] have identified the importance and have advocated the existence of the factors like functionality and information quality. Both these factors form the core of ITES and are valuable in their nature and existence. Zeithaml [2] has found that some factors like response time, service usability, system integrity and trust are important factors in the quantification of the quality measurement. These factors govern the environmental factors and responsiveness of the system and are vital to measure the quality of the system instead of functionality of the system. Tan, George and Burgess [1][4][7] have advocated the presence of security as an integral factor to measure the quality of ITES. Apart from that, some researchers like Burgess [5] have considered that the factors like site design, service usability and service reliability have a great value in the measurement of the quality of the ITES. Aziz [11] in her research shortlisted these seventeen items to evaluate the quality of the ITES in the higher education. The shortlisting was done from more than 100 elements based on the recurrence, relevance and importance which was determined by the expert opinion. The factor, its description and the citation of the survey is given in Table 1.

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Table 1 : Factors to measure ITES quality

| No | Factor               | Description                                                                                                                                                |                 |
|----|----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| 1  | Accessibility        | Accessibility is the degree to which the user can access the required service                                                                              | [1][2][3][4][5] |
| 2  | Customization        | The ability to configure the ITES according to requirement                                                                                                 | [5][1][4]       |
| 3  | Delivery of teaching | It deals with the ways and quality of the teaching.                                                                                                        | [7]             |
| 4  | Efficiency           | How quickly the required services are available.                                                                                                           | [1][6][2][7]    |
| 5  | Functionality        | It describes that what specific tasks can be performed by using the system                                                                                 | [1][8]          |
| 6  | Information quality  | By what level the available information suits the user.                                                                                                    | [9][10]         |
| 7  | Interoperability     | Access to multiple service                                                                                                                                 | [4]             |
| 8  | Privacy              | The level to which a person is secure in performing his tasks without being public.                                                                        | [7][6][1]       |
| 9  | Response time        | The time between the request and availability of the information                                                                                           | [2]             |
| 10 | Security             | Security factor reflects the adequacy of security features implemented in the ITES.                                                                        | [7][1][4]       |
| 11 | Service reliability  | Service reliability is the percentage of time the ITES is available for use without failure.                                                               | [5]             |
| 12 | Service usability    | Service usability factor refers to the degree to which the users find it easy to use the various ITES.                                                     | [2]             |
| 13 | Site design          | Site design factor measures the quality of site design in terms of user satisfaction and ease of use.                                                      | [5]             |
| 14 | System integrity     | The provision of consistent information at all times.                                                                                                      | [2]             |
| 15 | Trust                | How reliable, efficient and responsive a system is.                                                                                                        | [2]             |
| 16 | Usefulness           | Usefulness is the degree to which the users find it easier to do their work via the ITES.                                                                  | [5]             |
| 17 | User support         | User support factor refers to the degree to which the ITES department personnel are willing to serve the users in case their help and support is required. | [3]             |

The findings by Aziz [11] form the basis of this study. The findings are contemporary in nature and discuss an evolutionary paradigm of emerging state of the art from the authors of immense repute [12, 13]. Ahead of this a considerably sound and current methodology to affirm the findings was used that increase the trust to use this findings of the publication as a base of this research.

### III. METHODOLOGY

This study is a mixed method research [14, 15], that has been completed by triangulating the qualitative and quantitative results. The survey was conducted on 300 individuals in each institute and the results were collected. The purpose of the survey was to ask the users about the quality of IT enabled services at their respective institute, against the different factors attained after the comprehensive literature review. Likert scale [16] was used to rank the responses on a scale of 1-5, i.e. from poor to excellent, hence, the column 1 in each response list has the weightage 1, the 2<sup>nd</sup> column has the weightage 2 and column 3 has the weightage of 3 and so on. Once the sums are accumulated they are divided by the number of total respondents to get the weighted average and this activity is run for both institutes separately. After that the comparison among

the results is made by considering each factor to identify that in which area a specific institute is performing better. A qualitative study has been conducted on the same lines where four respondents were interviewed (two from each university) and were asked to identify the standards of the IT enabled service in their respective institutes based on the factors and considering the cotemporary situations[17-24]. In this research we follow the partially mixed sequential dominant status paradigm where the qualitative findings follow the quantitative findings and are dominant. This paradigm is followed in research studies that are centric to evaluate the technology education [25-31].

### IV. QUANTITATIVE STUDY

Considering the scale of the survey it is important to maximize the responses, however it is notable that the responses have to be precise and should come from the experienced users[17, 32]. In order to achieve this the means given in Table 2 are used to spread the survey and collect the responses. The effectiveness of these means is given in Table 3 while Figure 1 illustrates the spread of survey call.

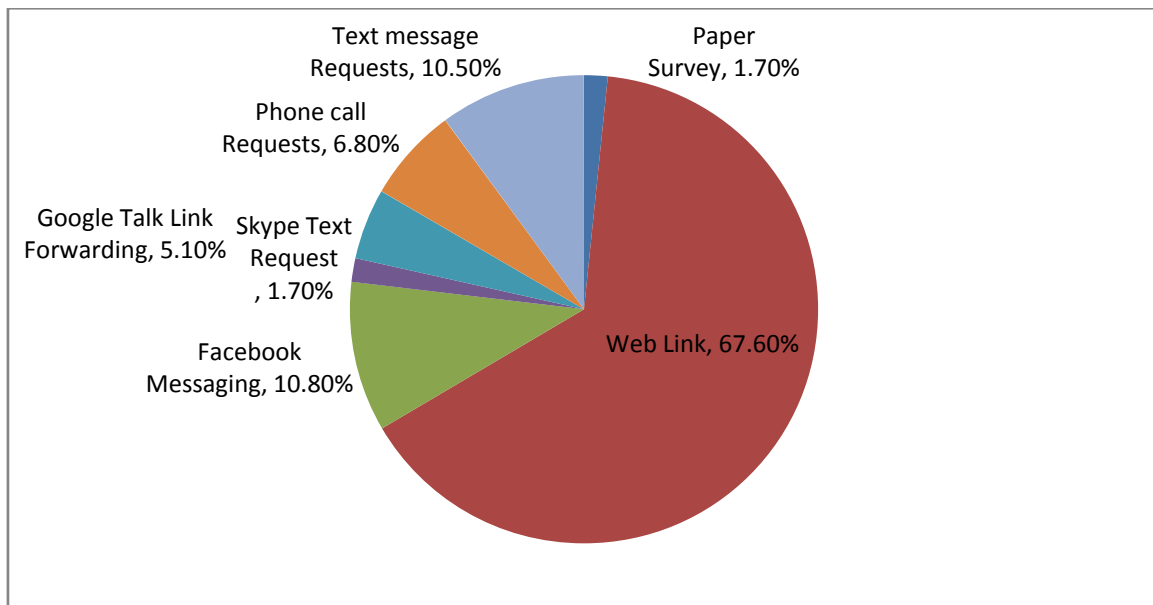


*Table 2 :* Means of Sending Survey and responsiveness

| No.   | Mean of     | Count | Responses | %   |
|-------|-------------|-------|-----------|-----|
| 1     | Paper       | 10    | 10        | 100 |
| 2     | Web Link    | 500   | 398       | 80  |
| 3     | Skype Text  | 20    | 10        | 50  |
| 4     | Google Talk | 50    | 30        | 60  |
| 5     | Phone call  | 60    | 40        | 67  |
| 6     | Text        | 40    | 36        | 90  |
| 7     | Facebook    | 96    | 64        | 67  |
| Total |             | 776   | 588       | 75  |

*Table 3 :* Effectiveness of each mean by percentage

| No. | Mean of Sending Survey      | Count | Responses | Average Response |
|-----|-----------------------------|-------|-----------|------------------|
| 1   | Paper Survey                | 10    | 10        | 1.7%             |
| 2   | Web Link                    | 500   | 398       | 67.6%            |
| 3   | Skype Text Request          | 20    | 10        | 1.7%             |
| 4   | Google Talk Link Forwarding | 50    | 30        | 5.1%             |
| 5   | Phone call Requests         | 60    | 40        | 6.8%             |
| 6   | Text message Requests       | 40    | 36        | 6.12%            |
| 7   | Facebook messaging          | 96    | 64        | 10.8%            |



*Figure 1 :* Proportionate spread of the survey call

The Survey reached to 776 while 588 out of them responded. Following statistics in Table 4 are used for this survey.

