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Contents of the Volume

- i. Copyright Notice
- ii. Editorial Board Members
- iii. Chief Author and Dean
- iv. Table of Contents
- v. From the Chief Editor's Desk
- vi. Research and Review Papers
- 1. Automatic Cover Letter Generator System from CVs (ACLGS). 1-7
- 2. Software Agent Reusability Mechanism at Application Level. 9-12
- 3. Development of Material Requirements Planning (MRP) Software with C Language. 13-21
- 4. Modified MEWMA Control Scheme for an Analytical Process Data. 23-33
- vii. Auxiliary Memberships
- viii. Process of Submission of Research Paper
- ix. Preferred Author Guidelines
- x. Index



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Automatic Cover Letter Generator System from CVs (ACLGS)

By Hasan Al Shalabi, Rafeeq Al-Hashemi & Tahseen A. Al-Ramadin

Al-Hussein Bin Talal University

Abstract - The proposed system comes to overcome the problem of writing a C.V. Cover letter which requires some linguistic skills and a lot of experience in this domain in addition to its cost in term of time and money. The ACLGS solved the problem by developing an auto generated cover letter based on the user C.V. regardless its format. The ACLGS takes the user C.V. and the carrier announcement that contains the job requirements and the skills needed as input. The system solved the problem by building a template as a frame of slots each slot contains a required skill for the job; the system extracted the required information from the user CV and fills the slots in an automatic fashion. The ACLGS applies the Information retrieval methodologies to extract information with intelligence trends to mine the user C.V. in terms of part of speech tags and some of indicator words that the system used to recognize the proper data and required information. In addition, the system specifies a set of features for each slot in the form. The user C.V. clustered into a number of categories (e.g. Personal information, Qualifications, Experience, Skill, Rewords, and Publications). These categories are used as additional features for the extracted information and data. The system took into account the problem of sentence coherence and improves the output document through using pre-specified sentences that inserted into the output document based on the extracted information discovered from the user C.V.

Keywords : cover letter, curriculum vitae, information retrieval, information extraction. GJCST-C Classification : H.3.3

AUTOMATIC COVER LETTER GENERATOR SYSTEM FROM CVS ACLGS

Strictly as per the compliance and regulations of:



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Hasan Al Shalabi^a, Rafeeq Al-Hashemi^o & Tahseen A. Al-Ramadin^P

Abstract - The proposed system comes to overcome the problem of writing a C.V. Cover letter which requires some linguistic skills and a lot of experience in this domain in addition to its cost in term of time and money. The ACLGS solved the problem by developing an auto generated cover letter based on the user C.V. regardless its format. The ACLGS takes the user C.V. and the carrier announcement that contains the job requirements and the skills needed as input. The system solved the problem by building a template as a frame of slots each slot contains a required skill for the job; the system extracted the required information from the user CV and fills the slots in an automatic fashion. The ACLGS applies the Information retrieval methodologies to extract information with intelligence trends to mine the user C.V. in terms of part of speech tags and some of indicator words that the system used to recognize the proper data and required information. In addition, the system specifies a set of features for each slot in the form. The user C.V. clustered into a number of categories (e.g. Personal information, Qualifications, Experience, Skill, Rewords, and Publications). These categories are used as additional features for the extracted information and data. The system took into account the problem of sentence coherence and improves the output document through using prespecified sentences that inserted into the output document based on the extracted information discovered from the user C.V.

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I. INTRODUCTION

he cover letter is a letter usually attached to the applicant's CV to summarize the information related to that particular job. It reflects the applicant's personality in a positive way and includes basic information about his/her expertise and qualifications. It should reflect his/her enthusiasm and competency for the job. The content of the letter should be complementary to the CV, translation and adaptation-oriented information, biography realism in addition to the personal touch. A well-constructed letter often motivates the reader to go through the entire content of the CV. Yet, such a well-organized letter requires significant time and effort to have it in an acceptable shape.

A typical CV does not allow for prolonged and detailed sentences or paragraphs. While, on the other hand, a cover letter could be employed to deliver detailed and specific information signifying the applicant's capability and interest about the issue for which the letter has been written.

Rule-based information extraction is a twostage process: learning rules and application rules for target information. Information extraction rules are mainly used to indicate the target information and the context constrained environment, such as CIRCUS [7.] extraction rules of the system concept nodes, each concept node specified rules trigger words, activation conditions, hard constraints, soft constraints and the position of the target information. The trigger word is used to indicate that the target information context must contain keywords, language patterns of activation conditions specified must meet rigid constraint is mandatory semantic constraints, soft constraints is a semantic restrictions, but this restriction is violated. Concept node later AutoSlog [1], CRYSTAL [3].

LIEP [5], PALKA [2], RAPIER [6] and other extraction rules of the system have a similar end. Shows that as long as the text to meet the rules specify constraints, namely to achieve the purpose of information extraction. Therefore, the learning of the rule itself and extracting key information, information extraction is relegated to a secondary process. Rules epitomize the fusion of domain knowledge and linguistic knowledge; build process of the knowledge acquisition process. According to the manual involvement of the different, the building is divided into three types: the manual preparation of knowledge, knowledge of the semi-automatic acquisition and knowledge rules automatically obtain.

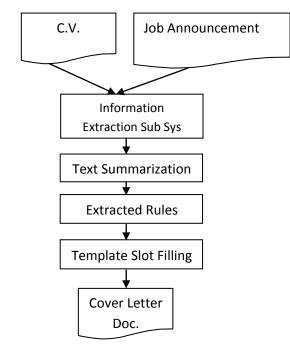
The proposed system takes into consideration many parameters to improve the results in additional to the applicant C.V. the system based its results on the institutes announcement and the job position. The new system gave different results with different sentences which make the output dynamic and not limited to a single template as other research papers. The ACLGS follows the Information retrieval methodologies to extract information with intelligence trends to mine the user C.V. in terms of part of speech tags and some of indicator words that the system used to recognize the proper data and required information

II. **Proposed System**

The ACLGS is a new approach of creating cover letter based on processing two documents: the user

Author : College of Information Technology Al-Hussein Bin Talal University.

C.V. and the job announcement. This research paper uses different methods to get best results; it uses mainly information extraction and text mining techniques. Figure (2) illustrates the proposed system.



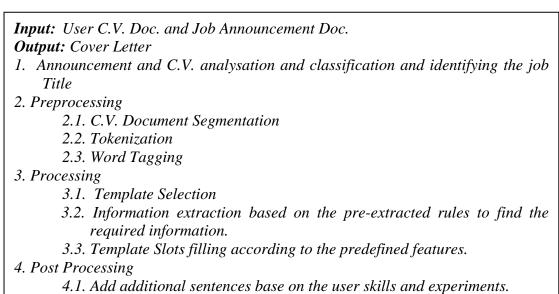
Two types of cover letters the system serves, one for a faculty position and the other for post-doctorate degree.

A classifier used to identify the job title using the announcement and assign a class for it. Based on that class, the system selects the best template for the cover letter. The classifier builds its decision based on a set of keywords that identifies the appropriate class.

We use the CTS Tagger [8] subsystem to identify the part of speech tag (P.O.S). The P.O.S is a significant feature that the system used for information extraction in additional to other features.

The algorithm starts by pre-processing the input documents as a required step in order to get good results. This step partitions the C.V. into many segments as in the algorithm (1) below.

Figure 2 : The proposed system diagram



5. End.

Proposed System Algorithm (1):

The segments of the C.V. are (Personal information, Qualifications, Experience, Membership, Publications, Supervision, Awards and Patents). We know that there is no unified C.V. template but the system identifies these parts based on a set of features .Table (1) lists all the subjects that will be searched in the C.V. and the synonyms that may be written.

No	Keywords	Synonyms			
1.	Contact	Contact information Personal information - Name and Date & email etc.			
2.	Qualification	Academic qualification - Ph.D., MS.C. , BSc Education			
3.	Experience	Academic Experience, Teaching Experience Work History, Employment Part time, Fields of interest			
4.	Publications	Research, Scholarship Patent, Journal Articles			
5.	Awards	Honors, Professional memberships/affiliations. Conferences & courses Honors/awards/fellowships/grants: Professional qualifications			

Table 1 : Synonyms

Two more steps implemented in the preprocessing step are the tokenization and word tagging. Based on the classifier the right template will be

selected. The template contains many slots with identified features that will be filled by the system as in figure (3) for Post-Doctorate.

Date: << Date >>

Location: << location >>

I am writing to apply for the postdoctoral position at your department beginning in $\langle Var1 \rangle \rangle$. I am currently in a doctoral program in the department of $\langle Var2 \rangle \rangle$ at the $\langle Var3 \rangle \rangle$, and fully I expect to complete my PhD degree by $\langle Var4 \rangle \rangle$. I am extremely interested in obtaining this position.

As a PhD student at << Var 3>> , I taught for several years a variety << list 1>> courses and I made progress in my researches, and I believe my background would be useful in your department. My doctoral dissertation was conducted under the direction of Prof. <<Var 5>> in the area of << Var 6>>

I would appreciate the opportunity to interview and look forward to hearing from you in the near future. I have enclosed **the C.V**. If you require any additional materials or information, I would be happy to supply it. Thank you very much for your time and your consideration.

Sincerely yours,

<< name>>

Figure 3 : Post-Doctorate Template Example

The following table (2) displays the rules and the features used to extract the required information in order to place it in the blanks (Slots). A set of P.O.S patterns was extracted by examining the C.V. These patterns used to be one of the features that help in extracting the required items from the C.V. Where the number of the C.Vs used in the dataset is about 100 document form the field of Academic Faculty members especially in the domain of Information technology.

Variable name	Description	Taken from	INDICATION WORDS In C.V.	P.O.S Tag		
Date	Date	Computer date	-	-		
Location	Address	Careers	-	-		
<< Var 1>>	Semester	Careers	Beginning	/NN /IN /NNP /CD		
<< Var 2>>	Dep. Name	C.v // contact information	Department of	/IN /DT /NN /IN /NNP		
<< Var 3>>	Univ. Name	C.v// contact information	At the University	/IN /DT /NNP /IN /NNP /NNP		
<< Var 4>>	Semester	C.v //academic qualification	Semester/ Course/ follow by one of the semeste name(first, second,etc.)	/PRP /VBP /TO /VB /PRP\$ /NNP /NN /IN /NNP /CD		
<< Var 5>>	Prof name	C.v//academic qualification	Supervisor / Supervised by/ / Under the direction of	/PRP\$ /JJ /NN /VBD /VBN /IN /DT /NN /IN /NNP /NNP		
<< Var 6>>	Dissertation area	C.v// academic qualification	Domain/ Area / Field / Specialization	/IN /DT /NN /IN /DT /NN /IN /JJ /NN /VBZ ./.		
<< list 1>>	Courses name	CV// Experience	Taught courses/ interesting courses/	/NN [/NN]*		
<< name>>	Applicant's Name	CV // Contact information	Name/ Applicant/	/NNP [/NNP]*		

Table 2 : Slots features and rules

One more feature adapted to extract the required information which defines the set of keywords that are the indicator of the existing of important words in the C.V. these keywords called as indicator words as shown in table (2). The indicator words are frequently written before the required information that the system tries to extract.

The algorithm takes into account the calculation of the user (Faculty member) experience years. In some C.Vs the user didn't write the total experience years so the algorithm extract that value by accumulating the years of experience. The algorithm starts by calculating the period of each job especially that the users wrote the experience of each job in the C.V. So we find the period of the experiment by subtracting the second value from the first one, and finally we accumulate all these periods

The algorithm takes into consideration the information exists in the carrier announcement document that much the user information and used as a feature to be searched in the user C.V. One of the data that the algorithm looks for is the University or College and department name to be inserted in the beginning of the Created Cover Letter and the job title that can be extracted by the set of features that described in table (2) above.

The system provides a set of sentences for each paragraph in the cover letter. These sentences clustered into three categories for the three paragraphs that cover letter consists of. The system selected randomly by the system in order to make results vary as much as possible as in table (3).

to give the total number experiment years.

First Paragraph Sentences I am interested in a (type of work) position in your (company, agency). I believe that my interest, experience and

education support my ability to learn and produce in this area.

I am interested in applying for a (teaching position, opportunity in your school district). I will be/am certified to teach (subject or grades).

Second Paragraph Sentences

My educational background, experience in this area, and my sincere interest in the challenges offered support my belief that I have the qualifications you seek.

During the past four years of college, I have developed through education and experience a strong desire to find an entry level opportunity in (work area). I feel that I am equipped with educational preparation and valuable

experience that supports my qualification for a career in _

A position with your <institute> would provide the kind of opportunity and challenge I seek.