**Table 4 :** Statistics for the survey conduct

| Measure             | Number |
|---------------------|--------|
| Confidence Level    | 99%    |
| Confidence Interval | 3      |
| Population accessed | 776    |
| Sample Size         | 548    |
| percentage          | 50     |

*\*The actual population size is unknown [9]*

Confidence level demonstrates the level of confidence that we have on the response to be correct and precise. Usually a confidence level of 95% is used in the research although 99% is used. The confidence interval determines the amount of acceptable results, and is always presented with the  $\pm$  symbol. If the threshold value is 67 and the confidence interval is 5, it

will allow considering values from 62-72 as legitimate. Since the survey has been conducted in two different institute to compare the state of the art of IT enabled services, almost half of the responses came from each institute. A 5-level Likert scale has been used in this research that ranges from poor to excellent. The range is from 1-5 on a quantitative scale. The value for poor is 1 and value for excellent is 5. Every response that choses the 'poor' against some item is multiplied by 1 while the selections like 'somewhat acceptable' is multiplied by 2, the choice 'acceptable' is multiplied by 3, the choice 'very good' is multiplied by 4, and the choice 'excellent' is multiplied by 5. The average weighted response is achieved by dividing the weighted response over the total number of respondents. It is further important that some questions were not answered by some individuals. For institute A, 261 respondents have responded while some 325 respondents responded for the institute B.

**Table 5 :** Survey response statistics from institute A

| Items                | Poor | Somewhat Acceptable | Acceptable | Very Good | Excellent | Average Weighted Response |
|----------------------|------|---------------------|------------|-----------|-----------|---------------------------|
| Accessibility        | 0    | 42                  | 270        | 492       | 135       | 3.60                      |
| Customization        | 0    | 48                  | 972        | 1584      | 315       | 3.41                      |
| Delivery of teaching | 3    | 24                  | 252        | 504       | 90        | 3.59                      |
| Efficiency           | 0    | 36                  | 216        | 516       | 180       | 3.72                      |
| Functionality        | 0    | 30                  | 278        | 384       | 255       | 3.67                      |
| Information quality  | 3    | 36                  | 234        | 504       | 165       | 3.65                      |
| Interoperability     | 3    | 42                  | 331        | 384       | 90        | 3.78                      |
| Privacy              | 3    | 36                  | 341        | 492       | 105       | 3.88                      |
| Response time        | 3    | 24                  | 261        | 456       | 165       | 3.65                      |
| Security             | 0    | 42                  | 243        | 420       | 90        | 3.53                      |
| Service reliability  | 0    | 24                  | 234        | 336       | 165       | 3.67                      |
| Service usability    | 0    | 24                  | 297        | 348       | 225       | 3.68                      |
| Site design          | 0    | 6                   | 234        | 552       | 120       | 3.75                      |
| System integrity     | 0    | 36                  | 279        | 456       | 105       | 3.56                      |
| Trust                | 0    | 42                  | 405        | 348       | 75        | 3.37                      |
| Usefulness           | 0    | 30                  | 297        | 372       | 210       | 3.65                      |
| User support         | 0    | 36                  | 252        | 348       | 225       | 3.68                      |

*Table 6 :* Survey response statistics from institute B

| Items                | Poor | Somewhat acceptable | Acceptable | Very Good | Excellent | Average Weighted Response |
|----------------------|------|---------------------|------------|-----------|-----------|---------------------------|
| Accessibility        | 12   | 102                 | 531        | 312       | 75        | 3.17                      |
| Customization        | 0    | 126                 | 540        | 228       | 75        | 2.94                      |
| Delivery of teaching | 42   | 120                 | 531        | 156       | 15        | 2.69                      |
| Efficiency           | 9    | 132                 | 495        | 216       | 120       | 3.06                      |
| Functionality        | 6    | 138                 | 504        | 288       | 75        | 3.06                      |
| Information quality  | 21   | 72                  | 414        | 384       | 150       | 3.24                      |
| Interoperability     | 30   | 96                  | 432        | 264       | 120       | 3.02                      |
| Privacy              | 24   | 138                 | 177        | 120       | 75        | 1.70                      |
| Response time        | 9    | 108                 | 477        | 288       | 135       | 3.17                      |
| Security             | 18   | 132                 | 468        | 228       | 120       | 3.01                      |
| Service reliability  | 18   | 138                 | 468        | 204       | 75        | 2.92                      |
| Service usability    | 24   | 108                 | 441        | 288       | 60        | 2.98                      |
| Site design          | 21   | 144                 | 477        | 192       | 90        | 2.91                      |
| System integrity     | 18   | 180                 | 450        | 168       | 60        | 2.81                      |
| Trust                | 6    | 150                 | 432        | 264       | 135       | 3.10                      |
| Usefulness           | 18   | 144                 | 495        | 204       | 75        | 2.92                      |
| User support         | 12   | 144                 | 468        | 228       | 90        | 2.99                      |

*Table 7 :* Comparison of Institute A and B for quality of ITES

| Items                | AWR (Average Weighted Response)<br>Somewhat acceptable |             | Better on Quantitative Scale |
|----------------------|--------------------------------------------------------|-------------|------------------------------|
|                      | Institute A                                            | Institute B |                              |
| Accessibility        | 3.60                                                   | 3.17        | Institute-A                  |
| Customization        | 3.41                                                   | 2.94        | Institute-A                  |
| Delivery of teaching | 3.59                                                   | 2.69        | Institute-A                  |
| Efficiency           | 3.72                                                   | 3.06        | Institute-A                  |
| Functionality        | 3.67                                                   | 3.06        | Institute-A                  |
| Information quality  | 3.65                                                   | 3.24        | Institute-A                  |
| Interoperability     | 3.78                                                   | 3.02        | Institute-A                  |
| Privacy              | 3.88                                                   | 1.70        | Institute-A                  |
| Response time        | 3.65                                                   | 3.17        | Institute-A                  |
| Security             | 3.53                                                   | 3.01        | Institute-A                  |
| Service reliability  | 3.67                                                   | 2.92        | Institute-A                  |
| Service usability    | 3.68                                                   | 2.98        | Institute-A                  |
| Site design          | 3.75                                                   | 2.91        | Institute-A                  |
| System integrity     | 3.56                                                   | 2.81        | Institute-A                  |
| Trust                | 3.37                                                   | 3.10        | Institute-A                  |
| Usefulness           | 3.65                                                   | 2.92        | Institute-A                  |
| User support         | 3.68                                                   | 2.99        | Institute-A                  |

## V. QUALITATIVE STUDY AND TRIANGULATION

Table 5 and Table 6 summarize the survey response statistics from institute A and B respectively. The results shown in Table 7, clearly demonstrate that the quality of ITES is better in institute A as compared to institute B in all the factors. Considering these results a qualitative study was formulated where four interviews were conducted to gain an insight of the ITES in the respective institutes. The outcome is given in Table 8. Along with the illustrative description of the ITES quality items, the interviewees preferred to give the absolute numbers in measuring the quality. Four interviews were

conducted in total Two interviews were conducted in institute A while rest two were conducted at institute B. The summary of the results is presented in Table 8 which clearly demonstrates that the interviewees (like the survey respondents) believed that the quality of ITES is better in institute A as compared to institute B. In the survey, institute A was observed having lead in the quality factors while in the interviews institute A leads in 12 out of 17 factors, equal in 4, and lags in 1 factor. Figures 2 and 3 depict the quantitative and qualitative analysis respectively.

*Table 8 :* Outcome of the 4 interviews

| Items                | Qualitative Response |             | Better on Quantitative Scale |
|----------------------|----------------------|-------------|------------------------------|
|                      | Institute A          | Institute B |                              |
| Accessibility        | 4                    | 3.5         | Institute-A                  |
| Customization        | 3.5                  | 3.5         | -                            |
| Delivery of teaching | 3                    | 2.5         | Institute-A                  |
| Efficiency           | 4                    | 2.5         | Institute-A                  |
| Functionality        | 3.5                  | 3.5         | -                            |
| Information quality  | 4                    | 3.5         | Institute-A                  |
| Interoperability     | 4.5                  | 3           | Institute-A                  |
| Privacy              | 4                    | 2.5         | Institute-A                  |
| Response time        | 3                    | 3.5         | Institute-B                  |
| Security             | 4                    | 2.5         | Institute-A                  |
| Service reliability  | 4.5                  | 3           | Institute-A                  |
| Service usability    | 4                    | 3.5         | Institute-A                  |
| Site design          | 4                    | 3           | Institute-A                  |
| System integrity     | 4                    | 2.5         | Institute-A                  |
| Trust                | 3.5                  | 3.5         | -                            |
| Usefulness           | 3.5                  | 3.5         | -                            |
| User support         | 4.5                  | 3.5         | Institute-A                  |