Third Paragraph Sentences

Enclosed is a resume describing my employment and educational background for your consideration.

Enclosed is a resume describing my education and employment background in support of my qualifications for your staff opportunity.

If you will review the enclosed resume you will see that I have had a strong education and varied experience which is compatible with (supportive of) the requirements of this position.

I would appreciate an opportunity to discuss my qualifications in an interview at your convenience. I look forward to hearing from you.

Because of my strong research and teaching background, I am confident I would contribute immediately to the

strong reputation that your department already enjoys.

I look forward to hearing from you.

Because of my graduate training, my doctoral research, and my teaching [experience/interests], I am uniquely qualified for this job.

Table 3 : Sample Set of Stored sentences [9,4]

In the post-processing step we try to give better results and put the final cover letter in different formats and content, the algorithm adds some sentences that depend on the C.V. and the announcement. Each user has different skills and may have different highlights in his C.V. according to that the algorithm will select a suitable sentence from the database to fit in.

III. Results

The following is an example of a cover letter generated by the system for a faculty member applicant as in figure (4).

<<u>Address: P.O. Box 20, Ma'an, Jordan</u>

Mobile telephone: + 962 799889571 Email: Rafiq_alhashimy@yahoo.com

Website: ahu.edu.jo

<29.12.2012>

Application for position of Faculty member Dear Chair of <u>Computer Science Department</u>,

I would like to be considered for the position of [Faculty<u>member</u>] in [<u>computer science department</u>] at the [University of Northern Iowa].

My educational background, experience in this area, and my sincere interest in the challenges offered support my belief that I have the qualifications you seek. My research and teaching interests fit extremely well with the requirements of this post and with existing members of staff. I have extensive teaching experience in the *<department of computer science> <at Al-Hussein Bin Talal University>*, most of it focused on *<* Artificial Intelligence, Distributed Database, Computation Theory, Computer Technology, Network Security, Image Processing, Genetic Algorithm, Software Project Management, Object Oriented Programming, Logic Design. I have taught programming languages such as C++, Java, PROLOG, and Visual Basic. Net.>. My work provides a useful link between *<* Artificial Intelligence, Data Mining *>* in the department, encouraging research and teaching collaborations. I have more than 4 years of Experience in administration (as Dean, Vice Dean, and Chairman), I am on several committees, Reviewing Activities.

I was awarded my Ph.D. by the 10- Nov- 2006 at Technology University in< department of computer science>. My thesis was entitled < Automatic Keyword Extraction Using Combined Methods >. Samples of My publication are as follows: < Data Gathering for Periodic Sensor Applications, Text Summarization Extraction System (TSES), developing a Virtual Laboratory for a Communication and Computer Networking Course >

Enclosed is a resume describing my employment and educational background for, I would appreciate an opportunity to discuss my qualifications in an interview at your convenience. I look forward to hearing from you.

Yours sincerely, <<u>Rafeeq Al-Hashemi></u>

Figure 4 : Faculty member position cover letter Generated by the system

IV. Conclusions and Future Works

The need of cover letters, the difficulties that the applicants faced and the cost of writing the cover letter by experts motivated us to design a system to auto generate the cover letter. ACLGS takes into consideration many parameters to improve the results in additional to the applicant C.V. the system based its results on the institutes announcement and the job position. The new system gave different results with different sentences which make the output dynamic and not limited to a single template as other research papers. For future work, to improve our proposed system in order to get more valuable and accurate outputs by adding more sentence database to generate completely different output. And implementing the research on Arabic language.

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Software Agent Reusability Mechanism at Application Level

By Deepti Aggarwal & Aarti Singh

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Abstract - The usage of already available software agents plays a vital role in the process of development of application specific software. This reuse also leads to software development cost benefits as well as may ensure the timely delivery. This paper lay down an idea that for reusing reactive multi-agents systems two factors are to be considered i.e. (i) abstract description of agent in application independent way and (ii) reuse of such systems through adoption in specific domain[25]. For such a development main requirement is the effective reusable software abstractions. In present study the role of abstraction level and dependence level is analyzed for intelligent agents.

Keywords : software reuse, software agents, software abstraction.

GJCST-C Classification : D.2.13



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Software Agent Reusability Mechanism at Application Level

Deepti Aggarwal^a & Aarti Singh^o

Abstract - The usage of already available software agents plays a vital role in the process of development of application specific software. This reuse also leads to software development cost benefits as well as may ensure the timely delivery. This paper lay down an idea that for reusing reactive multi-agents systems two factors are to be considered i.e. (i) abstract description of agent in application independent way and (ii) reuse of such systems through adoption in specific domain[23]. For such a development main requirement is the effective reusable software abstractions. In present study the role of abstraction level and dependence level is analyzed for intelligent agents.

Keywords : software reuse, software agents, software abstraction.

I. INTRODUCTION

he reuse of software components refers to the process of using software artifacts for the development of new software. Since the evolution of computational systems, the reuse of software is in practice. Reuse of the already existing code to develop new software or developing the software that can be reused is one of the prominent areas of research. The present study mainly focuses on the intricacies involved in reuse of multi agent software systems.

A **software agent** is a computer program that symbolizes a user. It implies that an agent has the capacity to make the appropriate decisions.

Using software agents for Domain-oriented component design method is a newly proposed reuse approach in software engineering and it starts from the process of acquiring business knowledge within a common application domain. After having the knowledge of the application domain, a collection of business logic is mapped into components which can be reused in the future deign. This method increases the functional completeness of the software component and makes it reusable to a lower extent. Since the business requirements of different organizations are diverse and are changing, so a reusable knowledge base that can be adaptive and flexible are yet to be provided by current domain component design methods. In the following section the major design issues of the reusable software components are addressed.

II. Design Issues

The major issues to be considered in the development of agent-based software systems include [3], [11], [12].

- Scheduling of tasks and their synchronization
- Prioritization of tasks by the agent
- Assignment of tasks by the agents
- Representation of agents in different environments, and storage of their internal state
- For heterogeneous platform what are the Behavioral changes of the agents
- How message passing can be facilitated and communication can be established
- Usage of hierarchies of agents

Apart from the above stated issues following issues are critical issues in reusability of the software agents:-

- Usage of software agents on diverse platforms
- Sharing or reconstructing ontology for software agents being reused

III. Software Abstractions

The abstraction of software artifacts has to be used in every method of software reuse. A software abstraction is high-level, in the sense that attributes corresponding to one or more realizations of facts in more detailed level are represented. Some attributes describe what and how abstraction is done [19]. For the clear understanding, comparison and selection of appropriate software artifacts, the small abstractions are needed and these abstractions can be used in reuse process. The clear understanding of user interface has to be there so that a set of software artifacts can be composed and this should be depicted in the abstraction specification. Every artifact plays an important role in application development and it may not be concluded that the final deliverable i.e. the software product satisfies the user requirements or not.

An abstraction is composed of a fixed, a variable and a hidden part. Only the fixed and variable part is visible in the abstraction specification. The fixed part represents the invariant features and the variable part symbolizes the variable features of the abstraction. The hidden part consists of the realization details.

The *cognitive distance* is the measure of the effectiveness of the reuse technique. It is the effort

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required to transfer software system from one phase to another in terms of intellect. The goal of the reuse technique should be to minimize the cognitive distance between the abstraction and the final software product. To minimize this distance the abstraction specification should more specifically represent the abstractions which are used for application domain. Finally the mapping of the specifications should be made partially or fully automated [10].

IV. Multi-Agent Application Engineering

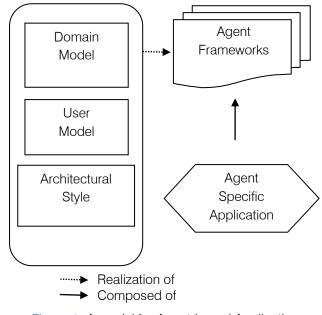
"Multi-Agent Application Engineering" is a domain oriented research towards reducing software complexity and increasing productivity. This can be accomplished via techniques and tools that aid software reuse in Multi-Agent Software Engineering.

In Application Engineering, the software abstractions that can be reused are created. Application Development is the process of developing domain specific applications using software abstractions that can be used again and again [12].

In the following section the model for developing agent based application specific software is presented. A set of activities and various tools and libraries that can be used is also discussed for developing high level software abstractions.

a) Developing Multi-Agent Specific Applications

A multi-agent specific application is made using the constitution of a group/assortment of reusable agent frameworks available in the library of the development environment as shown in Figure 1. These frameworks are realizations of high-level software abstractions in the library.





Particular requirements of a multi-agent specific application are used, to map the specification level of a domain model into a realization satisfying such needs. The realization should have associated a set of frameworks, which are agent-based solutions to those requirements. Requirement analysis in Agent-based Application Development should also consider particular preferences of users of the multi-agent specific application. Therefore, these user profiles are used to map the specification level of a user model into a realization satisfying these preferences or user needs. The realization should have associated a set of frameworks, which are agent-based solutions to those needs. The choice of mapping to select best frameworks depends on the fact that which particular style of agent architecture is to be used for the design.

b) Developing High – Level Software Abstractions

The reusable agent-based software abstractions are illustrated and described considering their level of abstraction and their dependence level from the application or user domain: domain models, user models, agent-oriented architectural styles, agentoriented design patterns, agent-oriented frameworks and software agents.

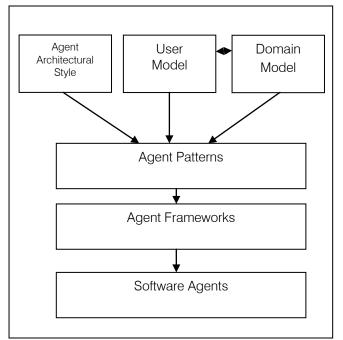


Figure 2 : Agent Based Application Development Process

c) Requirement Analysis

The next phase is to extract the requirement specifications of the domain of the application. The application domain, the user model and the interactions of domain model and the user model result in a reusable software product.

The domain model specifications are depicted at a high level of abstraction. This model represents the

conception of problem. The language represents the definitions necessary for elements, processes and their relationships in the system. The user model specifies the needs and requirements of the end user.

d) Design of the Model

The outcome of the design phase is the reusable design attributes of Agent – Based Application Engineering. It consists of the agent based architectural styles, software patterns and the frameworks.

Architectural style is the set of designing rules which will specify the type of elements and coupling which can be used to constitute the system and subsystems.

The software pattern identifies and specifies the problems can commonly occur at conceptual and architectural level. These problems generally originate from architectural styles being used, domain model and the user model. A software pattern has a set format so that it can be easily propagated. This format asserts the problems that have been depicted by the pattern and forces the action to be taken to resolve the problems. For making use of such pattern there should be a framework that validates the pattern and provides a probable solution to the problem. If it was previously used in some application, that has to be mentioned in the framework. Some agent based software patterns have already been proposed that can be used at architectural level or later stages in the agent design [2, 17]. The basic design guidelines are provided by the architectural styles and the agent patterns so that agent oriented frameworks can be developed [3, 5, 20]. The participating agents are chosen from the bank of agents which facilitates domain dependent or domain independent functionality.

V. Conclusion

A new horizon of reuse based software engineering using agent paradigm has been introduced. With the introduction of one more layer of abstraction at the software level, the present study may be used for the development of reusable software components across various platforms. Thus it proposed an effective model for agent based reuse.

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Development of Material Requirements Planning (MRP) Software with C Language

By Md. Saiful Islam, Md. Mahbubur Rahman, Ripon Kumar Saha & Abu Md. Saifuddoha

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Abstract - Now a day's a number of manufacturing firms in developing countries do not practice affordable, efficient and user friendly inventory management tools which has been identified as a major cause of high inventory cost for adequate planning. This study focuses on the development of Material Requirements Planning (MRP) software with programming language C that can be used by the local industries for inventory management in a job shop manufacturing environment. An algorithm has been developed to understand the MRP processing logic. A manual method of calculation to solve MRP problem has also been shown. Evaluation tests of the software were carried out using various products ranging from those with simple structure of single product to complex structure. The software was shown to be user friendly and allow for easy data input and output to be saved and retrieved for future planning. The input process of the software has been shown step by step. The output of the program shows the time-phased requirements for assemblies, parts and raw materials as well as the missing deliveries and time required to meet the missing deliveries.

Keywords : MRP, MPS, BOM, lead time, lot-sizing, inventory, software, C language. GJCST-C Classification : K.6.1

DEVELOPMENT OF MATERIAL REQUIREMENTS PLANNING MRP SOFTWARE WITH C LANGUAGE

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Md. Saiful Islam^{*a*}, Md. Mahbubur Rahman^{*c*}, Ripon Kumar Saha^{*p*} & Abu Md. Saifuddoha^{*w*}

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I. INTRODUCTION

Aterials Requirements Planning (MRP) is a computer-based production planning and inventory control system which is concerned with both production scheduling and inventory control. It is a material control system that attempts to keep adequate inventory levels to assure that required materials are available when needed. The main purpose of MRP software is to facilitate the calculation of requirements of materials and timing.