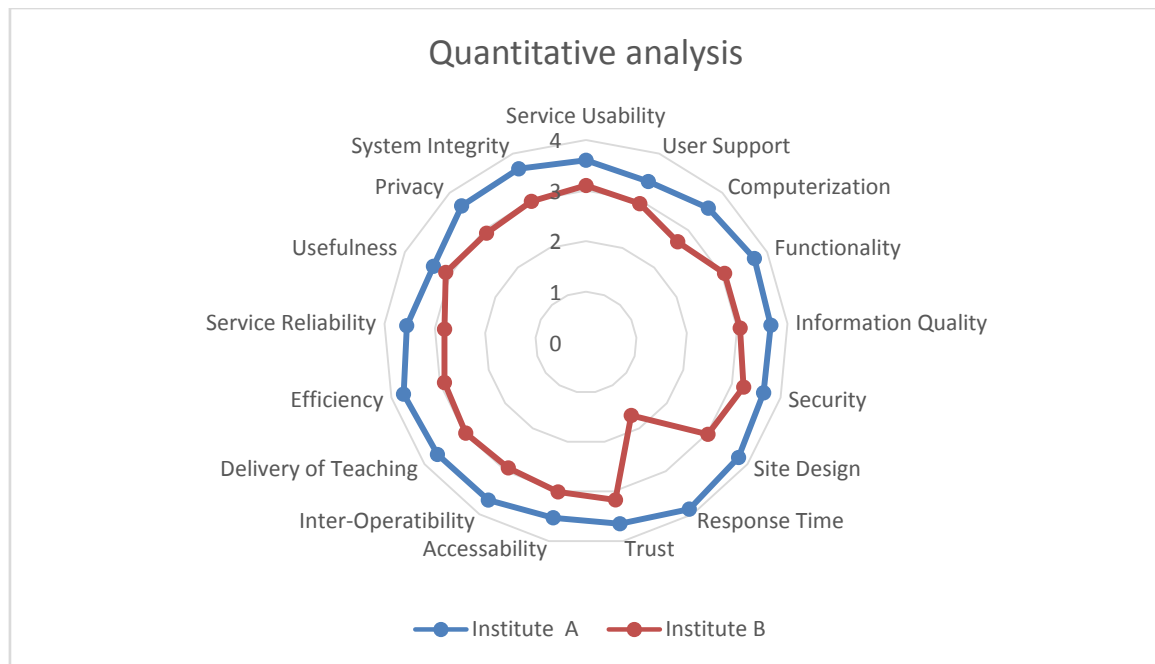


Figure 2 : Quantitative analysis of ITES

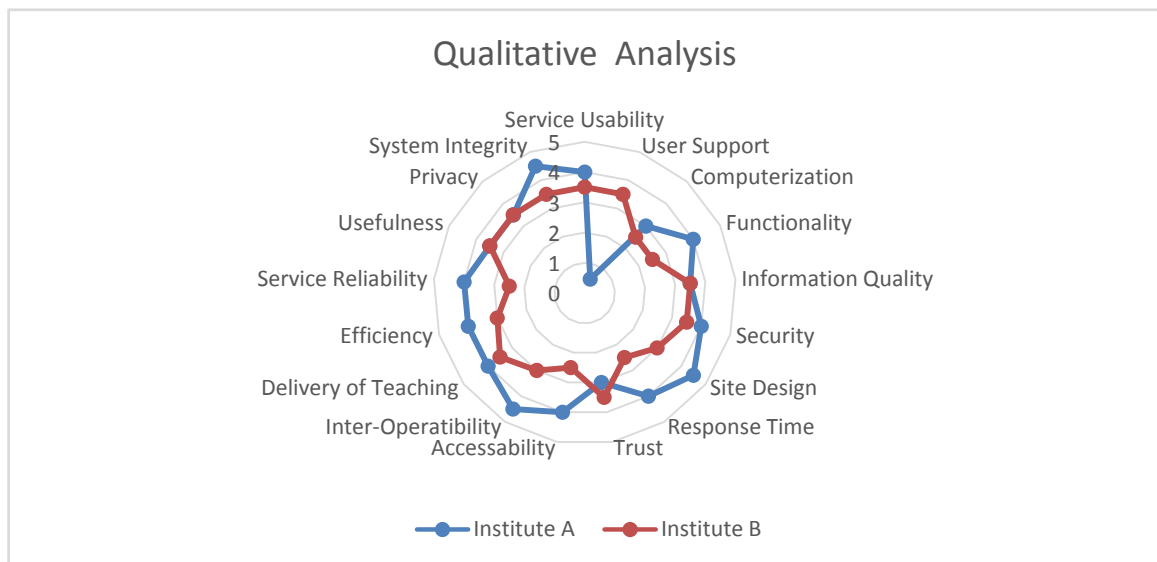


Figure 3 : Qualitative analysis of ITES

In triangulation process, it is observed that whether the findings of the qualitative method and the quantitative methods converge to similar results? The triangulation process is shown in Table 9.



Table 9 : Triangulation of Qualitative and quantitative results

| Items                | AWR<br>Somewhat acceptable |             | Better on<br>Quantitative<br>Scale |             |             | Better on<br>Qualitative<br>Scale | Triangulation<br>Results |
|----------------------|----------------------------|-------------|------------------------------------|-------------|-------------|-----------------------------------|--------------------------|
|                      | Institute A                | Institute B |                                    | Institute A | Institute B |                                   |                          |
| Accessibility        | 3.60                       | 3.17        | Institute-A                        | 4           | 3.5         | Institute-A                       | Institute-A              |
| Customization        | 3.41                       | 2.94        | Institute-A                        | 3.5         | 3.5         | Equal                             | Equal                    |
| Delivery of teaching | 3.59                       | 2.69        | Institute-A                        | 3           | 2.5         | Institute-A                       | Institute-A              |
| Efficiency           | 3.72                       | 3.06        | Institute-A                        | 4           | 2.5         | Institute-A                       | Institute-A              |
| Functionality        | 3.67                       | 3.06        | Institute-A                        | 3.5         | 3.5         | Equal                             | Equal                    |
| Information quality  | 3.65                       | 3.24        | Institute-A                        | 4           | 3.5         | Institute-A                       | Institute-A              |
| Interoperability     | 3.78                       | 3.02        | Institute-A                        | 4.5         | 3           | Institute-A                       | Institute-A              |
| Privacy              | 3.88                       | 1.70        | Institute-A                        | 4           | 2.5         | Institute-A                       | Institute-A              |
| Response time        | 3.65                       | 3.17        | Institute-A                        | 3           | 3.5         | Institute-B                       | Institute-B              |
| Security             | 3.53                       | 3.01        | Institute-A                        | 4           | 2.5         | Institute-A                       | Institute-A              |
| Service reliability  | 3.67                       | 2.92        | Institute-A                        | 4.5         | 3           | Institute-A                       | Institute-A              |
| Service usability    | 3.68                       | 2.98        | Institute-A                        | 4           | 3.5         | Institute-A                       | Institute-A              |
| Site design          | 3.75                       | 2.91        | Institute-A                        | 4           | 3           | Institute-A                       | Institute-A              |
| System integrity     | 3.56                       | 2.81        | Institute-A                        | 4           | 2.5         | Institute-A                       | Institute-A              |
| Trust                | 3.37                       | 3.10        | Institute-A                        | 3.5         | 3.5         | Equal                             | Equal                    |
| Usefulness           | 3.65                       | 2.92        | Institute-A                        | 3.5         | 3.5         | Equal                             | Equal                    |
| User support         | 3.68                       | 2.99        | Institute-A                        | 4.5         | 3.5         | Institute-A                       | Institute-A              |

## VI. DISCUSSION

There are 17 factors for measuring the quality of ITES in the institutes in Saudi Arabia. Two intuitions, one government and one private university was selected for this purpose in the capital city of Riyadh. The results of the study demonstrate that the quality of the ITES is better in institute A as compared to B. After the completion of the triangulation process the results have not changed much from the initial process, since the findings were very much consistent in the quantitative and qualitative methods. For the factors like 'accessibility', 'delivery of teaching', 'efficiency', 'information quality', 'inter-operability', 'privacy', 'security', 'service reliability', 'service usability', 'site design', 'system integrity', and 'user support' the results of the qualitative and quantitative findings were same. For the factors 'customization', 'functionality', 'trust', and 'usefulness'. the qualitative findings are different from the quantitative findings where in the survey it was established that the institute A is better as compared to institute B but in the interview it was established that both institutes have same standing. It was mentioned in the methodology section that the qualitative findings will have the dominance on the quantitative findings, therefore the qualitative results are observed in case of a disagreement among the qualitative and quantitative findings. Since the results of the qualitative finding demonstrate that the state-of-art of two institutions for these four factors is not different therefore the qualitative findings hold. For one factor 'response time' in the quantitative findings it was observed that the institute A is better in comparison while the results of the qualitative findings are otherwise, but for the reasons mentioned above, the qualitative results are held.

## VII. CONCLUSION

It can be summarized that the in order to compare the state-of-art of ITES in Saudi universities 17 factors were identified. Two institutions were compared based on quantitative and qualitative data, and the results have shown that institute A leads with better score on 12 factors while for four factors the scores were equal, while institute B leads only in one factor. It can be concluded that the state-of-art of ITES is much better in institute A as compared to institute B. Institute B needs to be more concerned in improving the quality of the ITES, especially in the areas of accessibility, information security, privacy, and user support. While Institute A needs to improve in customization, usefulness, response time, and trust.

## VIII. ACKNOWLEDGEMENT

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# Cryptanalysis and Further Improvement of a Dynamic ID and Smart Card based Remote user Authentication Scheme

By Narendra Panwar

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**Abstract-** Computer systems and their interconnections using networks have improved the dependence of both the organizations as well as the individuals on the stored information. This interconnection, in turn, has led to a heightened awareness of the need for data security and the protection of data and resources from electronic frauds, electronic eavesdropping, and network-based attacks. Consequently, cryptography and network security have evolved, leading to the development of smart cards to enforce network security. Recently, Rafael Martinez-Pelez and Rico-Novella Francisco [1] pointed out vulnerabilities in Wang et al. [2] scheme. In this paper, we cryptanalyze Wang et al. scheme and demonstrated that our proposed scheme withstands the vulnerabilities pointed out by Francisco et al. and it completes all the recent security requirements of [3]. We implemented the proposed scheme in MATLAB and demonstrated that our proposed scheme is not vulnerable to the shortcomings pointed out by Francisco et al. in their scheme.

**Keywords:** security, authentication, remote user, smart card.

**GJCST-E Classification :** C.2.3 C.2.5



CRYPTANALYSIS AND FURTHER IMPROVEMENT OF A DYNAMIC ID AND SMART CARD BASED REMOTE USER AUTHENTICATION SCHEME

*Strictly as per the compliance and regulations of:*



RESEARCH | DIVERSITY | ETHICS

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Narendra Panwar

**Abstract-** Computer systems and their interconnections using networks have improved the dependence of both the organizations as well as the individuals on the stored information. This interconnection, in turn, has led to a heightened awareness of the need for data security and the protection of data and resources from electronic frauds, electronic eavesdropping, and network-based attacks. Consequently, cryptography and network security have evolved, leading to the development of smart cards to enforce network security. Recently, Rafael Martinez-Pelez and Rico-Novella Francisco [1] pointed out vulnerabilities in Wang et al. [2] scheme. In this paper, we crypt-analyze Wang et al. scheme and demonstrated that our proposed scheme withstands the vulnerabilities pointed out by Francisco et al. and it completes all the recent security requirements of [3]. We implemented the proposed scheme in MATLAB and demonstrated that our proposed scheme is not vulnerable to the shortcomings pointed out by Francisco et al. in their scheme.

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## I. INTRODUCTION

In 1981, a remote password authentication scheme was proposed by L. Lamport [4] over an insecure channel. Since then, several schemes [5], [6], [7], [8], [9], [10] have been proposed to address this problem for achieving more functionality and efficiency. In a traditional password scheme, each user has an identity and a secret password. If a person wants to log into a network system, they must submit their identity and the corresponding password.

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To avoid storing a plain password table in a public network system, several scheme [4], [11], [12] have proposed a dictionary of verification tables to store each user ID and the corresponding one-way hash value of passwords in the remote system. In 2005, Chien et al.[9] pointed out that Das et al.[8] scheme cannot achieve user anonymity because an attacker can trace user with the static value. In 2010, Lee et al.[13] have analyzed the security of the smart card based user authentication scheme proposed by Lee and Chiu [14]. Their security analysis showed that scheme [9] does not achieve its main security goal of the two-factor security. To demonstrate this, they have shown that the scheme

is vulnerable to an offline dictionary attack in which an attacker, who has obtained the secret values stored in the users smart card can easily find out its password. Besides reporting the security problem, they showed what really is causing the problem and how to fix it and they proposed a new and improved scheme than Lee and Chiu's scheme.

In 2012, Francisco et al. have shown security vulnerabilities like Denial of service, server spoofing, impersonation in Wang et al. [2] scheme. We propose a scheme that can withstand the above mentioned attacks, we implemented and demonstrated the stated scheme using MATLAB. The paper is organized as follows.

In Section 2, we give a brief review on Wang et al.'s scheme. We demonstrate the vulnerabilities of the scheme in Section 3. The proposed scheme and its security analysis are presented in section 4 and 5. Section 6 compares the performance of our proposed scheme with other related schemes. Finally, we conclude this paper in Section 7.



Table 1: Notation Table

| Symbol   | Description                                            |
|----------|--------------------------------------------------------|
| $U_i$    | The User                                               |
| $S$      | The Remote Server                                      |
| $ID_i$   | Unique identity of $U_i$                               |
| $PW_i$   | Unique password of $U_i$                               |
| $S_k$    | The common session key                                 |
| $\oplus$ | The bitwise XOR operation                              |
| $H(.)$   | A collision free one-way hash function such as SHA-256 |
| $x,y$    | Secret Keys of $S$                                     |

## II. REVIEW OF WANG ET AL. SCHEME

Wang et al. proposed a dynamic ID and smart card based remote user authentication scheme in which the remote server does not maintain a verification table

and chooses the users password. Table 1 describes the notations used in this paper and Table 2 depicts review of Wang et al. scheme.

Table 2: Wang et al scheme

| User $U_i$                | Server $S$                                                  |
|---------------------------|-------------------------------------------------------------|
| <b>Registration Phase</b> |                                                             |
| Select $ID_i$             | Choose $PW_i$                                               |
| Send $ID_i$ to Server $S$ | Compute $A_i = H(PW_i) \oplus H(x) \oplus ID_i$             |
|                           | Store $[A_i, y, H(.)]$ into Smart Card                      |
|                           | Sends $PW_i$ and Smart Card to $U_i$ through secure channel |

Table 2 : Wang et al scheme

| User $U_i$                                                                                                                                                                                                                                                       | Server S                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Login Phase</b><br>$U_i$ keys in his/her $ID_i$ and $PW_i$ into smart card terminal and perform:<br>$CID_i = H(PW_i) \oplus H(A_i \oplus y \oplus T) \oplus ID_i$<br>Send $M_i = [ID_i, CID_i, A_i, T]$ to S.                                                 | <b>Verification Phase</b><br>Verify $T^* - T \leq \Delta T$ , if time interval is incorrect then reject login request otherwise accept $M_i$ and perform:<br>$H(PW_i)^* = CID_i \oplus H(A_i \oplus y \oplus T) \oplus ID_i$<br>Compute $ID_i^* = H(PW_i)^* \oplus H(x) \oplus A_i$<br>If $ID_i^*$ and $ID_i$ are not equals, then reject login request otherwise<br>S performs:<br>Computes $B = H(H(PW_i)^* \oplus y \oplus T_2)$<br>Sends $[B, T_2]$ to $U_i$ |
| <b>Server Verification Phase</b><br>Verify $T_2 - T \leq \Delta T$ , if the time interval is incorrect then $U_i$ terminate phase, otherwise perform:<br>Computes $B^* = H(H(PW_i) \oplus y \oplus T_2)$<br>If $B^* = B$ holds $U_i$ confirms the identity of S. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |

Table 2 : Wang et al scheme

| User $U_i$                                                                                                                                                                                                                                       | Server S |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| <b>Password Change Phase</b><br>$U_i$ insert smart card into card reader and keys in his/her $PW_i$ , new password $NPW_i$ and performs:<br>$A_i^* = A_i \oplus H(PW_i) \oplus H(NPW_i)$<br>Store $A_i^*$ into smart card with replacing $A_i$ . |          |



### III. CRYPTANALYSIS OF WANG ET AL. SCHEME

In this section, we demonstrate that Wang et al. scheme is vulnerable to the followings attacks.

#### a) Denial-of-Service attack

There is no user id and password verification mechanism at client terminal. Therefore, if the user enters false identity  $ID_i^*$  it will compute  $CID_i^*$  and  $U_i$  send it to the server S as login request without verifying users identity. S computes

$$H(PWD_i)^* = CID_i^* \oplus H(A_i \oplus y \oplus T) \oplus ID_i^*$$

and

$$ID_i^{**} = H(PW_i)^* \oplus H(x) \oplus A_i.$$

Computed  $ID_i^{**}$  will never match to the  $ID_i^*$  received by the server from the user  $U_i$ . If such case happens unnecessary computing will be performed by the server, and it will lead to Denial-of-Service attack.

#### b) Impersonation attack

Wang et al.'s scheme cannot withstand impersonation attack. The attacker can create a valid login request message if he/she obtains  $A_i^* H(x)$  and  $y$ . If a legitimate user with mal intent wishes to attack the server he/she can extract  $H(x)$  from his/her card and can establish a valid session with the server and thus becoming an attacker using his/ her user privileges. Table 3 describes impersonation attack on Wang et al scheme.