Thus it is a technique for determining the quantity and timing for the acquisition of dependent demand items needed to satisfy master production schedule (MPS) requirements by converting three inputs, bill of material, inventory data and master production schedule into time-phased requirements for subassemblies, component parts and raw materials, working backward from the due date using lead times and other information to determine when and how much to order [1]. The major objectives of an MRP system are to 1) ensure the availability of materials, components, and products for planned production and for customer

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delivery 2) maintain the lowest possible level of inventory 3) plan manufacturing activities, delivery schedules, and purchasing activities [2].

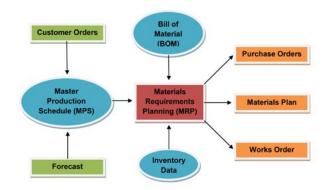


Figure 1 : Block diagram of MRP system

Before 1960's there was no satisfactory method available for handling the inventory of dependent items. A firm's formal inventory system was often patterned after order points and either misapplied or broken down into a maze of informal method when it comes to handling dependent items. There was no feasible method of keeping accurate records of thousands of inventory items to keep them out of too much scheduling trouble. Unfortunately, these did not always achieve the intended objective, however they always made a contribution to the inventory carrying and storage costs. During the 1960's the computer opened the door to an inventory system that could keep up to date records on the status of all inventory in stock. This brought a better understanding of production operation and new ways of managing production. It also brought out some new terminology, such as priority in material requirement planning system and capacity planning. The American production and inventory control society (APICS) has done much to standardize the terminology in this field and material requirement planning is popularly abbreviated as MRP in the world over [3].

In 1964, Joseph Orlicky as a response to the TOYOTA Manufacturing Program developed Material Requirements Planning (MRP). First company to use MRP was Black & Decker in 1964, with Dick Alban as project leader. In 1983 Oliver Wight developed MRP into manufacturing resource planning (MRP II) [4]. Orlicky's book is entitled The New Way of Life in Production and Inventory Management (1975). By 1975, MRP was implemented in 150 companies. This number had grown to about 8,000 by 1981. In the 1980s, Joe Orlicky's MRP evolved into Oliver Wight's manufacturing resource planning (MRP II) which brings master scheduling, rough-cut capacity planning, capacity requirements planning, S&OP in 1983 and other concepts to classical MRP. By 1989, about one third of the software industry was MRP II software sold to American industry (\$1.2 billion worth of software) [5].

MRP is the way of life for many industries fabricating and assembling products like automobiles and radios. It is generally applicable in situations of multiple items with complex bills of materials and is especially suited to manufacturing settings where the demands of many of the components and subassemblies depend on the demands of items that face external demands. MRP is also suitable when the manufacturing cycle is long for the finished product and lead time for components and raw materials are relatively long. While demands for end items are independent the demands for components used to manufacture end items depend on the demands of the end items. The distinctions between independent and dependent demands are important in classifying inventory items and in developing systems to manage items within each demand classification [6].

The Master Production Schedule (MPS) includes quantities of products to be produced at a given time period. Quantities are included both at aggregate and detailed levels. Aggregate may refer to monthly production and detailed may refer to weekly or daily production. The master production schedule takes the form of a table in which rows represent products and columns represent time components [7]. The bill of materials (BOM) is a list of the raw materials, sub-assemblies, assemblies, parts and the quantities of each needed to manufacture an end product. Lead time is the time interval between ordering and receiving an item [8]. Sometimes it means the assembly time or processing time to produce an item.

A key variable in MRP system design is the selection of lot-sizing rule (how much to order) based on the lead time. The problem of lot sizing is one of satisfying the requirements while trying to minimize holding and setup costs. There are basically two major classes of lot sizing techniques namely Static and Dynamic. A static lot-sizing rule orders the same quantity each time an order is placed and often generating higher average on hand inventory for extra safety stock [9]. Dynamic decision rule changes the order quantity with each order such that each order is just large enough to prevent shortages over a specified time period by tying lot-size to gross requirements. It generally causes instability with lower-level components unable to respond sufficiently fast to changes in requirements [10] [11]. The lot-for-lot (LFL) ordering is the simplest approach and it refers to order the net requirements for a specific period. The LFL approach

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minimizes the holding cost by producing just-in-time. In lot-size (LS) ordering the order size must be in multiples of the lot size.

II. METHODOLOGY

The methodology used in developing the proposed MRP software adopts the following components namely, a) Problem Analysis b) Manual Method to Calculation c) Software Algorithm d) Software Input 6) Software Output.

a) Problem Analysis

To form a useful bill of material it is convenient to order the items by levels. The level of an item is the maximum number of stages of assembly required to get the item into an end product. Consider a specific final item named 'A' for a manufacturing firm.

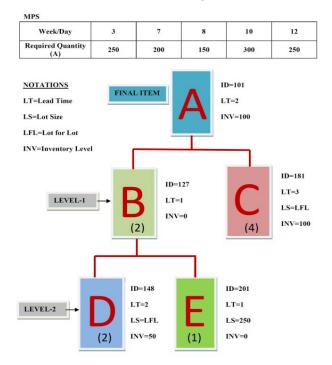


Figure 2 : MPS and Bill of Material for a specific product

According to above bill of material, one unit of final item 'A' requires two units of item 'B' and four units of item 'C'. Again, one unit of item 'B' requires two units of item 'D' and one unit item 'E'. Items 'C', 'D' and 'E' are purchased from different suppliers with various lead time. The beginning inventory levels of items 'A', 'B', 'C', 'D' and 'E' are 100, 0, 100, 50 and 0 respectively. The assembly time with items 'B' and 'C' to produce final item 'A' is 2 weeks. The assembly time with items 'D' and 'E' to produce item 'B' is 1 week. The time intervals between ordering and receiving for items 'C', 'D' and 'E' are 3, 2 and 1 week respectively. The items 'C' and 'D' are purchased with lot-for-lot (LFL) ordering policy but the item 'E' is purchased with lot-size (LS) ordering policy. There are total five orders in the master production schedule (MPS).

b) Manual Method of Calculation

MPS												
Week/Day	1	2	3	4	5	6	7	8	9	10	11	12
Required Quantity (A)			250				200	150		300		250
ITEM: A (LT= 2)							•			+		
Gross Requirements							200	150		300		250
Schedules Receipts												
Projected on Hand	100	100	100	100	100	100	100					
Net Requirements							100	150		300		250
Order Receipts							- 100	150		_ 300		- 250
Order Releases					100 🗲	150		300	_	250	ſ	
ITEM: B (LT= 1)				100:	×2 🖌	•		•		•		
Gross Requirements					200	300		600		500		
Schedules Receipts												
Projected on Hand												
Net Requirements					200	300		600		500	$\left \right\rangle$	
Order Receipts					200	300		600		500	$ \rangle$	
Order Releases				200	300		600		500			
ITEM: D (LT= 2)			2003	×2 🖌	+		+		↓ \	١		
Gross Requirements				400	600		1200		1000	\		
Schedules Receipts										Λ		
Projected on Hand	50	50	50	50								
Net Requirements				350	600		1200		1000	/		
Order Receipts				- 350	600		1200		- 1000	1		
Order Releases		350	600		1200		1000 4		/			
ITEM: E (LT= 1)									50	00×1		
Gross Requirements				200	300		600		500		/	
Schedules Receipts											/	
Projected on Hand					50			150	150	150	150	150
Net Requirements				200	250		600		350			
Order Receipts				250	250		750		500			
Order Releases			250	250		750		500				
ITEM: C (LT= 3)										2	50×4	
Gross Requirements					400	600		1200		1000		
Schedules Receipts												
Projected on Hand	100	100	100	100	100							
Net Requirements					300	600		1200		1000		
Order Receipts					- 300	600		- 1200		- 1000		
Order Releases		300	600 <		1200		1000 ◄					

Table 1 : Manual method of calculating MRP problem

The required quantity of final item 'A' is 250 for the first order. The firm already has 100 units of final item in inventory. So the firm needs 150 units of 'A' to meet the first order. For 150 units of 'A' it requires 300 units of item 'B' and 600 units of item 'C'. Again, for 300 units of 'B' the firm needs 600 units of item 'D' and 300 units of item 'E'. The inventory level of item 'D' is 50. So, the net requirement of item 'D' is 550. If the firm orders today for 550 units of 'D' from supplier it can receive the order after two weeks. In the meantime the required quantity of item 'E' will be available for lower lead time. It will takes another one day to produce the required quantity of item 'B' with items 'D' and 'E'. In the meantime the required quantity of item 'C' will be available. Finally, it will take another two more days to produce the required quantity of final item 'A' with items 'B' and 'C'. It will take total five weeks to meet the first order. The first order can be delivered in the sixth week. So, the order no.1 can't be met in third week. This order needs another 3 more weeks to meet.

c) Software Algorithm

Step 1 : Structure has been used to declare the variables of each item (item ID, item name, inventory level, lead time, lot size, number of item needed for each upper item, upper item ID, Number of lower item etc).

Step 2 : Input the total number of level and information of each item (Bill of Materials).

Step 3 : Input the total number of deliveries and timing & required quantity of final item for each delivery (Master Production Schedule).

Step 4 : Temporary inventory level variable is used to hold the inventory level of each item temporarily which will be destructed after calculation.

Step 5 : Finding out the order release date and temporary inventory level of independent item (final item) for each delivery

Step 6 : Finding out the order release date and temporary inventory level of all dependent items (sub items) for each delivery. If an item occurs more than once it will update the temporary inventory level for all repeated items simultaneously.

Step 7 : If the order release date of an item is negative it means that the Order Release falls before the planning period. So, the delivery can't be possible.

Step 8 : Then searching for the maximum negative value of order release date among all items for the deliveries which are not possible. This maximum negative value yields the number of weeks/days required to meet the missing deliveries.

Step 9 : For a missing delivery shift the inventory level of all items to the next delivery.

Step 10 : Again finding out the order release date and temporary inventory level of all items for the remaining deliveries because of missing the delivery

Step 11 : Repeat steps 9 and 10 for the remaining missing deliveries.

Step 12 : Holding the missing deliveries in an array.

Step 13 : Finally calculate the order release date and inventory level of all items for which deliveries are possible.

Step 14 : Show which deliveries can't be met and the required weeks/days to meet those deliveries.

Step 15 : Show the output of MRP that is the time-phased requirements for assemblies, parts and raw materials.

Step 16 : Show the final inventory level of all items.

Step 17 : Finally save the MRP output to a text file.

d) Software Input Enter the total number of levels: 2 Enter the total number of items in level-1: 2 Enter the items ID for levels-1: 127

181 Enter the total number of items in level-2: 2 Enter the items ID for levels-2: 148

201

Give the information for final item:

_____ ID: 101 Command Name: A Input Inventory level: 100 Lead time: 2 Give the information for item ID-127: _____ Name: B Upper item ID: 101 Number of lower item in the next level: 2 Number of item needed for each upper item: 2 Inventory level: 0 Lead time: 1 Give the information for item ID-181: Name: C Upper item ID: 101 Number of lower item in the next level: 0 Number of item needed for each upper item: 4 Inventory level: 100 Lead time: 3 Lot size [Enter 32000 when 'lot for lot' otherwise enter lot size]: 32000 Give the information for item ID-148: _____ Name: D Upper item ID: 127 Number of lower item in the next level: 0 Number of item needed for each upper item: 2 Inventory level: 50 Lead time: 2 Lot size [Enter 32000 when 'lot for lot' otherwise enter lot size]: 32000 Give the information for item ID-201: -----Name: E Upper item ID: 127 Number of lower item in the next level: 0 Number of item needed for each upper item: 1 Inventory level: 0 Lead time: 1 Lot size [Enter 32000 when 'lot for lot' otherwise enter lot size]: 250 Enter the total number of orders: 5 In which week/day order no.1 has to be released: 3 Number of final item required for order no.1: 250 In which week/day order no.2 has to be released: 7 Number of final item required for order no.1: 200 In which week/day order no.3 has to be released: 8 Number of final item required for order no.1: 150

In which week/day order no.4 has to be released: 10 Number of final item required for order no.1: 300

In which week/day order no.5 has to be released: 12 Number of final item required for order no.1: 250

e) Software Output

The order no.1 can't be met. This order needs another 3 more days/weeks

	m Name	Item ID	Quantity
Week/day-2	C	181	300
	D	148	350
Week/day-3	E	201	250
	C	181	600
	D	148	600
Week/day-4	B	127	200
	E	201	250
Week/day-5	A	101	100
	B	127	300
	C	181	1200
	D	148	1200
Week/day-6	A	101	150
	E	201	750
Week/day-7	B	127	600
	C	181	1000
	D	148	1000
Week/day-8	A	101	300
	E	201	500
Week/day-9 Week/day-10	В	127	500
-	А	101	250

INVENTORY LEVEL:

Item Name	Item ID	Inventory Level
А	101	0
В	127	0
С	181	0
D	148	0
Е	201	150

The firm has to start purchase process from week no. 2 to meet the remaining orders. It should order 300 units of item 'C' and 350 units of item 'D' from suppliers in second week and so on. The firm should start assembly process with items 'D' and 'E' to produce 200 units of item 'B'.