Table 3 : Impersonation attack on Wang et al scheme

| Legitimate User (Attacker) $U_a$                                  | Server S                                                                                                                     |
|-------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| <b>Using smart card</b>                                           |                                                                                                                              |
| Compute $H(x) = H(PW_a) \oplus A_a \oplus ID_a$                   |                                                                                                                              |
| Intercept previous message $[ID_i, CID_i, A_i, T]$ of User $U_i$  |                                                                                                                              |
| $H(PW_i) = A_i \oplus H(x) \oplus ID_i$                           |                                                                                                                              |
| $CID_i^* = H(PW_i) \oplus H(A_i \oplus y \oplus T^*) \oplus ID_i$ |                                                                                                                              |
| Send $M_i = [ID_i, CID_i, A_i, T^*]$ to S                         |                                                                                                                              |
|                                                                   | <b>Verification Phase</b>                                                                                                    |
|                                                                   | Verify $T^* - T \leq \Delta T$ , if time interval is incorrect then reject login request otherwise accept $M_i$ and perform: |
|                                                                   | $H(PW_i)^* = CID_i \oplus H(A_i \oplus y \oplus T^*) \oplus ID_i$                                                            |
|                                                                   | Compute $ID_i^* = H(PW_i)^* \oplus H(x) \oplus A_i$                                                                          |
|                                                                   | Here $ID_i^*$ and $ID_i$ are equals so login request accepted by the server and S performs:                                  |
|                                                                   | $B = H(H(PW_i)^* \oplus y \oplus T^{**})$                                                                                    |
|                                                                   | Sends $[B, T^{**}]$ to $U_a$                                                                                                 |

Table 3 : Impersonation attack on Wang et al scheme

| Legitimate User (Attacker) $U_a$                                                        | Server S |
|-----------------------------------------------------------------------------------------|----------|
| <b>Server Verification</b>                                                              |          |
| Verify $T^{**} - T^* \leq \Delta T$ , now time interval is correct and $U_a$ perform:   |          |
| $B^* = H(H(PW_i) \oplus y \oplus T^{**})$                                               | CID      |
| Now session will successfully start between the legitimate attacker $U_a$ and server S. |          |

c) *Server spoofing attack*

Wang et al. scheme is vulnerable to server spoofing attack which is shown in Table 4. In this scheme,  $S$  needs to know  $y$  and  $H(x)$  for verifying the legitimacy of each user. If the attacker is a legitimate user  $U_a$  he/she can impersonate as  $S$  to cheat  $U_i$  because he/she knows  $y$  and  $H(x)$ . After the user  $U_i$

receives the acknowledgement message  $[B, T^{**}]$  he/she will compute  $B^* = H(PW_i) \oplus y \oplus T^{**}$  and checks whether or not  $B^*$  is equal to  $B$ . In this case,  $U_i$  will believe that the attacker is the legitimate  $S$ , and will establish a session key with  $S$  for further communication.

*Table 4 : Server spoofing attack on Wang et al scheme*

| Legitimate User $U_i$                                         | Legitimate User (Attacker) $U_a$ as $S$                            |
|---------------------------------------------------------------|--------------------------------------------------------------------|
| <b>Login Phase</b>                                            |                                                                    |
| $U_i$ keys in his/her $ID_i$ and $PW_i$                       |                                                                    |
| into smart card terminal and perform:                         |                                                                    |
| $CID_i = H(PW_i) \oplus H(A_i \oplus y \oplus T) \oplus ID_i$ | <b>Intercept message <math>M_i</math> of User <math>U_i</math></b> |
| Send $M_i = [ID_i, CID_i, A_i, T]$ to $S$ .                   | $M_i = [ID_i, CID_i, A_i, T]$                                      |
|                                                               | Compute $H(x) = H(PW_a) \oplus A_a \oplus ID_a$                    |
|                                                               | Compute $H(PW_i) = A_i \oplus H(x) \oplus ID_i$                    |
|                                                               | Computes $B = H(H(PW_i) \oplus y \oplus T^{**})$                   |
|                                                               | Sends $[B, T^{**}]$ to $U_i$                                       |
| <b>Server Verification</b>                                    |                                                                    |
| Verify $T^{**} - T^* \leq \Delta T$ , if time interval        |                                                                    |
| is correct then $U_i$ perform:                                |                                                                    |
| $B^* = H(H(PW_i) \oplus y \oplus T^{**})$                     |                                                                    |
| Now the session will successfully                             |                                                                    |
| start between legitimate user $U_i$                           |                                                                    |
| and attacker user $U_a$ .                                     |                                                                    |

d) *Password Change Phase Flaws*

In the password change phase of Wang et al. scheme, we observe that an attacker user  $U_i$  can change password of any other legitimate user  $U_i$ s, which is shown in Table 5.

Table 5: Password change flaws of Wang et al scheme

| Legitimate User $U_l$                                                      | Attacker User $U_a$                                                |
|----------------------------------------------------------------------------|--------------------------------------------------------------------|
| <b>Login Phase</b>                                                         |                                                                    |
| $U_l$ keys his/her $ID_l$ and $PW_l$ into smart card terminal and perform: |                                                                    |
| Computes                                                                   |                                                                    |
| $CID_l = H(PW_l) \oplus H(A_l \oplus y \oplus T) \oplus ID_l$              | <b>Intercept message <math>M_1</math> of User <math>U_l</math></b> |
| Send $M_1 = [ID_l, CID_l, A_l, T]$ to S.                                   | $M_1 = [ID_l, CID_l, A_l, T]$                                      |
|                                                                            | Compute $H(x) = H(PW_a) \oplus A_a \oplus ID_a$                    |
|                                                                            | <b>Change password</b>                                             |
|                                                                            | Compute $H(PW_l) = A_l \oplus H(x) \oplus ID_l$                    |
|                                                                            | Attacker user $U_a$ computes:                                      |
|                                                                            | $A_a^* = A_l \oplus H(PW_l) \oplus H(NPW_l)$                       |
|                                                                            | Store $A_l^*$ into smart card replacing with $A_l$ .               |

#### IV. PROPOSED SCHEME

This section proposes a strong, secure authentication scheme which will withstand the security vulnerabilities which leads to the aforementioned attacks.

##### a) Registration phase

In this phase, the user  $U_i$  registers with the remote server S through a secure channel to be a authentic user.

**Step 1:**  $U_i$  chooses his/her identity  $ID_i$  and password  $PW_i$  and computes  $H(ID_i || PW_i || R_x)$  where  $R_x$  random number generated by  $U_i$ . Then  $U_i$  sends the registration request  $H(ID || PW_i || R_x)$  to S.

**Step 2:** Upon receiving  $[ID_i, H(ID || PW_i || R_x)]$  from  $U_i$ , S verifies the validity of  $ID_i$  and computes  $VID_i = H(K \oplus ID_i)$

**Step 3:** S computes  $N_i = VID_i \oplus H(ID_i || PW_i || R_x)$  then captures current date and time in T and create a record  $[ID_i, T]$  in its database.

**Step 4:** S stores  $[H(., N_i, T)]$  into the smart card of  $U_i$  and sends the smart card through a secure channel to the user  $U_i$ .

**Step 5:** Upon receiving the smart card from S,  $U_i$  stores into smart card and does not need to remember  $R_x$  after finishing registration phase. Finally,  $U_i$ 's smart card contains  $[H(., N_i, T), R_x]$

##### b) Login phase

In this phase, when an authentic user want to login to the remote server S, he/she must perform the following steps:

**Step 1:**  $U_i$  inserts his/her smart card into the card reader and inputs the identity  $ID_i$  and password  $PW_i$ . The smart card computes  $VID_i^* = N_i \oplus H(ID_i || PW_i || R_x)$ , where  $R_x$  is retrieved from its memory space.

**Step 2:** The smart card computes

$T = T + 1$  and  $M_1 = (ID_i || VID_i^* || R_x || T)^2 \bmod n$  and sends a login request  $M_1$  to S

##### c) Authentication phase

Upon receiving the login request  $M_1$  from  $U_i$ , S performs the following steps:

**Step 1:** S reveals  $M_1$  by using the Chinese Remainder Theorem (CRT) with p and q to obtain  $ID_i, VID_i, R_x$  and T. Then S verifies the revealed T with the stored  $T_i$  corresponding to  $ID_i$ . If  $T = T_i$ , S replaces  $T_i$  with new time variable T in its database. Otherwise, S rejects  $U_i$ 's login request.

**Step 2:** If Step 1 holds, S computes  $VID_i = H(K \oplus ID_i)$  and checks if computed  $VID_i$  equals received  $VID_i^*$ . If it holds, S would successfully authenticate  $U_i$  and computes the session key  $S_k = H(VID_i || R_x || T)$  shared with  $U_i$ .

**Step 3:** S computes  $M_2 = H(VID_i || R_x)$  and send it to  $U_i$ .

**Step 4:**  $U_i$  computes  $M_2^* = H(VID_i || R_x)$  and check if computed  $M_2^*$  equals received  $M_2$ . If it does not hold,  $U_i$  stops the session. Otherwise,  $U_i$  now successfully authenticate S and use  $S_k = H(VID_i || R_x || T)$  shared session key with S for securing future communications.

##### d) Password change phase

In this phase, the user  $U_i$  inserts the smart card into device and inputs  $ID_i$ , original password  $PW_i$ , new password  $PW_i^*$  and  $R_x^*$ , where  $R_x^*$  is a new random



number generated by  $U_i$ . Then, the smart card computes  $B = H(ID_i || PW_i || R_x)$ ,  $B^* = H(ID_i || PW_i^* || R_x^*)$  and  $A_i = A_i \oplus B \oplus B^*$ . Finally, the values  $A_i$  and  $R_x$  stored in  $U_{iS}$ , smart card are replaced with  $A$  and  $R_x^*$ , respectively. Here the password  $PW_i^*$  of user  $U_i$  has been changed to a new password  $PW_i^*$  with one session.

## V. SECURITY ANALYSIS

In this section, we analyzed the security of the proposed scheme and shown that our scheme is secure against the following well-known attacks. The security of our proposed authentication scheme is based on the secure hash function and the CRT. In the following steps, we analyzed the security of the proposed scheme to verify that the specified security requirements [3] are fulfilled.

### a) Resistance to user anonymity attack

Suppose that the attacker intercepted  $U_{iS}$ , authentication messages. Then, the adversary cannot retrieve any static parameter from these messages, due to the CRT. Hence, the proposed scheme can preserve user anonymity.

### b) Resistance to offline password guessing attack

Suppose that a malicious legitimate attacker user  $U_a$  has got  $U_{iS}$ , smart card, and the secret information  $[H(\cdot), N_i, T$  and  $R_x$  can also be revealed under our assumption of the non-tamper resistant smart card. Even after gathering this information, the attacker has to at least guess both  $ID_i$  and  $PW_i$ , correctly at the same time, because it has been demonstrated that our scheme can provide identity protection. It is impossible to guess these two parameters correctly at the same time, and thus the proposed scheme can resist offline password guessing attack with smart card security breach.

### c) Resistance to stolen verifier attack

In the proposed scheme no sensitive verifiers corresponding to the users are maintained by  $S$ . Therefore, the proposed scheme is free from the stolen verifier attack.

### d) Resistance to user impersonation attack

As  $VID_i$  and  $N_i$  are protected by secure one-way hash function, any modification to these parameters of the legitimate user  $U_{iS}$ , will be detected by the server  $S$ . Because the attacker has no way of obtaining the values of  $ID_i$ ,  $PW_i$  and  $N_i$  corresponding to user  $U_i$ , he/she cannot fabricate the valid  $VID_i$  and  $N_i$ . Therefore, the proposed scheme is secure against user impersonation attack.

### e) Resistance to server masquerading attack

In the proposed scheme, a malicious server  $S^*$  cannot compute the correct message  $M_2 = H(VID_i || R_x)$  without knowing  $U_{iS}$ , valid  $VID_i$  and  $R_x$ ,  $S^*$  has to break

the secure one-way hash function to retrieve  $ID_i$ ,  $PW_i$  and  $R_x$  from  $H(ID_i || PW_i || R_x)$ . Therefore, the proposed scheme is free from server masquerading attack.

### f) Resistance to replay attack

Our scheme can withstand replay attack because the authenticity of authentication messages  $M_1$  is verified by checking the time variable  $T$ .

### g) Resistance to parallel session attack

If an adversary masquerade as legitimate user  $U_i$  by replaying a previously intercepted authentication message. The attacker cannot compute valid  $T$  because he does not know the values of  $M_1 = (ID_i || VID_i || R_x || T)^2 \bmod n$  corresponding to user  $U_i$ . Therefore, the resistance to parallel session attack can be guaranteed in our scheme.

### h) Resistance to mutual authentication

In our scheme user  $U_i$  computes  $M_2^* = H(VID_i || R_x)$  and verified with received  $M_2$ . If it hold,  $U_i$  authenticates the server  $S$  verification successfully and uses  $S_k = H(VID_i || R_x || T)$  shared session key with  $S$  for future communications.

### i) Resistance to forward secrecy

Based on the difficulty of the one-way hash algorithm, any previously generated session keys cannot be revealed without knowledge of the  $VID_i$ ,  $R_x$  and  $T$ . As a result our scheme provides the property of forward secrecy.

## VI. COMPUTATIONAL COST ANALYSIS

In this scheme we have taken 1.0 unit average run time for a single one-way secure hash function operation. The proposed scheme requires lower computation overhead with comparison to other schemes, which is shown in the Table 6 and the Figure 1.

Table 6 : Computational cost analysis

| Computational overhead/Scheme                    | A <sub>1</sub> | A <sub>2</sub> | A <sub>3</sub> | A <sub>4</sub> | A <sub>5</sub> | Our Sch. |
|--------------------------------------------------|----------------|----------------|----------------|----------------|----------------|----------|
| Computation overhead in the registration phase   | 5Th            | 5Th            | 3Th            | 3Th            | 2Th            | 2Th      |
| Execution overhead in the registration phase     | 5.0            | 5.0            | 3.0            | 3.0            | 2.0            | 2.0      |
| Computation overhead in the login phase          | 7Th            | 3Th            | 2Th            | 2Th            | 2Th            | 2Th      |
| Execution overhead in the login phase            | 7.0            | 3.0            | 2.0            | 2.0            | 2.0            | 2.0      |
| Computation overhead in the authentication phase | 11Th           | 9Th            | 5Th            | 5Th            | 5Th            | 5Th      |
| Execution overhead in the authentication phase   | 11.0           | 9.0            | 5.0            | 5.0            | 5.0            | 5.0      |
| Total execution overhead                         | 23.0           | 17.0           | 10.0           | 10.0           | 9.0            | 9.0      |

Schemes: A1: Mishra et al A2: Hao et al A3: Lee et al A4: Wen et al A5: Wang et al

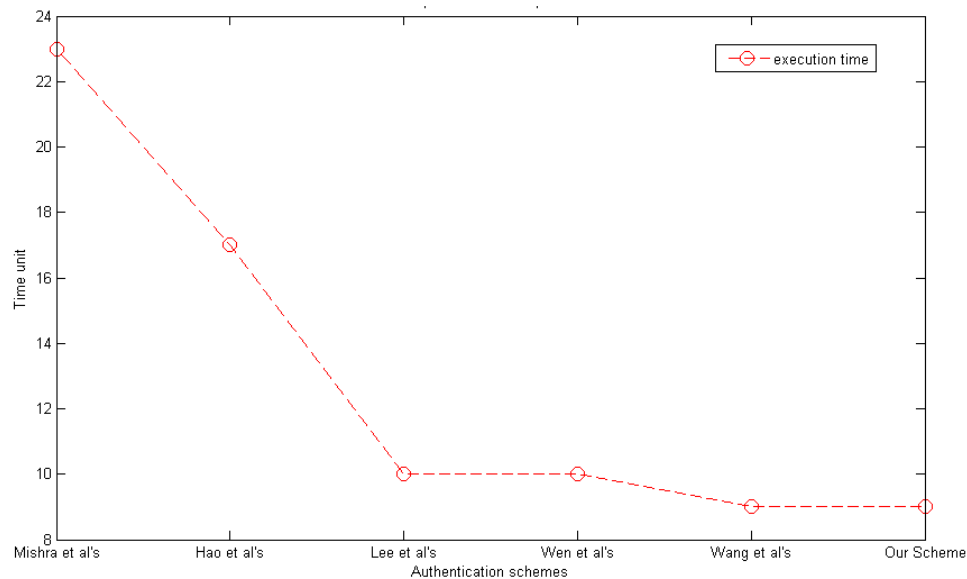


Figure 1 : Comparison of computational cost

## VII. CONCLUSION

Wang et al.s scheme was proposed for resolving security issues presented in previous work of [8]. However, we have discovered some security flaws in their scheme making it vulnerable to various attacks such as impersonation, server spoofing and denial of service attack. Moreover, the scheme cannot withstand password change flaws. As a remedy to the aforementioned weaknesses, we have presented an enhanced scheme, which overcome the vulnerabilities of [15] and [1] scheme.

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# Energy Efficient Multicast Routing in Mobile Ad Hoc Networks: Contemporary Affirmation of Benchmarking Models in Recent Literature

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**Abstract-** The Mobile Ad hoc Networks playing critical role in network aided communication requirements. The features such as ad hoc and open architecture based connectivity and node mobility are elevating the mobile ad hoc networks as much as feasible to deploy and use. The direct communication between any of two nodes in this network is possible if target node is in the range of source node. If not, the indirect communication took place, which is usually referred as multi hop routing. The multi hop routing occurs as either a unicast model (one source node to one destination node), multicast model (one source node to multiple destination nodes) or multiple casting (manifold unicast routing). In these routing strategies, provision of service quality in multi hop routing is a challenging task. The optimal quality of service in routing, magnifies the delivery ratio, transmission rate, network life span and other expected characteristics of the ad hoc routing. Among the quality service provision factors minimal energy conservation is prime factor, which is since the nodes involved in routing are self-energized and if discharged early then the route will be destructed that causes discontinued routing. The energy consumption is more specific in multicast routing, hence it is grabbing the more attention of the current research contributions.