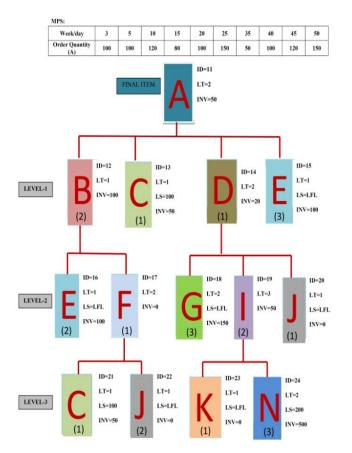


Figure 4 : MRP problem with complex BOM that can be solved with this software

III. Limitations

- a) The maximum number of delivery is fifteen in MPS.
- b) Capable of holding the information of thirty items for a specific MRP problem.
- c) Not suitable to deliver the sub-assembly parts.
- d) Only two types of lot sizing techniques were analyzed.
- e) When user inputs wrong information then he has to start from the beginning.

IV. Conclusion

There are several sources of uncertainty that we have ignored so far. These include uncertainty in the quantity demanded (forecast errors) and the quantity supplied (yield losses), and uncertainty in the timing of demand and the timing of supply (random lead times). The largest cost or disadvantage of any MRP system is the cost of purchasing or leasing a computer system to support the function. However, with increasing inventory and production cost, along with decreasing computation costs the MRP system is getting easier to justify. The software is user friendly and was tested with various types of products and gave accurate results when verified with the manual method of calculation. So, the software is universal. The time-phased requirements for

int I time; // Lead time

int i level; // Inventory level

assemblies, parts and raw materials and final inventory level can easily be identified with the software. With the software local small scale manufacturing industries can improve customer service with lower inventories and reduce overtime and idle time.

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Software Coding

#include<stdio.h>
#include<conio.h>
#include<conio.h>
#include<string.h>
void main() {
FILE *fp;
fp=fopen("MRP.txt", "w"); //Open a file to write the output
information
// Use structure in which the variables of each item has
been declared
struct item {
int id; // Item ID
char name[15]; // Item name
int u_id; // Upper item's ID
int item_n; // Item needed for each upper item
int n I item; // Number of lower items

int t i level[16]; // Temporary inventory level int level n; // Item for which level int I size; // Lot size int o release [16]; // Order release int o quantity[16]; // Order quantity }; // Variables declaration section clrscr(); struct item info[30]; int level,a=1,b[20],d,i,j,k,l,m,n,s,q,Q,p[50],c[16],g[16],x,y; // Input total levels and information of each item printf("\nEnter the total number of levels: "); scanf("%d", &level); b[0]=1;for(i=1;i<=level;i++) { printf("\nEnter the total number of items in level-%d: ",i); scanf("%d", &n); b[i]=n;printf("\nEnter the items ID for level-%d:\n",i); for(j=1; j < =n; j++) { scanf("%d", &info[a].id); info[a].level n=i; a++; info[0].level n=0;printf("\n\nGive the information for final item:\n-----------\n"); printf("ID: "); scanf("%d", &info[0].id); printf("\nName: "); scanf("%s",&info[0].name); info[0].n l item=b[1]; printf("\nInventory level: "); scanf("%d", &info[0].i level); printf("\nLead time: "); scanf("%d", &info[0].1 time); if $(info[0].n \mid item = = 0)$ { printf("\nLot size [use a huge number i.e.; 32000 when 'lot for lot' order]: "); scanf("%d", &info[0].I size); //Enter 32000 only when lot for lot otherwise use the lot size } for(i=1;i<a;i++) { printf("\n\n\nGive the information for item ID-%d:\n------------",info[i].id); printf("\nName: "); scanf("%s",&info[i].name); printf("\nUpper item ID: "); scanf("%d", &info[i].u id); printf("\nNumber of lower item in the next level: "); scanf("%d", &info[i].n | item); printf("\nNumber of item needed for each upper item: "); scanf("%d", &info[i].item n); printf("\nInventory level: ");

scanf("%d", &info[i].i level); printf("\nLead time: "); scanf("%d", &info[i].l time); if $(info[i].n \mid item = = 0)$ { printf("\nLot size [use a huge number i.e.; 32000 when 'lot for lot' order]: "); scanf("%d", &info[i].I size); //Enter 32000 only when lot for lot otherwise use the lot size } else info[i].l size=32000; } // Input the information of MPS printf("\n\n\nEnter the total number of orders: "); scanf("%d", &d); printf("\n"); for(i=1; i < =d; i++) { printf("\nIn which week/day order no.%d has to be released: ",i); scanf("%d", &c[i]); printf("\nNumber of final item required for delivery no.%d: ", i); scanf("%d", &g[i]); printf("\n"); } } s=c[i-1]; // The total number of weeks/days used according to MPS // Use the temporary inventory level variables to hold the inventory level of each item temporarily which will be destructed after calculation for(i=0; i < a; i++)info[i].t i level[0]=info[i].i level; // Finding out the order release date and inventory level of independent item (final item) for each delivery for(i=1; i < =d; i++) { info[0].o release[i]=c[i]-info[0].l time; info[0].o quantity[i]=g[i]-info[0].t i level[i-1]; if(info[0].o quantity[i] $\leq = 0$) { info[0].o quantity[i]=0; info[0].t i level[i]=info[0].t i level[i-1]-g[i]; } else info[0].t i level[i]=0; } // Finding out the order release date and inventory level of all dependent items (sub items) for each delivery for(i=1;i<a;i++) { for(j=0; j<a; j++) { if(info[i].u id==info[i].id) { for(k=1; k < =d; k++) { info[i].o release[k]=info[i].o release[k] - info[i].l time; } info[i].o quantity[k]=info[i].o quantity[k]*info[i].item n info[i].t i level[k-1]; if(info[i].o quantity[k]<=0) { info[i].o quantity[k]=0; info[i].t i level[k]=info[i].t i level[k-1]info[j].o quantity[k]*info[i].item n;

for(y=1; y < a; y++) { if(y = = i)continue; else { if(strcmp(info[i].name,info[y].name)==0) //Finding out for repeated item info[y].t i level[k]=info[i].t i level[k]; } } } else info[i].t i level[k]=0; for(y=1; y < a; y++) { if(y==i)continue; else { if(strcmp(info[i].name,info[y].name)==0) info[y].t i level[k]=info[i].t i level[k]; } } } } } i=1: // Finding out which deliveries can't be met and the number of days required to meet the missing deliveries for(k=1;k<=d;k++) { m=1; if(info[0].o release[k] $\leq = 0 \&\& g[k] > info[0].t$ i level[k-1] && info[0].n | item = = 0) { m=info[0].o release[k]; if(info[0].t i level[k-1]>=g[k]) continue; for(i=1;i<a;i++) { for(q=0;q<a;q++) { if(info[i].u id==info[q].id) { if(info[i].o release[k]<=0 && info[q].o quantity[k]*info[i].item n>info[i].t i level[k-1] && info[i].n | item = = 0) { if(info[i].o release[k]<m) m=info[i].o release[k]; } } } } $for(l=1; l < = level; l++) \{$ n=0; for(i=1;i<a;i++) { if(info[i].level n==1) { for(q=0;q<a;q++) { if(info[i].u id==info[q].id) { if(info[q].o quantity[k]*info[i].item n<=info[i].t i level[k -1]) n++;} } } } if(n=b[l])if(info[0].level n==l-1) { if(info[0].o release[k]<m) m=info[0].o release[k]; for(i=1;i<a;i++) { if(info[i].level n==l-1 && info[i].n l item !=0) { for(q=0;q<a;q++) { if(info[i].u id==info[q].id) { if(info[q].o quantity[k]*info[i].item n>info[i].t i level[k-

1]) { if(info[i].o release[k]<m) m=info[i].o release[k]; }} } // When the value of m is negative, it means the delivery is not possible and m holds the days required to meet the delivery if(m<=0) { //Shifting the inventory level of all items of the missing delivery to the next delivery for(q=0;q<a;q++) { info[q].t i level[k]=info[q].t i level[k-1]; } //Again finding out the order release date and inventory level of all items for the remaining deliveries because of missing the delivery for(i=k+1;i<=d;i++) { info[0].o release[i]=c[i]-info[0].l time; info[0].o quantity[i]=g[i]-info[0].t i level[i-1]; if(info[0].o quantity[i]<=0) {</pre> info[0].o quantity[i]=0; info[0].t i level[i]=info[0].t i level[i-1]-g[i]; } else info[0].t i level[i]=0; } for(i=1;i<a;i++) { for(x=0;x<a;x++) { if(info[i].u id==info[x].id) { for(q=k+1;q<=d;q++) { info[i].o release[q]=info[x].o release[q] - info[i].l time; info[i].o quantity[q]=info[x].o quantity[q]*info[i].item n - info[i].t i level[q-1]; if(info[i].o quantity[q] < = 0) { info[i].o quantity[q]=0; info[i].t i level[q]=info[i].t i level[q-1]info[x].o quantity[q]*info[i].item n; for(y=1; y < a; y++) { if(y==i)continue; else { if(strcmp(info[i].name,info[y].name) = = 0)info[y].t i level[k]=info[i].t i level[k]; } } } else { info[i].t i level[q]=0; for(y=1; y < a; y++) { if(y==i)continue; else { if(strcmp(info[i].name,info[y].name)==0) info[y].t i level[k]=info[i].t i level[k]; } } } } } } p[i]=k; // Holding the missing deliveries in an array j++; x = -(1)*m; $if(x==0) \{$

printf("\n\nThe order no. %d can't be met. This order needs another %d more day/week".k,x+1); fprintf(fp,"\nThe order no. %d can't be met. This order needs another %d more day/week",k,x+1); } else { printf("\n\nThe order no. %d can't be met. This order needs another %d more days/weeks",k,x+1); fprintf(fp,"\nThe order no. %d can't be met. This order needs another %d more days/weeks",k,x+1); // Now calculate the order release date and inventory level of all items for which deliveries are possible for(k=1; k < =d; k++) { a=1: for(m=1;m<j;m++) { if(k==p[m])q=0; break: if(q==0)continue; else { if(info[0].n | item = = 0) { info[0].o quantity[k]=g[k]-info[0].i level; if (info[0].o quantity [k] < = 0) { info[0].o quantity[k]=0; info[0].i level=info[0].i level-g[k]; } else if(info[0].o quantity[k] <= info[0].l size && info[0].l size = = 32000)info[0].i level=0; else { for(l=1; l < =50; l++) { if(info[0].o quantity[k] <= info[0].l size * l) { Q=info[0].I size * I;info[0].i level=Q - info[0].o quantity[k]; info[0].o quantity[k]=Q; break; } } } else { info[0].o quantity[k]=g[k]-info[0].i level; if (info[0].o quantity [k] < = 0) { info[0].o quantity[k]=0; info[0].i level=info[0].i level-g[k]; } else info[0].i level=0; } } } for(i=1;i<a;i++) { for(n=0; n < a; n++) { if(info[i].u id==info[n].id) { for(k=1;k<=d;k++) { q = 1;for(m=1;m<j;m++) { if(k==p[m])q=0;

break:

if(q==0)continue; else { info[i].o quantity[k]=info[n].o quantity[k]*info[i].item n - info[i].i level; Q=info[i].o quantity[k]; if(Q<=0) { info[i].o quantity[k]=0; info[i].i level=info[i].i levelinfo[n].o quantity[k]*info[i].item n; for(y=1; y < a; y++) { if(y==i)continue; else { if(strcmp(info[i].name,info[y].name) = = 0)info[y].i level=info[i].i level; } } } else if(Q<=info[i].l size && info[i].l size==32000) { info[i].i level=0; for(y=1; y < a; y++) { if(y = = i)continue; else { if(strcmp(info[i].name,info[y].name) = = 0)info[y].i level=info[i].i level; else { for(l=1; l < =50; l++) { if(Q<=info[i].I size * I) { Q=info[i].I size * I;info[i].i level=Q - info[i].o quantity[k]; for(y=1;y<a;y++) { if(y==i)continue; else { if(strcmp(info[i].name,info[y].name) = = 0)info[y].i level=info[i].i level; } } info[i].o quantity[k]=Q; break; } } } } } } //The output section printf("\n\n\n Item Name Item ID Quantity\n"); printf(" -----"): fprintf(fp,"\n\n Item Name Item ID Quantity\n"); fprintf(fp," ----for(i=1;i<=s;i++) { x=i; for(k=1; k < =d; k++) { q=1; for(m=1;m<j;m++) { if(k==p[m]) {

q=0; break; $if(q==0) \{$ continue; } else { for(m=0;m<a;m++) { if(info[m].o release[k]==i && info[m].n | item==0 && info[m].o quantity[k]!=0) { if(x==i) { printf("\n\nWeek/day-%d:\n",i); fprintf(fp,"\n\nWeek/day-%d:\n",i); x + +;} printf(" "); fprintf(fp," "): printf("%s",info[m].name); fprintf(fp,"%s",info[m].name); %d printf(" %d\n",info[m].id,info[m].o quantity[k]); %d fprintf(fp," %d\n",info[m].id,info[m].o quantity[k]); } } } } } printf("\n\n\n\n INVENTORY LEVEL:\n ------"); fprintf(fp,"\n\n\n\n INVENTORY LEVEL:\n ------"); printf("\n\n Item Name Item ID Inventory level\n"); printf(" ------\n"); fprintf(fp."\n\n ltem Name fprintf(fp,"\n\n Item Name Item ID Inventory level\n"); fprintf(fp," -----------\n"); for(i=0;i<a;i++) { printf(" fprintf(fp," "); printf("%s",info[i].name); fprintf(fp,"%s",info[i].name); %d %d\n",info[i].id,info[i].i level); printf(" fprintf(fp," %d %d\n",info[i].id,info[i].i level); } fclose(fp); getch(); }

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Modified MEWMA Control Scheme for an Analytical Process Data

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Abstract - This article introduces Multivariate Modified Exponentially Weighted Moving Average (MMOEWMA) control chart, a chart for detecting shifts of all kinds in case of highly first order vector autoregressive VAR (1) process. This chart is based on modified MEWMA control chart statistic which is a correction of MEWMA chart statistic. The performance of MMOEWMA chart is illustrated along with MEWMA chart for a chemical process data. The average run length (ARL) properties of MMOEWMA scheme are derived using Markov Chain approach. Algorithm for the ARL computation and R-program of monitoring MMOEWMA control chart are provided.

Keywords : abrupt change; average run length; MEWMA; multivariate modified EWMA; vector autoregressive.

GJCST-C Classification : E.m



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Modified MEWMA Control Scheme for an Analytical Process Data

Alpaben K. Patel^a & Jyoti Divecha^o

Abstract - This article introduces Multivariate Modified Exponentially Weighted Moving Average (MMOEWMA) control chart, a chart for detecting shifts of all kinds in case of highly first order vector autoregressive VAR (1) process . This chart is based on modified MEWMA control chart statistic which is a correction of MEWMA chart statistic. The performance of MMOEWMA chart is illustrated along with MEWMA chart for a chemical process data. The average run length (ARL) properties of MMOEWMA scheme are derived using Markov Chain approach. Algorithm for the ARL computation and Rprogram of monitoring MMOEWMA control chart are provided. : abrupt change; average run length; Keywords MEWMA: multivariate modified EWMA: vector autoregressive.

I. INTRODUCTION

ultivariate statistical process control is often used in chemical and process industries where autocorrelation is most prevalent. Traditional multivariate statistical process control techniques are based on the assumption that the successive observation vectors are independent. In recent years, due to automation of measurement and data collection systems, a process can be sampled at higher rates, which ultimately leads to autocorrelation. Consequently, when the autocorrelation is present in the data, it can have a serious impact on the performance of classical control charts. This point has been made by numerous authors, including Berthouex, Hunter, and Pallensen (1978), Harris and Ross (1991), Montgomery and Mastrangelo (1991). Runger (1996) has presented a realistic model that generates autocorrelation and cross correlation and provides a useful approach to characterizing process data. The interpretation of these charts: charts based on modeling residuals is not as simple as the authors suggest, and the alternative engineering feedback control methods are often more appropriate with such highly auto correlated data.

This article considers the problem of monitoring the mean vector of a process in which observations can be a highly first order vector autoregressive VAR (1) and propose a control chart called Multivariate Modified EWMA chart. Multivariate Modified EWMA chart as a modification in MEWMA (Lowery et. al, 1992) chart statistic. Multivariate Modified EWMA chart that combines the features of multivariate Shewhart chart (Hotelling, 1947) and MEWMA chart in a simple way and has ability to detect small as well as large shift as soon as possible as required by some industrial processes with high level of first order vector autoregressive data.

MMOEWMA control statistic gives weight to the past observation vectors in slightly different way than MEWMA and each current change is considered with full weight. This corrects MEWMA statistic for suffering from inertia problem. This article discusses the procedures to construct the Multivariate Modified EWMA chart. Simulate the average run length to assess the performance of the chart. The MMOEWMA vector auto correlated control chart is defined in second section and the derivation of upper control limits is kept with Appendix 1. Further, performance of MMOEWMA monitoring scheme is illustrated along with MEWMA scheme for real multivariate chemical process data in third section. ARL properties of MMOEWMA are derived and compared with MEWMA in fourth section. The comparisons reveals that MMOEWMA scheme outperform MEWMA scheme. Computation of ARL values were carried out using Markov chain approach described in Appendix 2.

II. Multivariate Control Charts for Monitoring the Process Mean

Suppose that the **p** x **1** random vectors Y_1 , Y_2 , Y_3 , ... each representing the p quality characteristics to be monitored, are observed over time. These vectors may represent individual observations or sample mean vectors. To study the performance of the various multivariate control charts, it will be assumed that Y_n , n = 1, 2, ..., are independent multivariate normal random vectors with mean vectors $\boldsymbol{\mu}_n$, n = 1, 2,... For simplicity, it is assumed that each of the random vectors has the known co-variance matrix $\boldsymbol{\Sigma}$.

a) The Multivariate Shewhart Control Chart

Hotelling's (1947) introduced a multivariate control-chart procedure based on his Hotelling's chisquare statistics defined as $\chi_n^2 = Y_n \Sigma^{-1} Y_n$. At any nth stage in process,

$$\chi_n^2 = Y_n \Sigma^{-1} Y_n > h, \tag{1}$$

signals that a statistically significant shift in the mean has occurred; that is process out-of-control, where h >

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0 is a specified control limit. Because this procedure is based on only the most recent observation, it is insensitive to small and moderate shifts in the mean vector.

b) The Multivariate EWMA (MEWMA) control chart

Lowery et al. (1992) proposed the MEWMA chart as natural extension of EWMA chart. It is a popular chart used to monitor a process with **p** quality characteristics for detecting small to moderate shifts. The in-control process mean is assumed without loss of generality to be a vector of zeros, and covariance matrix $\boldsymbol{\Sigma}$. The MEWMA control statistic is defined as vectors,

$$\mathbf{X}_{n} = \mathbf{\Lambda} \mathbf{Y}_{n} + (\mathbf{I} - \mathbf{\Lambda}) \mathbf{X}_{n-1} \quad n = 1, 2, \dots,$$
 (2)

where $X_0 = 0$, 1 x p vector and $\Lambda = \text{diag}(\lambda_1, \lambda_2, ..., \lambda_p)$, $0 < \lambda j \le 1, j = 1, 2, ..., p$.

The MEWMA chart gives an out-of-control signal as soon as

$$T_{n1}^{2} = \mathbf{X}_{n} \mathbf{\Sigma}_{xn}^{-1} \mathbf{X}_{n} > h_{\tau}, \qquad (3)$$

where h_{τ} (>0) is chosen to achieve a specified in control ARL and Σ_{xn} is the covariance matrix of X_n given by $\Sigma_{xn} = {\lambda/(2-\lambda)}\Sigma$, under equality of weights of past observations for all p characteristics; $\lambda_1 = \lambda_2 = ... = \lambda_p = \lambda$.

The UCL = $\left(\frac{\lambda}{2-\lambda}\right)^{1/2} (h_1)^{1/2}$. If one or more points fall

beyond h_{τ} , the process is assumed to be out-of-control. The magnitude of the shift is reflected in the noncentrality parameter $\mu_1 \Sigma^{-1} \mu_1$. They conclude that an assignable causes result in a shift in the process mean from μ_0 to μ_1 .

c) MMOEWMA control chart for monitoring the first order vector autoregressive VAR (1) process mean

The MMOEWMA chart as natural extension of Patel and Divecha (2011) proposed Modified EWMA chart. The desirable properties of a multivariate auto

Table 1 : Temperatures Data

ILLUSTRATION

III.

NO	0	Observation	s	Devia	ted Observ	ations
	Y ₁	Y ₂	Y ₃	$Y_{1}-\mu_{01}$	$Y_2 - \mu_{02}$	$Y_{3}-\mu_{03}$
0	92.24	95.56	100.27	0.00	0.00	0.00
1	92.83	95.16	100.77	0.59	-0.40	0.50
12	92.84	95.16	100.76	0.60	-0.40	0.49
13	92.84	95.16	100.76	0.60	-0.40	0.49
14	92.84	95.16	100.76	0.60	-0.40	0.49
15	92.84	95.16	100.76	0.60	-0.40	0.49

correlated control chart are that it is easy to implement and is effective for detecting shifts of all sizes as per technical specifications. The Multivariate Modified EWMA chart that introduce considers past observations similar to MEWMA scheme and additionally considers past as well as latest change in the process. Let Y_n , $n=1,2,\ldots$, are sequence of first order auto correlated normal random vectors with mean vector μ_n , and common covariance matrix Σ . Further it is assumed without loss of generality that the in control process mean vector is $\mu_0 = (0,0,\ldots,0)' = 0$.

The MMOEWMA chart statistic is a modification in MEWMA chart statistic. To define MMOEWMA control statistic as vector \mathbf{X}_n given by,

$$X_{n} = \Lambda Y_{n} + (I - \Lambda) X_{n-1} + (Y_{n} - Y_{n-1}), n \ge 1, \qquad (4)$$

where X_0 is the p-dimensional zero vector and $\Lambda = diag(\lambda_1, \lambda_2, ..., \lambda_p), 0 < \lambda j \le 1, j = 1, 2, ..., p$. The MMOEWMA chart gives an out-of-control signal as soon as

$$T_{n2}^2 = \mathbf{X}_n \boldsymbol{\Sigma}_{xn}^{-1} \mathbf{X}_n > h_{2}, \tag{5}$$

where h_2 (>0) is chosen to achieve a specified in control ARL. If one or more points fall beyond h_2 , the process is assumed to be out-of-control. Σ_{xn} is the covariance

matrix of
$$X_n$$
 given by $\Sigma_{xn} = \left(\frac{\lambda}{2-\lambda} + \frac{2\lambda(1-\lambda)}{2-\lambda}\right)\Sigma$

under equality of weights of past observations for all p characteristics; $\lambda_1 = \lambda_2 = ... = \lambda_p = \lambda$, and past and current changes. The upper control limit of MMOEWMA chart is,

UCL =
$$\left(\frac{\lambda}{2-\lambda} + \frac{2\lambda(1-\lambda)}{2-\lambda}\right)^{1/2} (h_2)^{1/2}$$
 (discussed in Appendix 1)

Appendix 1).