**Keywords:** *multicast routing protocols, mobile ad hoc network (manet), energy efficient routing, tree based multicast route, mesh based multicast route, zone based multicast route, hybrid multicast route, residual energy.*

**GJCST-E Classification :** C.2.1 C.2.2 C.2.3



*Strictly as per the compliance and regulations of:*



# Energy Efficient Multicast Routing in Mobile Ad Hoc Networks: Contemporary Affirmation of Benchmarking Models in Recent Literature

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**Abstract** -The Mobile Ad hoc Networks playing critical role in network aided communication requirements. The features such as ad hoc and open architecture based connectivity and node mobility are elevating the mobile ad hoc networks as much as feasible to deploy and use. The direct communication between any of two nodes in this network is possible if target node is in the range of source node. If not, the indirect communication took place, which is usually referred as multi hop routing. The multi hop routing occurs as either a unicast model (one source node to one destination node), multicast model (one source node to multiple destination nodes) or multiple casting (manifold unicast routing). In these routing strategies, provision of service quality in multi hop routing is a challenging task. The optimal quality of service in routing, magnifies the delivery ratio, transmission rate, network life span and other expected characteristics of the ad hoc routing. Among the quality service provision factors minimal energy conservation is prime factor, which is since the nodes involved in routing are self-energized and if discharged early then the route will be destructed that causes discontinued routing. The energy consumption is more specific in multicast routing, hence it is grabbing the more attention of the current research contributions. In this regard this manuscript reviewed the contemporary literature and the significant contributions of energy efficient multicast routing strategies.

**Keywords:** *multicast routing protocols, mobile ad hoc network (manet), energy efficient routing. tree based multicast route, mesh based multicast route, zone based multicast route, hybrid multicast route, residual energy.*

## I. INTRODUCTION

Mobile ad hoc networks (MANET) [1] is one of the critical class of network aided distributed communication. The features such as dynamic connectivity, less infrastructure ability of node mobility enables to establish network aided communication in civilian environments such as army communication in battle grounds, natural calamities handling and social media sharing between hand held and mobile devices. The direct communication between any devices of the MANET is possible only if receiver is in the range of

sender. If receiver is not in the range of the sender, then the route can be established between sender and receiver by using the intermediate devices called nodes. The phenomenal growth in computer aided network communication demands instant access to any network in order to exchange digital data. The video conferences, digital data sharing between students in academic strategies, service search and information sharing in business enterprises and social media are the few examples to justify the demand of ad hoc network strategies. The constraints such as indefinite node density of a network, unpredictable mobility of the nodes, and other operational factors of a node such as egress and ingress capacity, residual energy levels compromised behavior of the nodes evincing that intermediate nodes selection to establish route between source and destination is a challenging task. Though the many contributions found in contemporary literature to establish optimal routes, they limited to one or two quality factors. Hence the quality provisioning in route discovery is still an open issue for current research domain. Multicasting is significantly sensitive to discover optimal routes, which since the load of transmission is significantly high and often intermediate nodes are necessarily transmit data to multiple nodes in order to transmit data to multiple target nodes. Hence the node life span is most critical to retain the multicast route to complete data transmission between one source to many destination nodes. Hence, this manuscript reviewed contemporary literature on energy efficient multicast routing strategies.

The paper is organized as follows. Section 2 describes nomenclature of the multicast routing strategies. Section 3 is the contemporary affirmation of the benchmarking energy efficient multicast routing models found in recent literature. Section 4 summarizing the manuscript contributions.

## II. NOMENCLATURE OF THE MULTICAST ROUTING STRATEGIES

The categorization of the multicasting routing strategies are usually based on the topologies such as tree, mesh, zone and hybrid topologies are used to build multicast routes. Further these multicast routing models under divergent topologies are categorized

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based on service provision strategies such as reliability, bandwidth usage, delay, bandwidth delay and power aware or energy efficient.

The tree based multicast routing protocols of these categories are subcategorized as source-rooted and core-rooted schemes according to the roots of the multicast trees. The source node acts as root node of the tree and maintains the topology related information and addresses of all nodes involved in multicast route, hence the model is evincing the constraints such as process, route maintenance and traffic overheads. The other category of tree based multicast routing models are core-rooted models, which is the set of subtrees and each sub tree behaves as source rooted trees. Each subtree is formed by a node involving multicasting as root node. The core-root tree based multicast routing strategies are optimal than source-root tree based multicast routing strategies but route stability is a questionable factor. Though the tree based multicast models are established but frequent destruction of the route due to node mobility is quite often that abandons the data transmission till the reformation of the tree happens.

The sub categories of the mesh based multicast routing models are also based on either core or central nodes, which are as similar as source and core root based multicast trees. But mesh based multicast routing

models are node mobility resistant. Hence the route destruction due to node mobility is least significant in mesh based unicast routing models.

The multicast models of the zone based topology partitions the network region as virtual zones. Further the nodes of each zone are used to core-root tree or core-point mesh. The node that considered as core-root or core-point is the zone head. The inter zone communication is done through the zone heads. The considerable advantage of the zone based multicasting models is, the node mobility needn't be tracked, instead, notifying zone change of the node is sufficient. The visible constraints of these zone based multicasting are overhead of zone formation, route discovery and route maintenance.

The hybrid models of multicast routing protocols are the combination of either all of tree, mesh and zone topologies or any of two.

The other considerable category of multicast routing protocols are hierarchical models. This category is often fall under hybrid models. This multicast routing protocols are set of connected multicast routing protocols of one or more of the types called tree, mesh and zone based topologies. The constraints specific to these topologies can be evinced even in hierarchical models.

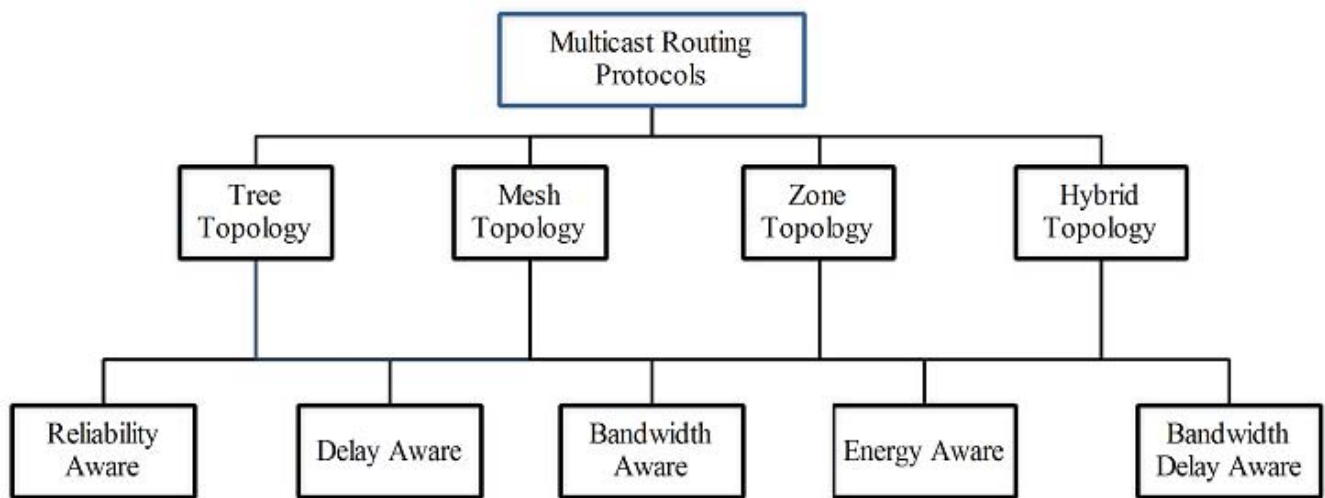


Figure 1 : Nomenclature of the multicast routing strategies for mobile ad hoc networks

The classification of the multicast routing strategies based on the tree, mesh, zone and hybrid topologies explores issues in multicast routing specific to reliability, delay, bandwidth usage, bandwidth delay, link stability and energy usage.

The context of this manuscript is reviewing energy efficient multicast routing protocols, hence the benchmarking energy efficient multicast routing protocols that fall in either of the category explored and found in contemporary literature are informed in detail in following section.

### III. CONTEMPORARY AFFIRMATION OF BENCHMARKING QOS MULTICAST ROUTING PROTOCOLS

This section explores the some of the benchmarking energy efficient multicast routing models found in contemporary literature.

The minimum energy-per-bit for multicasting Wu et al., [2] defined a coding aware multicasting with minimal energy consumption. The objective of the

model is to minimize bit level energy consumption. In regard to this network coding is adapted to in multicast routing. The empirical analysis of the model claimed the significance of the network coding to achieve bit level energy consumption to be minimal and construction of multicast tree that consumes overall energy as much as low. The considerable constraint is that if transmission distance increased between nodes then the energy consumption is complemented and often route destruction evinced if noise found during transmission.

Guo et al., [3] proposed an energy efficient multicast routing model for Wireless ad hoc networks with Omni antenna based neighbor node communication strategy. In case of source initiated multicast traffic, power saving capability achieved through the usage of adaptive antennas. In order to select nodes those transmit data as radio frequency with minimal usage of the energy, the mixed integer linear programming (MILP) is adapted here in this model. The experimental study noticed that, this model is highly adaptable only for low and midsize networks to achieve minimal energy consumption. The constraints observed for the model [2] even found in this model.