92.59	95.10	100.47	0.35	-0.46	0.20
92.59	95.10	100.46	0.35	-0.46	0.19
92.58	95.10	100.46	0.34	-0.46	0.19
92.58	95.10	100.46	0.34	-0.46	0.19
91.37	95.27	99.73	-0.87	-0.27	-0.54
91.37	95.27	99.72	-0.88	-0.29	-0.55
91.36	95.27	99.72	-0.88	-0.29	-0.55
91.36	95.27	99.72	-0.88	-0.29	-0.55
91.35	95.28	99.72	-0.89	-0.28	-0.55
92.30	96.22	100.16	0.06	0.66	-0.11
92.30	96.21	100.16	0.06	0.65	-0.11
92.67	95.84	100.72	0.43	0.28	0.45
92.67	95.83	101.95	0.43	0.27	1.68
92.67	95.83	100.73	0.43	0.27	0.46
92.55	95.35	100.90	0.31	-0.21	0.63
92.55	95.35	100.90	0.31	-0.21	0.63
92.54	95.31	100.87	0.30	-0.26	0.60
92.53	95.30	100.87	0.29	-0.26	0.60
92.53	95.29	100.86	0.29	-0.27	0.59
	92.59 92.58 92.58 92.58 91.37 91.37 91.37 91.37 91.37 91.37 91.37 91.37 91.37 91.36 91.37 92.30 92.30 92.67 92.67 92.67 92.55 92.55 92.55 92.53 92.53	92.59 95.10 92.58 95.10 92.58 95.10 92.58 95.10 92.58 95.10 92.58 95.10 92.58 95.10 92.58 95.10 92.58 95.10 92.58 95.27 91.37 95.27 91.36 95.27 91.36 95.27 91.36 95.27 91.36 95.27 91.36 95.27 91.36 95.27 91.36 95.27 91.35 95.28 92.30 96.21 92.67 95.83 92.67 95.83 92.67 95.83 92.55 95.35 92.55 95.35 92.53 95.30 92.53 95.30	D2.59 95.10 100.46 D2.58 95.10 100.46 D1.37 95.27 99.73 D1.37 95.27 99.72 D1.36 95.27 99.72 D1.36 95.27 99.72 D1.35 95.28 100.16 D2.30 96.21 100.16 D2.67 95.83 101.95 D2.67 95.83 100.73 D2.67 95.35 100.90 D2.55 95.35 100.90 <tr< td=""><td>92.59 95.10 100.46 0.35 92.58 95.10 100.46 0.34 92.58 95.10 100.46 0.34 92.58 95.10 100.46 0.34 92.58 95.10 100.46 0.34 92.58 95.10 100.46 0.34 92.58 95.10 100.46 0.34 92.58 95.17 99.73 -0.87 91.37 95.27 99.72 -0.88 91.36 95.27 99.72 -0.88 91.36 95.27 99.72 -0.88 91.35 95.28 99.72 -0.89 92.30 96.22 100.16 0.06 92.30 96.21 100.16 0.43 92.67 95.83 101.95 0.43 92.67 95.83 100.73 0.43 92.67 95.35 100.90 0.31 </td><td>92.59 95.10 100.46 0.35 -0.46 92.58 95.10 100.46 0.34 -0.46 92.58 95.10 100.46 0.34 -0.46 92.58 95.10 100.46 0.34 -0.46 92.58 95.10 100.46 0.34 -0.46 92.58 95.10 100.46 0.34 -0.46 92.58 95.10 100.46 0.34 -0.46 92.57 99.72 9.78 -0.27 -0.27 91.36 95.27 99.72 -0.88 -0.29 91.36 95.27 99.72 -0.88 -0.29 91.36 95.27 99.72 -0.88 -0.29 91.35 95.28 99.72 -0.89 -0.28 91.35 95.28 99.72 -0.89 -0.28 92.30 96.21 100.16 0.06 0.65 92.30 96.21 100.16 0.43 0.27 92.67</td></tr<>	92.59 95.10 100.46 0.35 92.58 95.10 100.46 0.34 92.58 95.10 100.46 0.34 92.58 95.10 100.46 0.34 92.58 95.10 100.46 0.34 92.58 95.10 100.46 0.34 92.58 95.10 100.46 0.34 92.58 95.17 99.73 -0.87 91.37 95.27 99.72 -0.88 91.36 95.27 99.72 -0.88 91.36 95.27 99.72 -0.88 91.35 95.28 99.72 -0.89 92.30 96.22 100.16 0.06 92.30 96.21 100.16 0.43 92.67 95.83 101.95 0.43 92.67 95.83 100.73 0.43 92.67 95.35 100.90 0.31	92.59 95.10 100.46 0.35 -0.46 92.58 95.10 100.46 0.34 -0.46 92.58 95.10 100.46 0.34 -0.46 92.58 95.10 100.46 0.34 -0.46 92.58 95.10 100.46 0.34 -0.46 92.58 95.10 100.46 0.34 -0.46 92.58 95.10 100.46 0.34 -0.46 92.57 99.72 9.78 -0.27 -0.27 91.36 95.27 99.72 -0.88 -0.29 91.36 95.27 99.72 -0.88 -0.29 91.36 95.27 99.72 -0.88 -0.29 91.35 95.28 99.72 -0.89 -0.28 91.35 95.28 99.72 -0.89 -0.28 92.30 96.21 100.16 0.06 0.65 92.30 96.21 100.16 0.43 0.27 92.67

a) MMOEWMA chart for monitoring Multivariate Chemical Process using table 1 and table 2

 Table 1 displays the part of measurements on three temperature column taken every minute from a chemical process that is working in control and out of
 control situations, abrupt changes and small shifts occur. Here number of variable p=3. Three variables are temperature columns Y_1 with mean μ_{01} =92.24, Y_2 with mean μ_{02} =95.56, Y_3 with mean μ_{03} = 100.27. To assume covariance matrix to be

/

$$\sum = \begin{pmatrix} V(Y_{11}) & C(Y_1, Y_2) & C(Y_1, Y_3) \\ C(Y_2, Y_1) & V(Y_{22}) & C(Y_2, Y_3) \\ C(Y_3, Y_1) & C(Y_3, Y_2) & V(Y_{33}) \end{pmatrix} = \begin{pmatrix} 1 & 0.5 & 0.5 \\ 0.5 & 1 & 0.5 \\ 0.5 & 0.5 & 1 \end{pmatrix}$$

Upper control limit of MEWMA $UCL = \left(\frac{\lambda}{2-\lambda}\right)^{1/2} (h_1)^{1/2} = 0.882, \text{ where } \lambda = 0.1,$ $h_1 = 14.7, \text{ and MMOEWMA UCL}$ $= \left(\frac{\lambda}{2-\lambda} + \frac{2\lambda (1-\lambda)}{2-\lambda}\right)^{1/2} (h_2)^{1/2} = 0.839, \text{ where }$

 λ =0.1, h_2 = 5.417. UCL is used in average run length to choose appropriate value of decision interval. The MMOEWMA chart gives an out-of-control signal as soon as

$$T_{n2}^2 = \mathbf{X}_n \mathbf{\Sigma}_{\mathbf{x}_n} \mathbf{X}_n > h_2 = 5.417$$

and the MEWMA chart gives an out-of-control signal as soon as

$$T_{n1}^2 = \mathbf{X}_n \mathbf{\Sigma}_{\mathbf{x}_n} \mathbf{X}_n > h_1, \ h_1 = 14.78.$$

Table 2 shows that, MMOEWMA vector gives best forecasts for the process mean vector; undoubtedly better than the MEWMA prediction barring abrupt change situation (1201st observation). MMOEWMA also detects all the shifts more timely as compared to MEWMA for chemical process data.

No.				MEWMA		EWMA v		MMOEWMA
		$r_1 = 14.78$		statistics		1, $h_2 = 5$		statistics
	X ₁	X ₂	Х₃	T _{n1} ²	X ₁	X ₂	X₃	T_{n2}^{2}
1	0.06	-0.04	0.05	0.24	0.56	0.46	0.55	2.91
12	0.43	-0.29	0.36	12.48	0.58	-0.14	0.51	5.19
13	0.44	-0.30	0.37	13.48	0.58	-0.16	0.50	5.47*
14	0.47	-0.31	0.38	14.43	0.58	-0.19	0.50	5.73*
15	0.47	-0.32	0.39	15.30*	0.58	-0.21	0.50	5.98*
279	0.39	-0.46	0.21	15.33*	0.36	-0.48	0.19	5.46*
280	0.38	-0.46	0.21	15.17*	0.36	-0.48	0.19	5.40
281	0.38	-0.46	0.21	15.02*	0.36	-0.48	0.19	5.35
282	0.37	-0.46	0.21	14.87*	0.35	-0.48	0.19	5.30
588	-0.84	-0.29	-0.52	14.26	-0.86	-0.32	-0.55	5.37
589	-0.84	-0.29	-0.52	14.41	-0.86	-0.32	-0.55	5.42*
590	-0.84	-0.29	-0.53	14.56	-0.87	-0.31	-0.55	5.47*
591	-0.85	-0.29	-0.53	14.72	-0.87	-0.31	-0.55	5.53*
592	-0.85	-0.29	-0.53	14.87*	-0.87	-0.31	-0.56	5.59*
998	0.02	0.67	-0.13	14.91*	0.05	0.70	-0.11	5.44*
999	0.03	0.67	-0.13	14.75	0.06	0.70	-0.10	5.38
1200	0.43	0.29	0.43	4.72	0.46	0.32	0.46	1.91
1201	0.43	0.29	0.55	6.49	1.68	1.54	1.81	29.10*
1202	0.43	0.29	0.54	6.33	0.34	0.19	0.45	1.54
1416	0.31	-0.18	0.64	14.73	0.31	-0.19	0.63	5.24
1417	0.31	-0.19	0.63	14.79*	0.30	-0.19	0.63	5.25
1434	0.30	-0.23	0.61	15.35*	0.28	-0.25	0.60	5.41
1435	0.30	-0.23	0.61	15.38*	0.28	-0.25	0.60	5.43*
1439	0.30	-0.24	0.61	15.48*	0.28	-0.26	0.59	5.46*

<i>Table 2</i> : Monitoring performance of MEWMA and MMOEWMA for the Chemical Process three variate
temperature Data

In Table 2 observe that, MEWMA control chart detects shifts on observation 15th to 282^{nd} , 592^{nd} to 998^{th} , and 1417^{th} to 1439^{th} . The MMOEWMA control chart detects shifts on observation 13^{th} to 279^{th} , 589^{th} to 998^{th} , and 1435^{th} to 1439^{th} . MMOEWMA chart detect abrupt change at observation 1201^{st} , but MEWMA could not detect it.



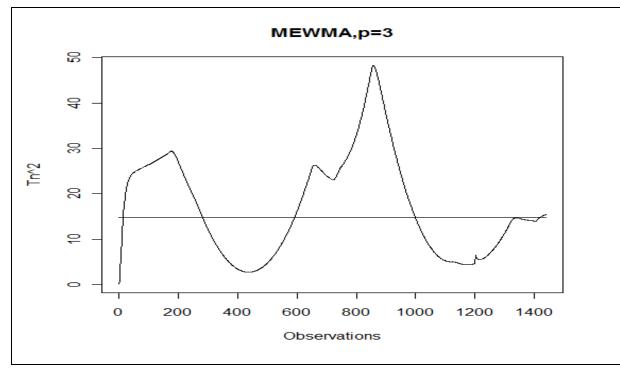
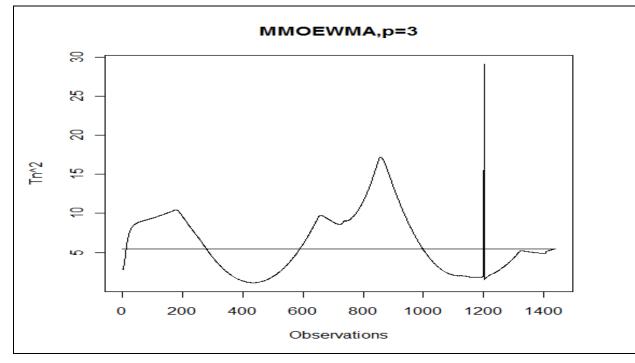


Figure 1 : MEWWA Control Chart (p=3)

Observe the shoot up bar showing abrupt shift in figure 2 which is completely missing in figure 1.





Note that 1201st run has abrupt shift in variable Y₃.

b) Properties of MMOEWMA scheme and comparison with MEWMA scheme

All the ARL computations were carried out using Markov chain approach described in Appendix 2. MMOEWMA is the chart for multivariate processes having autocorrelated observations. However, assuming that MEWMA chart can be applied at least to the residual vectors, to compare the ARL values of MMOEWMA with that of MEWMA having common parameters.

Year 2013

27

Global Journal of Computer Science and Technology (C) Volume XIII Issue III Version I

	ARL Values for MEWMA Charts ($p=2$, $p=3$ and $p=4$) from Lowery et. al. (1992)							
$h_1 =$	10.75	12.34	13.10	14.78	15.16	16.94		
Shift $P = 2$ and $\lambda = 0.10$ $p = 3$ and $\lambda = 0.1$					p =4 and	$\lambda = 0.1$		
0.0	501	999	502	1007	497	995		
0.5	39.5	51.1	45.6	61.2	52.3	68		
1.0	12.1	13.7	13.5	15.3	14.5	16.5		
1.5	7.03	7.69	7.66	8.40	8.20	8.99		
2.0	4.97	5.39	5.43	5.83	5.79	6.23		
2.5	3.90	4.23	4.26	4.54	4.51	4.81		
3.0	3.27	3.49	3.52	3.75	3.74	3.97		

Table 3 : Average Run Lengths of MEWMA Charts

2.5	5	.90	4.20	4.20	4.54	4.51	4.01		
3.0	3	.27	3.49	3.52	3.75	3.74	3.97		
	Table 4 : Average Run Lengths of MMOEWMA Charts								
A	RL Valu	ues for Multiva	ariate Modif	ied EWMA (MI	MOEWMA) Cha	rts (p=2, p=3 a	nd p=4)		
h ₂	Π	3.93	4.525	4.78	5.417	5.546	6.221		
Sh	hift $p = 2$ and $\lambda = 0.1$			p =3	and $\lambda = 0.1$	p =4 an	$p = 4$ and $\lambda = 0.1$		
0.0	0	500	1000	500	1000	500	1000		
0.	5	30.5	40.09	31.52	41.57	32.3	42.77		
1.0	0	10.06	11.63	10.54	12.15	10.89	12.55		
1.	5	5.69	6.38	6.04	6.73	6.30	7.0		
2.0	0	3.87	4.29	4.16	4.58	4.38	4.80		
2.	5	2.87	3.17	3.12	3.42	3.31	3.61		
3.	0	2.02	2.24	2.25	2.46	2.62	2.63		

Table 3 to table 6 showed that the control limits for MMOEWMA are quite small than those of MEWMA as well as χ^2 chart for the same in control ARL. For two, three, four variable cases, the smaller out of control ARL imply that MMOEWMA chart performs excellent in

detection of shifts, be it small, moderate or large for every of in control ARLs. MMOEWMA chart with $\lambda_{\scriptscriptstyle 1}$ $=\lambda_2=...=\lambda_p$ $=\lambda$ =1 is multivariate Shewhart chart version for multivariate autocorrelated process.

	χ^2 chart	MEWMA Chart						
λ =		0.1	0.2	0.4	0.6	0.8		
	h			h_1				
	10.6	8.66	9.65	10.29	10.53	10.58		
Shift		ARL va	ARL values for p=2 from Lowery et. al. (1992)					
0	200.	200	201	199	200	200		
0.5	116.	28.1	35.10	51.9	73.6	95.5		
1.0	42.	10.2	10.10	13.2	19.3	28.1		
1.5	15.8	6.12	5.50	5.74	7.24	10.3		
2.0	6.9	4.41	3.80	3.54	3.86	4.75		
2.5	3.5	3.51	2.91	2.55	2.53	2.75		
3.0	2.2	2.92	2.42	2.04	1.88	1.91		

Table 5 : Average Run Lengths of Multivariate Charts

Table 6: Average Run Lengths of MMOEWMA Charts

	MMOEWMA Chart						
$\lambda =$	0.1	0.2	0.3	0.4	0.6	0.8	
$h_2 =$	3.135	3.742	4.223	4.705	5.85	7.561	
Shift		ARL values for p=2					
0	200	200	200	200	200	200	
0.5	21.1	24.76	29.9	29.9	51.6	74.98	
1.0	8.12	7.88	8.65	8.65	14.93	23.99	
1.5	4.78	4.11	4.06	4.06	5.75	8.92	
2.0	3.29	2.64	2.44	2.44	2.92	4.12	
2.5	2.45	1.87	1.69	1.69	1.86	2.36	
3.0	1.90	1.43	1.32	1.32	1.41	1.63	

IV. Conclusion

A simple multivariate control chart for monitoring small as well as large shifts in highly first order vector autoregressive VAR (1) process such as multivariate chemical process is given. It is good method to monitor first order vector autoregressive process in chemical/other industries.

By repeated substitution in equation $X_n = \Lambda Y_n + (I - \Lambda)X_{n-1} + (Y_n - Y_{n-1})$, $n \ge 1$, it can be shown that

$$\mathsf{E}(\mathsf{X}_{n}) = \lambda \sum_{j=0}^{n-1} (1-\lambda)^{j} \, \mathsf{E}(\mathsf{Y}_{n-j}) + (1-\lambda)^{n} \, \mathsf{E}(\mathsf{Y}_{0}) + \sum_{j=0}^{n-1} (1-\lambda)^{j} \, \mathsf{E}(\mathsf{Y}_{n-j}-\mathsf{Y}_{n-j-1})$$

But
$$\lambda \sum_{j=0}^{n} (1-\lambda)^{j} = \frac{\lambda [1-(1-\lambda)^{n}]}{[1-(1-\lambda)]} = [1-(1-\lambda)^{n}]$$

 $\therefore E(X_{n}) = [1-(1-\lambda)^{n}]\mu + (1-\lambda)^{n}\mu + 0$
 $= \mu$

Lemma 2: If the starting value of process is, $X_0 = \mu_0 = Y_0$ and $0 < \lambda \le 1$ is a constant. The mean is, $E(X_0) = E((1-\lambda)X_{n-1} + \lambda Y_n + (Y_n - Y_{n-1})) = \mu_0.$

 $X_{n} = + (I - \Lambda)^{n} Y_{0} + \sum_{i=0}^{n-1} (I - \Lambda)^{j} (Y_{n-j} - Y_{n-j-1})$ (i)

The expectation of X_n gives, $E(X_n) = \mu$, (mean of Y_n).

expression for Multivariate Modified EWMA statistic

Taking expectation on both side,

 $\mathbf{X}_{n} = \lambda \sum_{i=0}^{n-1} (1-\lambda)^{j} \mathbf{Y}_{n-j} + (1-\lambda)^{n} \mathbf{Y}_{0} + \sum_{i=0}^{n-1} (1-\lambda)^{j} (\mathbf{Y}_{n-j} - \mathbf{Y}_{n-j-1})$

Lemma 1: If $\lambda_1 = \lambda_2 = \ldots = \lambda_p = \lambda$, then the

For
$$p = 2$$
 and $n = 1$, we have

$$\mathbf{X}_{1} = \begin{bmatrix} \lambda_{1} & 0\\ 0 & \lambda_{2} \end{bmatrix} \begin{bmatrix} Y_{11}\\ Y_{21} \end{bmatrix} + \begin{bmatrix} 1 - \lambda_{1} & 0\\ 0 & 1 - \lambda_{2} \end{bmatrix} \begin{bmatrix} Y_{10}\\ Y_{20} \end{bmatrix} + \begin{bmatrix} Y_{11} - Y_{10}\\ Y_{21} - Y_{20} \end{bmatrix}$$
$$= \begin{bmatrix} \lambda_{1}Y_{11} + (1 - \lambda_{1})Y_{10} + Y_{11} - Y_{10}\\ \lambda_{2}Y_{21} + (1 - \lambda_{2})Y_{20} + Y_{21} - Y_{20} \end{bmatrix} = \begin{bmatrix} X_{11}\\ X_{21} \end{bmatrix} \text{say,}$$

So that,

$$Cov(X_{1}) = \sum_{X_{1}} = \begin{bmatrix} V(X_{11}) & Cov(X_{11}, X_{21}) \\ & V(X_{21}) \end{bmatrix}$$
(ii)

Where $V(X_{11})$ and $V(X_{21})$ are the variance of univariate modified EWMA statistic, and $Cov(X_{11}, X_{21}) = \lambda_1 \lambda_2 \sigma_{12} + (1 - \lambda_1)(1 - \lambda_2) \sigma_{12} + cov(Y_{11} - Y_{10}, Y_{21} - Y_{20}).$

Then as per (ii)

$$\operatorname{Cov}(\mathbf{X}_{n}) = \sum_{\mathbf{X}_{n}} = \begin{bmatrix} V(X_{1n}) & \operatorname{Cov}(X_{1n}, X_{2n}) \\ & V(X_{2n}) \end{bmatrix}$$

Now Y_n 's are autocorrelated normal with covariance matrix Σ , so that the $(Y_n - Y_{n-1})$'s (r ≥ 1) have covariance matrix $2(I-Rho)\Sigma$ with $Rho = \text{diag}(\rho_{y1}, \rho_{y2}, ..., \rho_{yp})$. Then, taking $\lambda_1 = \lambda_2 = ... = \lambda_p = \lambda$ and $\rho_{y1}, \rho_{y2}, ..., \rho_{yp} \rightarrow 1$, as n tends to infinity.

Lemma 3: The variance of univariate Modified EWMA (MOEWMA) control statistic X_n is,

$$V(X_n) = V(\lambda \sum_{j=0}^{n-1} (1-\lambda)^j Y_{n-j}) + V((1-\lambda)^n Y_0) + V(\sum_{j=0}^{n-1} (1-\lambda)^j (Y_{n-j} - Y_{n-j-1}))$$

$$\bigvee (\mathsf{X}_{n}) = (1-\lambda)^{2n} \, \forall (\mathsf{Y}_{0}) + \sum_{j=0}^{n-1} \lambda^{2} \, (1-\lambda)^{2j} \, V(Y_{n-j}) + 2 \sum_{j=0}^{n-1} \lambda^{2} \, (1-\lambda)^{2j+1} Cov(Y_{n-j}, Y_{n-j-1}) + \sum_{j=0}^{n-1} (1-\lambda)^{2j} \, V(Y_{n-j} - Y_{n-j-1}) + 2 \sum_{j=0}^{n-1} (1-\lambda)^{2j+1} Cov[(Y_{n-j} - Y_{n-j-1}), (Y_{n-j-1} - Y_{n-j-2})] \\ + \sum_{j=0}^{n-1} \lambda (1-\lambda)^{2j} \, Cov\Big(Y_{n-j}, (Y_{n-j} - Y_{n-j-1})\Big) + \sum_{j=0}^{n-1} \lambda (1-\lambda)^{2j+1} Cov\Big(Y_{n-j-1}, (Y_{n-j} - Y_{n-j-1})\Big) + \sum_{j=0}^{n-1} \lambda (1-\lambda)^{2j+1} Cov(Y_{n-j-1}, (Y_{n-j} - Y_{n-j-1})) \Big)$$

Since Y_n 's are autocorrelated normal with variance σ^2 , the variance of (Y_n-Y_{n-1}) $(n \ge 1)$ is $\sigma_1^2 = 2\sigma^2 - 2\rho\sigma^2 = 2(1-\rho)\sigma^2$ (small when $\rho \rightarrow 1$). The weights $\lambda(1-\lambda)^{2j}$ decrease geometrically with the age of sample mean. Suppose Y_n 's are correlated to the forward fluctuation (Y_n-Y_{h-1}) $(n \ge 1)$ with common

correlation ρ_1 and correlated to the backward fluctuation $(Y_{n+1}-Y_n), \ (n\geq 0)$ with common correlation $\rho_2,$ and forward fluctuation (Y_n-Y_{n-1}) are correlated to the backward fluctuation $(Y_{n+1}-Y_n), \ (n\geq 1)$ with common correlation $\rho_3,$ then asymptotic variance for large n,

$$V(X_n) = \frac{\lambda}{(2-\lambda)}\sigma^2 + \frac{2\lambda(1-\lambda)}{(2-\lambda)}\rho\sigma^2 + \frac{2(1-\rho)\sigma^2}{\lambda(2-\lambda)} + \frac{4\rho_3(1-\rho)(1-\lambda)\sigma^2}{\lambda(2-\lambda)} + \frac{2\sqrt{2}\rho_1\sqrt{(1-\rho)}\sigma^2}{(2-\lambda)} + \frac{(1-\lambda)2\sqrt{2}\rho_2\sqrt{1-\rho}\sigma^2}{(2-\lambda)}$$
(iii)

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In normal autocorrelated process (a) with ρ_3 nearly negative half and ρ_1 , ρ_2 nearly equal and opposite in sign and being monitored for small shifts, (b) with autocorrelation ρ nearly one ($\rho \rightarrow 1$) the above expression (iii), reduces to

$$V(X_n) = \frac{\lambda}{(2-\lambda)}\sigma^2 + \frac{2\lambda(1-\lambda)}{(2-\lambda)}\rho\sigma^2 \qquad \text{(iv)}$$

Let $\frac{2\lambda(1-\lambda)}{(2-\lambda)}
ho\sigma^2$ is a small value for high

$$V(X_{1n}) = \begin{bmatrix} \lambda \\ (2-\lambda) + \frac{2\lambda(1-\lambda)}{(2-\lambda)} \end{bmatrix}$$

In general the best approximation of covariance matrix of the MMOEWMA p-variable vectors is given by,

$$\sum_{X_n} = \frac{\lambda}{(2-\lambda)} \Sigma + \frac{2\lambda(1-\lambda)}{(2-\lambda)} \rho \Sigma$$
 (vi)

The UCL of MMOEWMA control chart is

$$UCL = \left(\frac{\lambda}{(2-\lambda)} + \frac{2\lambda(1-\lambda)}{(2-\lambda)}\right)^{1/2} (h_2)^{1/2}$$
 (vii)

The MMOEWMA chart gives an out-of-control signal as soon as

$$T_{n2}^{2} = \mathbf{X}_{n} \mathbf{\Sigma}_{\mathbf{x}n}^{-1} \mathbf{X}_{n} > h_{2},$$
 (viii)

Where h_2 (>0) is chosen to achieve a specified in control ARL. If one or more points fall beyond h_2 , the value of ρ and small λ , sometimes even negligibly small such that modified EWMA limits equal EWMA limits.