A distributed minimum energy multicast model [4] proposed for mobile ad hoc networks with nodes using Omni directional antennas. The objective of the proposal is to minimize the energy usage for radio frequency transmission. In order to build an energy efficient multicasting tree, this model is considering the factors such as managing distinct levels of energy usage, balancing the flooding in multicast tree and multicasting tree maintenance. The overall routing process is in two dimensions and those are achieving minimal energy consumption and continuous reformation of the multicast tree to avoid the route failure due to node mobility. The energy consumption in regard to radio frequency (RF) transmission is estimated by the distance between source and destination Omni directional antennas. The experimental study indicating that the model is out performed in Manets with low mobility nodes. The significant constraint of the model is that it is not considering the route lifespan (residual energy is not assessing), which causes often route destruction, also not considering the signal to noise ratio, hence the energy saving is not optimal if noise found in RF transmission medium.

Li et al., [5] proposed an Energy efficient multicast routing in ad hoc wireless networks that equipped with Node-Join-Tree, Tree-Join-Tree and directed Steiner tree based multicast tree building algorithms. An approximation algorithm is used to overcome the NP-Hard problem of the multicast tree formation [6]. The greedy approaches NJT (Node-Join-Tree) and TJT (Tree-Join-Tree) are used to perform optimal node joins to build multiple sub trees and optimal sub tree joins to build multicast tree respectively. Each neighbor node verification and each

sub tree verification are the critical computational constraints observed in NJT and TJT respectively. In order to overcome this Steiner tree method is used to achieve greediness in node verification and subtree verification in respective NJT and TJT. The empirical study evincing optimal performance of this model in Manets with nodes with less transmission distance between them. The constraints noticed for models [2][3] are noticed even for this model.

Gua et al., [7] extended their earlier contributions [3][4] with basic energy-efficient multicast (BEEM) and distributed maximum lifetime multicast (DMLM), for increasing the lifetime of the network. Distinct energy usage scheme is adopted from [4], and node location identification is done by positioning system. The experimental study compared the performance of BEEM, DMLM and ODMRP in the context of maximal lifespan of the network. The comparison evinced that DMLM increased the network lifespan through minimal energy usage that compared to BEEM and ODMRP and the network lifespan observed under BEEM is much better than the ODMRP. The computational and process control overhead also found high in the order of DMLM, BEEM and ODMRP, which is considerable constraint of the proposal.

Shafigh et al., [8] proposed a mesh based multicast routing that selects nodes based on their residual energy. In order to this the proposed model is using fuzzy reasoning to segregate nodes with low residual energy and high residual energy. The proposed models is on demand multicasting model that uses fuzzy reasoning to select optimal nodes in order to build mesh based multicast route. The fitness function of the fuzzy logic is assessing the residual energy levels of the nodes capable to involve in route establishment. The empirical study compared the values obtained for metrics (such as PDR, control overhead, end-to-end delay) with the values obtained for ODMRP, which are evincing the phenomenal advantage of this model over ODMRP. The constraints observed are downfall in packet delivery ratio and energy usage is complimented against increase in control packet transmission, which is specific to dense networks.

Xiang et al. [9] proposed a multicast routing protocol, which is labeled as efficient geographic multicast protocol. This protocol builds zone based bidirectional multicast tree that dilutes the complexity of route discovery and maintenance. In order to this the overall network range is partitioned into virtual zones such that direct communication between any two nodes in a zone is possible. Each zone is equipped with a zone head and if node want to communicate to a node that exists in other zone then the source node seeks zone head role in order. Since the data transmission is zone level but node level, hence route maintenance is phenomenally very low, since the protocol rather monitoring the node mobility, it handles the zone

change of the nodes due to their mobility. The transmission overhead is shared between all member nodes of the zone, hence transmission overhead also be found very low. The empirical study that compared PDR, control overhead and delay observed for this protocol with other benchmarking model called ODMRP and SPBM [10]. The empirical study results evincing that this model performance is optimal than other two. The minimal energy consumption and maximal residual energy are not considered to select a zone based multicast tree, which is a significant constraint to achieve maximum network lifespan.

Tavli et al., [11] devised a cross layer architecture based protocol for multicasting with minimal energy consumption, which is using time reservation strategy in multicasting. This protocol also balancing the other QoS factors that includes spatial reuse. This architecture used in this protocol is the combination of multicast mesh and multicast tree structures, where the multicast tree is active and that surrounded by the passive multicast mesh. The passive multicast mesh helps to handle the broken links in active multicast tree efficiently. This protocol is an extension to the earlier model called multi hop time reservation using adaptive control for energy efficiency [12]. This model switches idle nodes to sleep mode and also surpasses the recurrent data transmissions in order to achieve minimal energy conservation. The experimental study evincing the minimal energy consumption and delay that compared to other benchmarking model called ODMRP [13]. The considerable constraint this model is complex cross layer architecture.

Fareena et al [14] proposed a multicast routing model that limiting the overall energy consumption by selecting nodes based on their mobility speed and direction. This is a cross model of mesh and tree architectures. The density of neighbor count also considered in order to select nodes for multicast route building. The metrics node mobility speed and direction, neighbor count and residual energy of each node are used as critical factors by this model to devise energy efficient multicast route. Switching the idle nodes into sleep state is also boosting this model to minimize the energy consumption. The empirical study signifies that the model is optimal as the packet delivery ratio is high, energy consumption and end-to-end delay is low that compared to the ODMRP. The constraints are, control flow overhead and process overhead. The overall energy consumption observed for data packets and control packets transmission is not optimal.

Nasab et al. [15] proposed a multicast routing strategy to achieve minimum energy consumption. The devised model is using PSO (particle swarm optimization) [16] technique to discover the route with maximum residual energy, minimal energy consumption and end-to-end delay. The initial multicast tree that includes all nodes in the network is built by prims

algorithm and further optimal multicast tree is discovered by applying PSO. The nodes involved in initial tree are considered as particles with the properties called mobility speed, position and direction of mobility. The PSO traverse these particles in order to select qualified particles. Further the optimal nodes are being selected from these qualified nodes through the fitness function, which is assessing the node fitness by their residual energy levels, energy consumption ratio. The experimental study evinced that the PSO model is the best fit model to derive energy efficient multicast tree that compared to traditional GA approach. The computational overhead observed for PSO is considerable constraint of the model, which is also lagging to achieve energy efficiency in noisy channels (signal to noise ratio is low in discovered multicast tree). Varaprasad et al., [17] proposed a multicasting protocol that aimed to achieve maximum link stability and minimal energy consumption. Tis proposed model relied on two factors called residual energy of the battery and maximal relay scope. The establishment of route with the nodes having high residual energy and high relay capacity evinced reliable communication. This model is not considering the minimizing the energy consumption to enhance the network life span, which is found to be critical constraint of this model and other constraint is process load due to additional control traffic.

Lu et al., [18] proposed a multicast routing model, which is to achieve minimal energy consumption and minimal end-to-end delay. The route discovery strategy is an evolutionary model that uses genetic algorithm in route selection. In order to obtain the optimal multicast tree path, the proposed model is applying genetic evolutions on possible multicast trees discovered in route request phase. The cost function estimating the energy consumption ratio and end-to-end delay in order to notify the fitness of the resultant multicast trees of the GA crossovers. The empirical study of the model evinced the discovery of optimal multicast tree with minimal energy consumption and least end-to-end delay. The critical constraint of the proposal is computation overhead, since the genetic algorithm process complexity is not linear, hence the process complexity is complimented if network size is increased. The other constraint of the model is, it is not considering the overall multicast tree lifespan as a factor route selection.

The review of contemporary multicast routing with minimal energy consumption and maximal network lifespan models was done here in this section. The review evincing that the all of these models are found to be fit under the specific factors considered. All of these models are divergent at multicast route discovery process in order to achieve minimal energy consumption and maximal network lifespan. The common constraints of these models observed is limiting the performance if transmission influenced by

noise, computational overhead observed in route discovery phase and process overhead observed at route maintenance phase.

#### IV. CONCLUSION

This manuscript reviewed the energy efficient multicast routing strategies found in recent literature. The review evinced the context of the multicast routing protocols and the strategies followed in order to achieve energy efficient transmission and limits. The multicast routing models reviewed were fall in either of the routing topologies called tree, mesh, zone and hybrid topology, but common objective of all these protocols is multicast routing under minimal energy consumption and maximal network lifespan. The review of these models reveal that scalability issues such as compatibility to dense networks, nodes with high mobility and transmissions under noise in fluences are not considered by most of the approaches. The assessment of the performance of all these models are at limited extent of QoS factors and heterogeneous factors of mobile ad hoc networks such as all-to-all multicast routing, many-to-many multicast routing and multiple unicast routing. Hence it is obvious to notify that a vast research scope to devise energy efficient multicast routing protocols.

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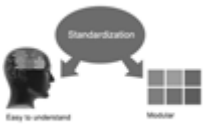
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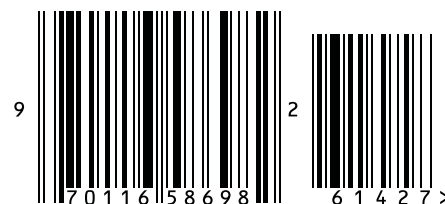
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