Therefore,
$$V(X_n) = \begin{bmatrix} \lambda \\ (2-\lambda) + \frac{2\lambda(1-\lambda)}{(2-\lambda)} \end{bmatrix} \sigma^2$$
. (v)

Therefore, Multivariate MOEWMA covariance from equation (iv, v) becomes

$$\Sigma = V(X_{2n}) = Cov(X_{1n}, X_{2n}).$$

process is assumed to be out-of-control. The magnitude of the shift is reflected in the non-centrality parameter $\mu_1 \Sigma^{-1} \mu_1$. We conclude that an assignable causes result in a shift in the process mean from μ_0 to μ_1 .

Appendix 2 ARL Computation for MMOEWMA Scheme using Markov Chain Approach

Following Runger and Prabhu (1996) and Molnau et al. (2001) the Markov chain approach of ARL for MMOEWMA has been derived. Different choices of λ (weighting factor), h_2 (decision value), and p (number of variable) are considered.

In and out of-Control Case

For the in or out control case, the ARL analysis can be simplified as a one dimensional Markov chain.

To approximate $\left\|\boldsymbol{X}_{n}\right\|$, we partition the control region

into m+1 transient states, each of width $g = \frac{2UCL}{(2m+1)}$

In this case the two dimensional range of \boldsymbol{X}_n is represented by the X_1 and X_2 axes, and the states used

for the Markov chain are assumed as circular rings. Because \mathbf{Y}_n has a spherical distribution, the probability of transitioning from state i to state j, denoted as p(i, j), depends only on the radii of states i and j. For i = 0,1,2,...,m and j not equal to zero.

$$p(i,j) = P(d_n \text{ in state } j \mid d_{n-1} \text{ in state } i)$$

$$= \mathsf{P}\left[\text{ (j-0.5) } \mathsf{g} < \left\| \lambda Y_n + (1-\lambda) X_{n-1} + (Y_n - Y_{n-1}) \right\| \\ < (\mathsf{j} + \mathsf{0.5}) \; \mathsf{g} \ | \ \mathsf{d}_{\mathsf{n-1}} = \mathsf{ig} \right].$$

Given that $d_{n-1} = ig$, X_{n-1} is distributed as igU, and j = 0, 1, 2, ..., m

$$\mathsf{p}(\mathsf{i},\mathsf{j}) = \mathsf{P}\left[(\mathsf{j}\text{-}0.5) \; \mathsf{g} < \left\| \lambda Y_n + (1-\lambda)igU + (Y_n - Y_{n-1}) \right\| \\ < (\mathsf{j}\text{+}0.5) \; \mathsf{g} \mid \mathsf{d}_{\mathsf{n}\text{-}1} = \mathsf{ig} \right].$$

Let **e** denote the p component unit vector **e**' = (1,0,0,...,0). According to Runger and Prabhu (1996) Y_n and U are independent spherical random variables,

without loss of generality it can assume that U is identity equal to e to obtain

$$p(i,j) = P\left[\left\{ (j-0.5) \text{ g} \right\} / \lambda < \left\| Y_n + \left[(1-\lambda)ige + (Y_n - Y_{n-1}) \right] / \lambda \right\| < \left\{ (j+0.5) \text{ g} \right\} / \lambda \right].$$

Let $\chi^2(p,c)$ denote a non central chi square random variable with p degrees of freedom and non centrality parameter c. Then we have For j not equal to zero (j \neq 0),

$$p(i,j) = P\left[\frac{(j-0.5)^2 g^2}{\lambda^2} \prec \chi^2(p,c) \prec \frac{(j+0.5)^2 g^2}{\lambda^2}\right],$$

Where $c = [\{(1-\lambda) \text{ i } g / \lambda\} + d]^2$, degree of freedom is p , d is the shift in mean vector.

For the case where j = 0, we have

$$p(i,0) = P [\chi^2(p,c) < \{(0.5)^2 g^2 / \lambda^2 \}].$$

For any control chart that is approximated by a Markov chain, the run length performance can be determined from the transition probability matrix. Assume that a Markov chain has s states (see Brook and Evans (1972)). The transition probability matrix contains the transition probabilities for moving from state to state. Let this s x s matrix of transition probabilities be presented as **P**, where the process mean vector is such that the non centrality parameter is δ . Let the s x 1 vector **q** designate the starting state of the Markov chain. The vector **q** will have a one in the component corresponding to the starting state and zeros in all of the other components. The zero state ARL of a scheme modeled as a Markov chain represented by ARL=**q**'(**I**-**P**)⁻¹**1**. (ix)

Steps of ARL Computation for MMOEWMA

Step-1 Choose the parameter λ (Weighting factor), h_2 (decision value), p (number of variable), and shift in mean vector d.

Step-2 The upper control limit of MMOEWMA chart is,

UCL =
$$\left(\frac{\lambda}{2-\lambda} + \frac{2\lambda(1-\lambda)}{2-\lambda}\right)^{1/2} (h_2)^{1/2}$$

Step-3 Choose the number of states m.

Step-4 Compute width
$$g = \frac{2UCL}{(2m+1)}$$

Step-10 Compute $u = [I - R]^{-1}$ **1**

Step-5 $\chi^2(p,c)$ denotes a non central chi

square random variable with p degrees of freedom and non centrality parameter c.

Step-6 Non centrality parameter, c = [{(1- λ) i g / λ }+d]², degree of freedom is p , d is the shift in mean vector.

Step-7 For j not equal to zero ($j \neq 0$),

$$p(i,j) = P\left[\frac{(j-0.5)^2 g^2}{\lambda^2} \prec \chi^2(p,c) \prec \frac{(j+0.5)^2 g^2}{\lambda^2}\right]$$

Step-8 For the case where j = 0, we have

$$D(i,0) = P [\chi^2(p,c) < \{(0.5)^2 g^2 / \lambda^2 \}].$$

Step-9 Adjust the t. p.m.($R_{\rm a})$ such that row sums are unity.

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R-Program for monitoring Modified Multivariate Exponentially Weighted Moving Average Control Chart

Multivariate MOEWMA (MMOEWMA) **##** Three Temperature Data p<-3 X<-read.table("Rprogram/Temp3.txt",header=TRUE) Х ##Temperature T3=X1,T11=X2,T21=X3 X1<-as.matrix(X[1:1439,1]) X1 x1 < -ts(X1)ar1<-arima(x1,order=c(1,0,1)) a1<-mean(X1) a1<-92.24 ##a1=92.24 X2<-as.matrix(X[1:1439,2]) X2 $x_2 < -t_s(X_2)$ ar2<-arima(x2,order=c(1,0,1)) a2<-mean(X2) a2<-95.56 ## a2= 95.56 X3<-as.matrix(X[1:1439,3]) X3 x3 < -ts(X3)ar3<-arima(x3,order=c(1,0,1)) a3<-mean(X3) a3<-100.27 ## a3=100.27 ## if we take unit variances and Correlation=0.5 cmat <- matrix(c(1,0.5,0.5,0.5,1,0.5,0.5,0.5,1), nrow = 3, ncol=3, byrow=TRUE) cmat cmat1<-solve(cmat) cmat1 m<-1439 ## Exponential Weight r, 0<r<=1 r<-0.10

```
## Difference Variance
##k<-0.095
k<-(2*r*(1-r))/(2-r)
Xn<-matrix(0,m,3)
for(i in 1:m)
{ for(j in 1:3)
Xn[i,1]<-X[i,1]-a1
Xn[i,2]<-X[i,2]-a2
Xn[i,3]<-X[i,3]-a3
}}
round(Xn,2)
## MMOEWMA Vector Zi= rXi+(1-r)Zi-1+(Xi-Xi-1), Z0=M0=X0=0
##Asymptotic Sz={(r/(2-r))+k}s
Sz < {(r/(2-r))+k} * cmat
Si<-solve(Sz)
Si
Zi<-matrix(0,m,3)
Z1<-0
Z2<-0
Z3<-0
X0<-0
for(i in 1:m)
{ for(j in 1:3)
Zi[i,2]<-r%*%Xn[i,2]+(1-r)*Z2+(Xn[i,j]-X0)
Zi[i,3]<-r%*%Xn[i,3]+(1-r)*Z3+(Xn[i,j]-X0)
if(i>1)
Zi[i,1]<-r%*%Xn[i,1]+(1-r)%*%Zi[i-1,1]+(Xn[i,j]-Xn[i-1,j])
Zi[i,2]<-r%*%Xn[i,2]+(1-r)%*%Zi[i-1,2]+(Xn[i,j]-Xn[i-1,j])
Zi[i,3]<-r%*%Xn[i,3]+(1-r)%*%Zi[i-1,3]+(Xn[i,j]-Xn[i-1,j])
} }
      }
round(Zi,2)
Tn<-matrix(0,m,1)
t1 < -matrix(0,1,3)
s1<-matrix(0,3,3)
for(i in 1:m)
{ Tn[i,]<-t(Zi[i,])%*%Si[,]%*%Zi[i,] }
round(Tn,2)
h4<-matrix(5.417,m,1)
shift<-matrix(0,m,1)</pre>
for(i in 1:m)
{ if(Tn[i]>h4[i])
shift[i]<-1
else
shift[i]<-0
}
shift
n1<-matrix(0,m,1)
for(i in 1:m)
{ n1[i]<-i}
n1
plot(n1,Tn,type="1",xlab="Observations",ylab="Tn^2",main="MMOEWMA,p=3")
lines(h4)
##End of program
```

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INDEX

Α

 $\begin{array}{l} Abstraction \cdot 17\\ Acquisition \cdot 10\\ Algorithm \cdot 3, 6, 8, 21, 26, 28\\ Announcement \cdot 1, 2, 3, 6, 8, 9\\ Arabic \cdot 10\\ Architecture \cdot 15, 24\\ Assemblies \cdot 28, 30, 33, 36\\ Asymptotic \cdot 52\\ Autonomous \cdot 17, 18, 19\\ \end{array}$

В

Biographical · 25

С

 $\begin{array}{l} \mbox{California} \cdot 25 \\ \mbox{Collaboration} \cdot 27 \\ \mbox{Complexity} \cdot 15 \\ \mbox{Co-Variance} \cdot 41 \end{array}$

D

 $\begin{array}{l} \text{Defendant's} \cdot 21\\ \text{Deliverable} \cdot 14\\ \text{Depicted} \cdot 14, 16, 17\\ \text{Destructed} \cdot 33, 37\\ \text{Dissertation} \cdot 5, 18, 19\\ \text{Dynamic} \cdot 2, 9\\ \end{array}$

Ε

Engineering · 11, 15, 17, 18, 19, 27, 28, 56 Exploratory · 21, 24 Exponentially · 56 Exponentially · 41, 56 Extending · 18 Extraction · 1, 3, 10, 11, 21, 23, 24, 25, 26

F

Feasible · 28 Framework · 17, 25

I

Implemented • 5, 29 Instability • 30 Intelligence • 9, 10, 18, 19, 25 Interactive • 18 Intricacies • 13 Inventory • 28, 29, 30, 31, 32, 33, 35, 36, 37, 38

L

Linguistic · 1, 10

Μ

 $\begin{array}{l} Magnitude \cdot 43, 52 \\ Malpractice \cdot 21 \\ Moderate \cdot 43, 49 \\ Modification \cdot 41, 43 \\ Monitoring \cdot 26, 41, 43, 45, 50, 56 \\ Mountains \cdot 24 \\ Multivariate \cdot 41, 43, 45, 49, 50, 52, 56 \end{array}$

0

Oriented · 1, 13, 15, 17

Ρ

Portuguese • 18, 19 Prediction • 46 Probability • 25, 54 Propagated • 17

R

 $\begin{array}{l} \mbox{Realization} \cdot 14, 15 \\ \mbox{Regardless} \cdot 1 \\ \mbox{Relevant} \cdot 21, 23, 24, 25 \\ \mbox{Rendition} \cdot 25 \\ \mbox{Retrieval} \cdot 1, 2 \end{array}$

S

Semantic · 1 Spherical · 54 Subassemblies \cdot 28, 30 Synonyms \cdot 3

Τ

Temperature \cdot 45, 47 Textual \cdot 24 Tokenization \cdot 5

V

Validates · 17

W

Witnesses · 21, 23, 26



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